

REVIEW

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# Determination of precise crystallographic directions for mask alignment in wet bulk micromachining for MEMS

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## Abstract

In wet bulk micromachining, the etching characteristics are orientation dependent. As a result, prolonged etching of mask openings of any geometric shape on both Si{100} and Si{110} wafers results in a structure defined by the slowest etching planes. In order to fabricate microstructures with high dimensional accuracy, it is vital to align the mask edges along the crystal directions comprising of these slowest etching planes. Thus, precise alignment of mask edges is important in micro/nano fabrication. As a result, the determination of accurate crystal directions is of utmost importance and is in fact the first step to ensure dimensionally accurate microstructures for improved performance. In this review article, we have presented a comprehensive analysis of different techniques to precisely determine the crystallographic directions. We have covered various techniques proposed in the span of more than two decades to determine the crystallographic directions on both Si{100} and Si{110} wafers. Apart from a detailed discussion of each technique along with their design and implementation, we have provided a critical analysis of the associated constraints, benefits and shortcomings. We have also summed up the critical aspects of each technique and presented in a tabular format for easy reference for readers. This review article comprises of an exhaustive discussion and is a handy reference for researchers who are new in the field of wet anisotropic etching or who want to get abreast with the techniques of determination of crystal directions.

## Background

Micromachining is an integral part of micro/nanofabrication for MEMS/NEMS industry [1–20]. There are two kinds of micromachining methods namely surface micromachining and bulk micromachining [17, 19]. As the name indicates, surface micromachining technique makes use of the surface of the substrate (e.g., wafer) and the micro/nanostructures are fabricated using deposited thin films on the surface. The deposited thin films are used as structural and sacrificial layers [9–18]. Bulk micromachining on the other hand selectively etches the bulk to fabricate 3-D features, suspended beams, membranes, etc. [1–16, 19, 20]. Bulk micromachining is further divided into two categories: dry and wet etching. Dry etching is mainly preformed using gas phase plasma

[21–26], but focussed ion beam and laser machining are also used in some special cases [27, 28]. If the etching is performed using wet chemicals, it is termed as wet etching. Based on the etch rates in different directions, wet etching can be further sub-divided into isotropic and anisotropic etching. In isotropic etching, the etch rate is same in all directions and does not depend on the crystallographic directions, however in the case of anisotropic etching the etch rate is a function of the crystallographic orientation [9–14]. Common silicon wet isotropic etchants are mixture of HF, HNO<sub>3</sub> and CH<sub>3</sub>COOH [29, 30], while potassium hydroxide (KOH) [2, 7, 31–43] and tetramethylammonium hydroxide (TMAH) [1, 5, 35, 44–64] etchants are most extensively used for wet anisotropic etching. There are some other alkaline solutions which have been investigated for silicon wet anisotropic etching such as ethylenediamine pyrocatechol water (EDP or EPW) [4, 35, 41, 65–67], hydrazine [31, 68, 69], ammonium hydroxide [70], and cesium hydroxide

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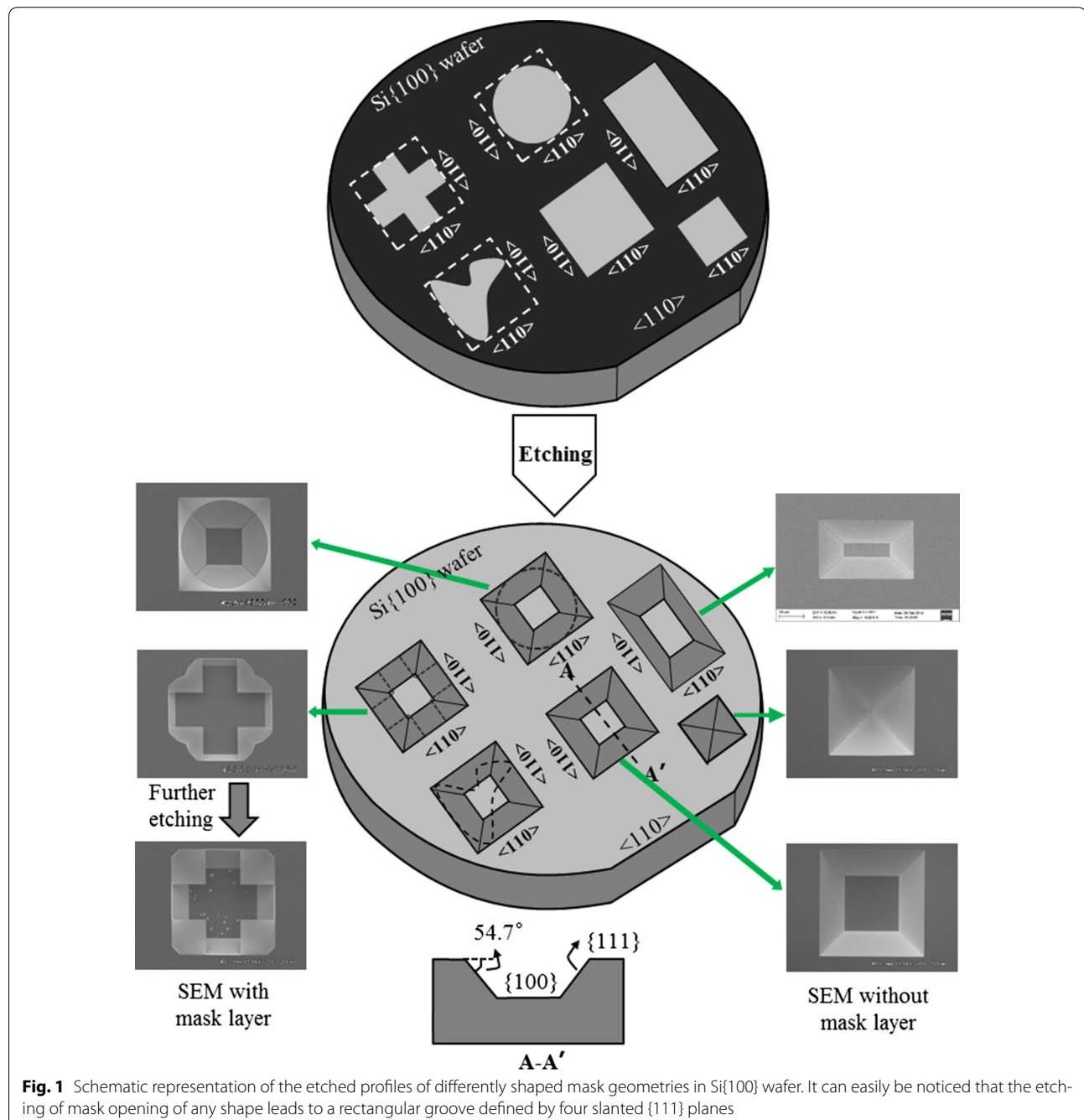
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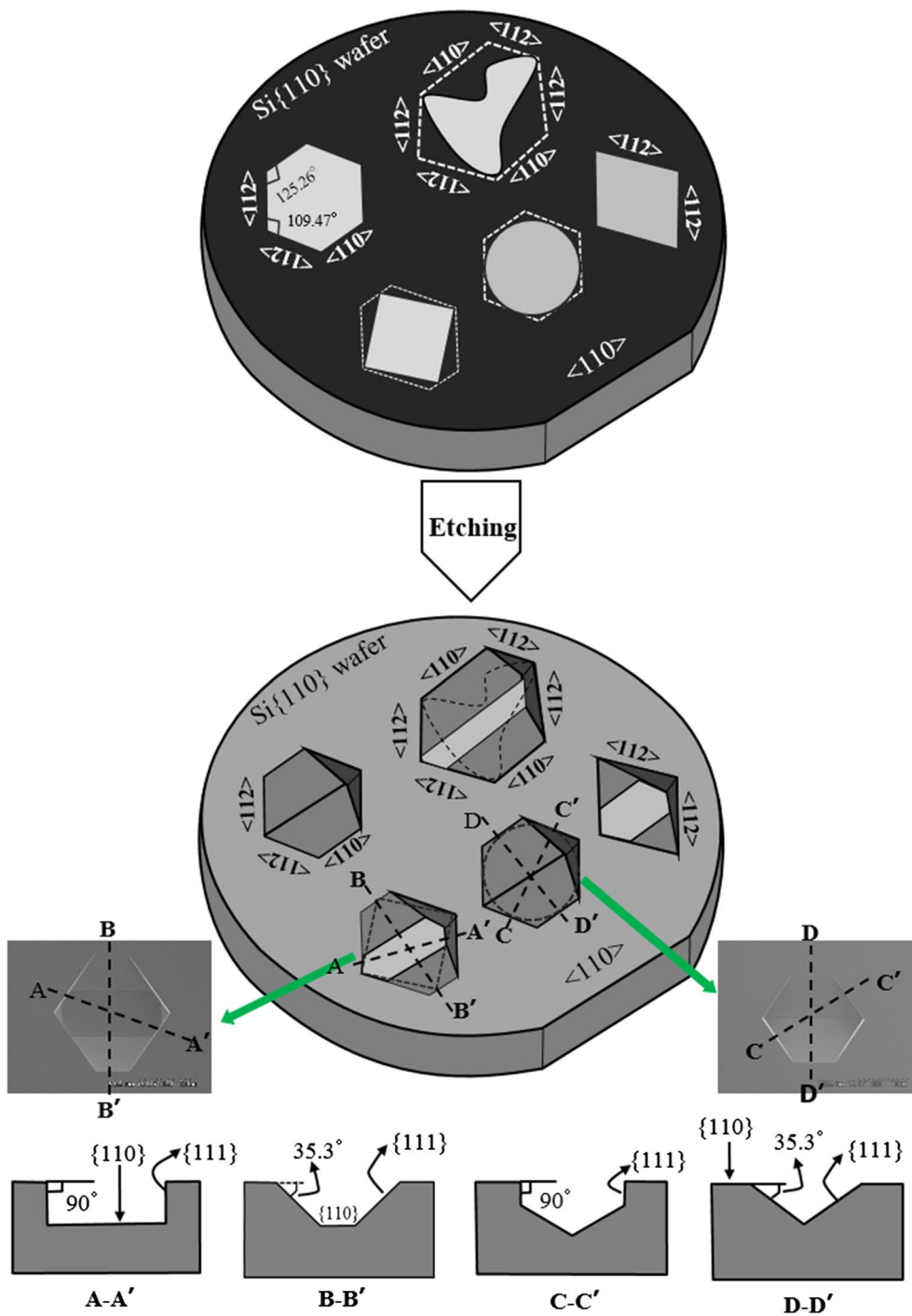
(CsOH) [71]. In wet anisotropic etching,  $\{111\}$  planes are the slowest etch rate planes in all types of anisotropic etchants. Therefore a stable etched profile (or prolonged etched profile) in silicon wafer is formed by  $\{111\}$  planes, for instance, an arbitrary shaped mask opening on  $\text{Si}\{100\}$  wafer leads to a square/rectangular V-groove comprising of  $\{111\}$  planes at the four mutually perpendicular  $\langle 110 \rangle$  directions as shown in Fig. 1 [72], while on  $\text{Si}\{110\}$  wafer it gives a hexagonal groove type of structure comprising

of  $\{111\}$  planes at the  $\langle 110 \rangle$  and  $\langle 112 \rangle$  directions as presented in Fig. 2 [73].

### Role of crystallographic alignment in wet bulk micromachining

In surface micromachining, there are generally no issues of proper alignment of the mask edges/sides along crystallographic directions as the wafer/substrate is used only as a support for the fabrication of micro/nanostructures.





**Fig. 2** Schematic representation of the etched profiles of differently shaped mask geometries in Si{110} wafer. It can be seen that the etching of mask opening of any shape leads to a hexagonal groove defined by two slanted and four vertical {111} planes

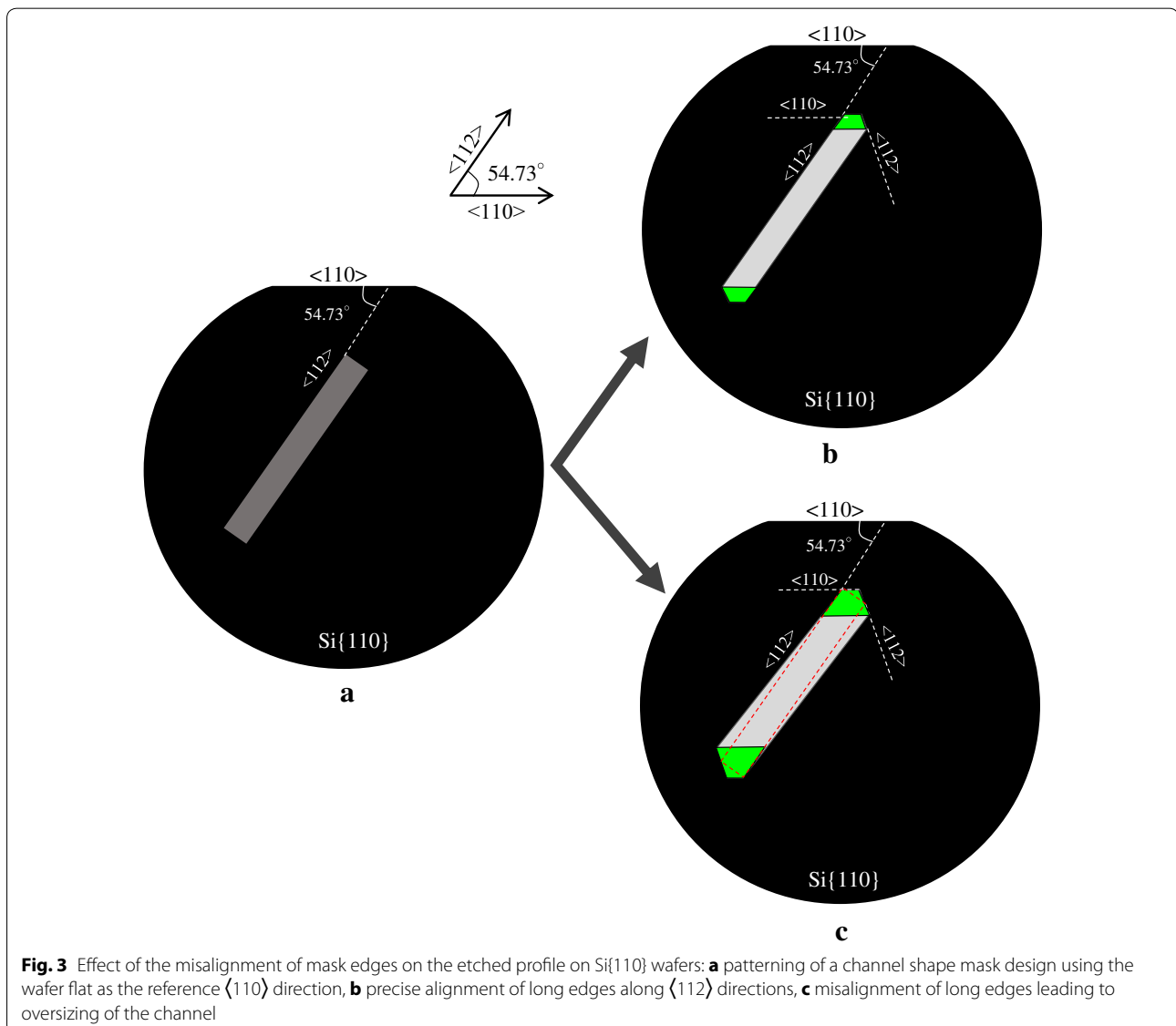
Similarly, dry etching, which although uses the bulk material for fabrication is almost orientation independent, therefore precise alignment of the mask patterns along the crystallographic directions is also not of much

importance. In the case of isotropic etching, the etched profile is orientation independent. Therefore the precise alignment of mask geometries with crystallographic directions does not attract much importance in this type

of etching as well. However, on the contrary to these types of etching, anisotropic wet etching is highly orientation dependent. As a result it is of utmost significance to ensure that the mask edges are aligned precisely along the required crystallographic directions in order to fabricate dimensionally accurate microstructures. This makes the precise determination of crystallographic orientation a vital step to avoid over sizing of the structure and to obtain smooth sidewalls. The oversizing of the structures depends on the degree of the misalignment as well as the length of the structures. The importance of precise alignment in wet anisotropic etching based silicon micromachining is described by taking three examples of the most widely used structures in MEMS namely microchannel, cantilever beam and diaphragm.

### Microchannels

Microchannels are extensively employed in bio-MEMS and micro-fluidics applications. The lengths of these micro-channels vary from a few microns to several millimetres [19, 74–77]. In order to fabricate a dimensionally accurate vertical micro-channel in  $\{110\}$  silicon wafers, the mask edges must be aligned precisely along the  $\langle 112 \rangle$  directions as the stable and vertical  $\{111\}$  planes appear at these directions. When the mask edges are aligned precisely along the crystal direction, the channels are distinctly defined as per their dimensions. However, even a small misalignment would lead to undercutting as shown in Fig. 3. If the channels are patterned closely to each other, the undercutting due to misalignment can also lead to merging of the channels.



**Fig. 3** Effect of the misalignment of mask edges on the etched profile on Si{110} wafers: **a** patterning of a channel shape mask design using the wafer flat as the reference  $\langle 110 \rangle$  direction, **b** precise alignment of long edges along  $\langle 112 \rangle$  directions, **c** misalignment of long edges leading to oversizing of the channel

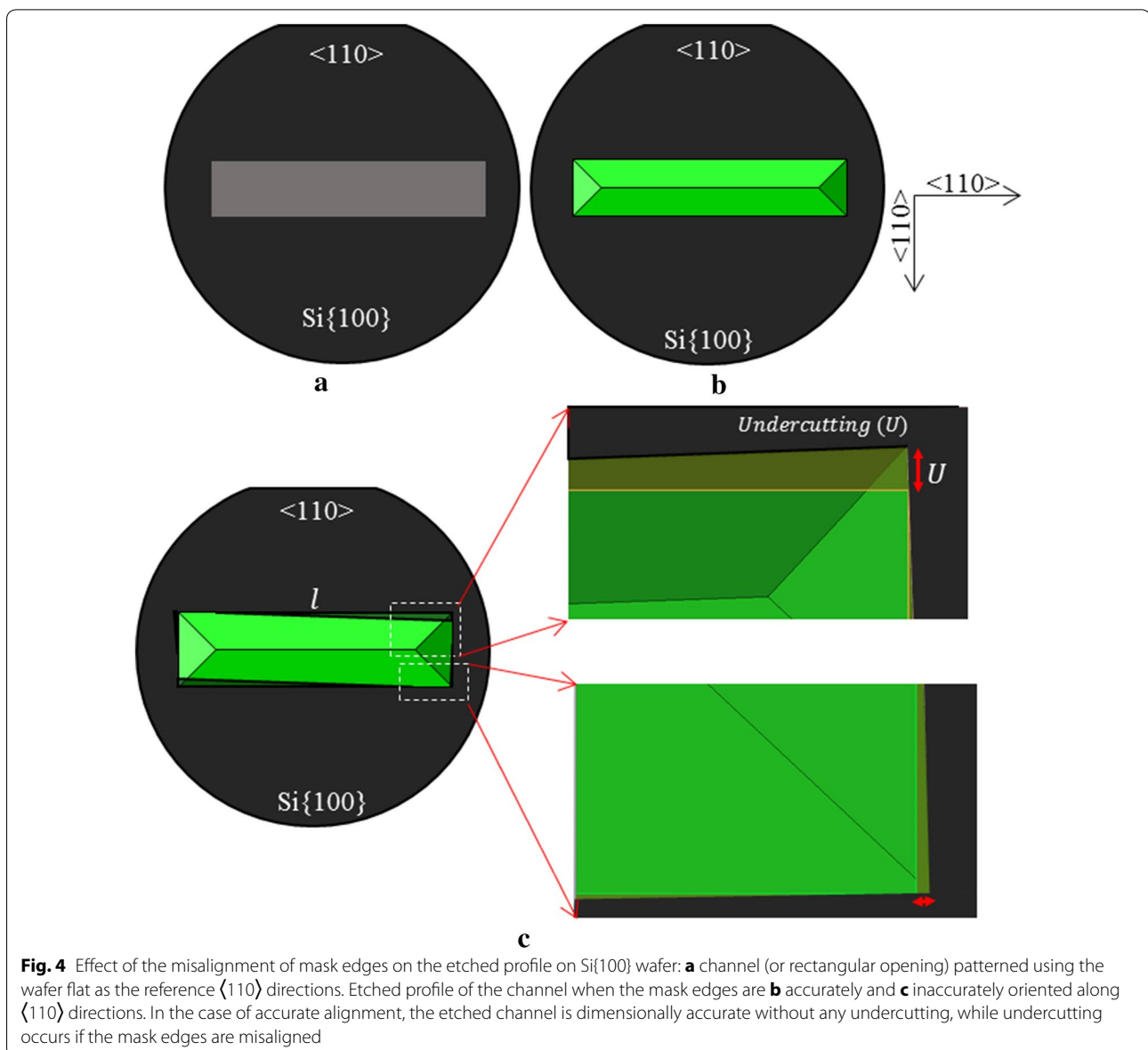
Similarly, long cavities formed on Si{100} wafer gets oversized due to misalignment by even a small angle. It happens due to the undercutting at misaligned mask edges as shown schematically in Fig. 4. Although there would be some undercutting even in the case of precisely aligned mask owing to the finite etch rate of {111} plane, however this undercutting is extremely less to cause any significant oversizing of the channels or cavities. The amount of undercutting ( $U$ ) (measured perpendicular to the mask edge) due to misalignment by an angle  $\delta$  for length  $l$ , as presented in Fig. 4, can be calculated using a simple trigonometric relation as follows:

$$U = l \sin(\delta) \cos(\delta) \quad (1)$$

Thus, a microchannel of length 10 mm will result in an undercut of  $\sim 18 \mu\text{m}$  even for a misalignment of  $0.1^\circ$ .

#### Cantilever beams

The diodes and transistors are considered as basic building blocks of integrated circuits (ICs). In MEMS, the shape and size of the structures are application dependent. Therefore there are no elementary building blocks which can support all designs. However, cantilevers and diaphragms are extensively used for sensing and actuation purposes in wide variety of applications including but not limited to bio-sensors, switches, temperature sensor and many others [78–99]. Thus they can be called as the fundamental structures of MEMS devices. Arrays of cantilever beams are used in various sensors and



actuators for developing high performance devices such as artificial nose [84]. These arrays are fabricated either by front side etching or backside etching. In order to fabricate using front side etching, the phenomenon of convex corer undercutting is used for their release from substrate [8, 100]. Etching stops when it encounters the  $\{111\}$  planes at the anchoring point of the beam.

Thus precise alignment of the mask edges along crystallographic directions is necessary to obtain dimensionally accurate cantilever beams as shown in Fig. 5a. Owing to large number of beams, even a slight misalignment would result in larger undercutting at the anchor points leading to additional overhang as shown in Fig. 5b. The additional overhang results in coupling of the beams and affects their dynamic characteristics. In order to fabricate high performance devices, it is necessary to avoid such coupling and align the mask patterns precisely along the  $\langle 110 \rangle$  direction on Si $\{100\}$ .

### Diaphragm

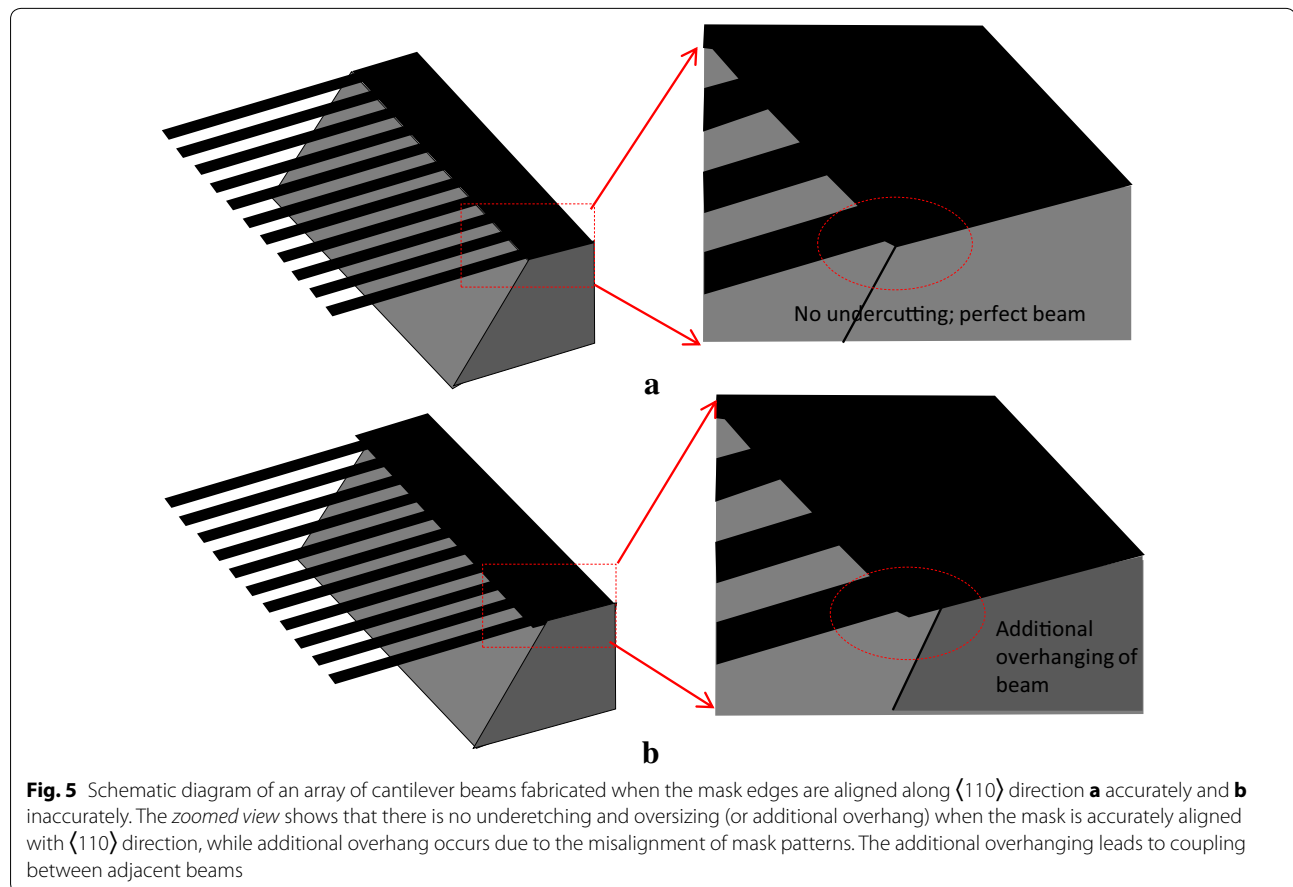
Another important MEMS structure used in sensing devices is diaphragm. To fabricate the diaphragm, the etching is done throughout the wafer thickness ( $h$ ) from the backside as shown schematically in Fig. 6. The length

of the mask pattern ( $b$ ) for fabricating a square diaphragm of sides  $l$  can be calculated using following formula:

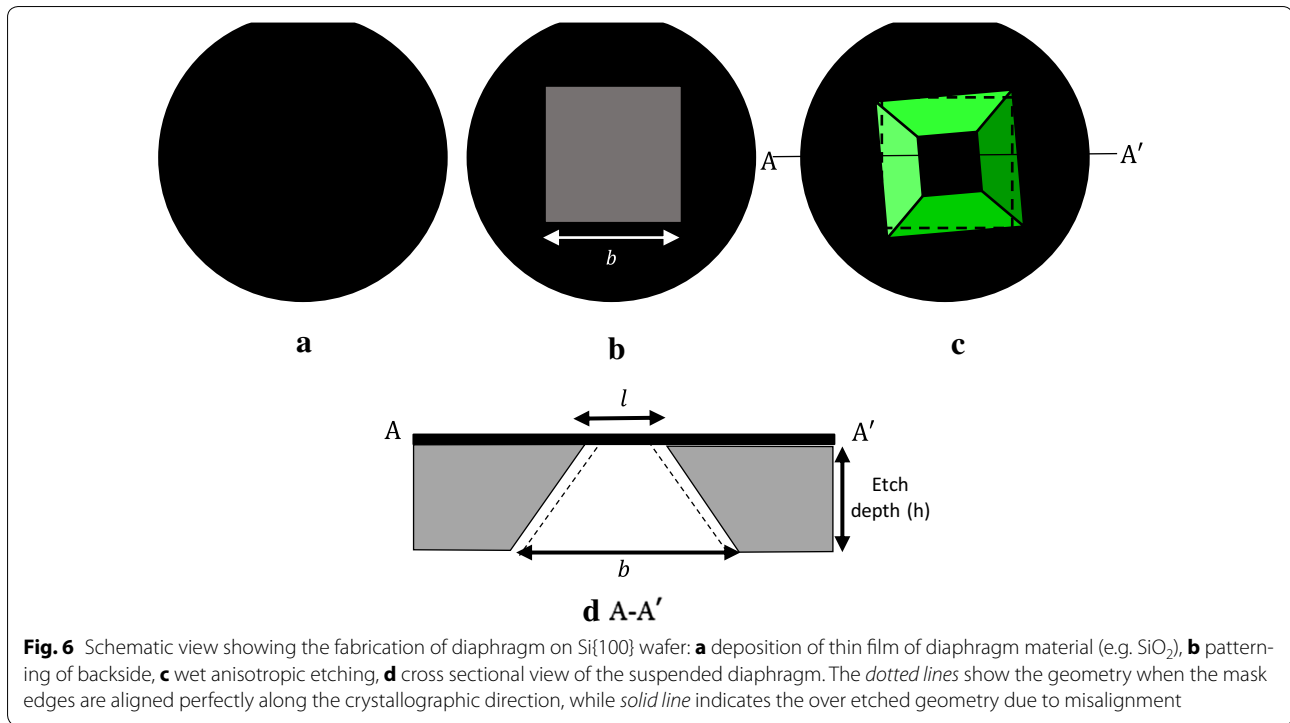
$$b = \frac{(l + 2h \cot(54.7))}{(\sin(\delta) + \cos(\delta))} \quad (2)$$

where,  $\delta$  is the misaligned angle. It is evident from this formula that the size of the mask edge ( $b$ ) for a particular diaphragm length ( $l$ ) depends on the misalignment angle  $\delta$ . In order to control the dimensions of the diaphragm one need to ensure that  $\delta$  should be negligible. In quantitative terms, to fabricate a square diaphragm of side  $l = 50 \mu\text{m}$  through a  $500 \mu\text{m}$  thick Si $\{100\}$  wafer, the sides of the mask opening should be around  $758.04 \mu\text{m}$ . However, misalignment by half degree would make the diaphragm around 13 % more of its expected dimensions which can alter the performance of a sensor.

Owing to the importance of fabricating dimensionally accurate structures with smooth sidewalls, study of crystallographic alignment techniques is extremely vital in the field of wet anisotropic etching based bulk micromachining. Another benefit of accurately aligned mask is that it reduces the etching time necessary for obtaining smooth sidewalls. While there have been several review articles published in various areas of microfabrication



**Fig. 5** Schematic diagram of an array of cantilever beams fabricated when the mask edges are aligned along  $\langle 110 \rangle$  direction **a** accurately and **b** inaccurately. The zoomed view shows that there is no undercutting and oversizing (or additional overhang) when the mask is accurately aligned with  $\langle 110 \rangle$  direction, while additional overhang occurs due to the misalignment of mask patterns. The additional overhanging leads to coupling between adjacent beams



**Fig. 6** Schematic view showing the fabrication of diaphragm on Si{100} wafer: **a** deposition of thin film of diaphragm material (e.g. SiO<sub>2</sub>), **b** patterning of backside, **c** wet anisotropic etching, **d** cross sectional view of the suspended diaphragm. The *dotted lines* show the geometry when the mask edges are aligned perfectly along the crystallographic direction, while *solid line* indicates the over etched geometry due to misalignment

including wet etching [8, 100], dry etching [21], microvalves [92], micropumps [95, 97], microfluidics [101], etc., there is no review article till date which extensively discusses various techniques to identify different crystallographic directions on silicon wafers along with their associated pros and cons. Given the importance of precise alignment as discussed above, it is very important to understand the role of alignment as well as the methodologies to ensure precise mask alignment. This paper is a comprehensive review of all the proposed pre-etched patterns published from the last two decades till date. It deals with the pros and cons of various pre-etched structures as well as which design patterns are easy to fabricate and are less involved in analysing them when it comes to determining the accurate crystallographic directions. We start with a brief discussion on the methods used for aligning the mask edges to the crystallographic directions. Subsequently, the role of different pre-etched patterns in identifying the crystal directions along with the merits of each technique is discussed.

### Alignment techniques

Basic method to align the mask patterns along crystallographic directions is by using the wafer flat as the reference crystallographic direction. Generally the wafer manufacturing industries uses an optical beam to focus at etched surface and the reflected ray is used to determine the crystallographic direction (wafer flat) of the whole ingot prior to cutting it to wafers [102]. These wafer

flats usually have a crystallographic misalignment ranging from 1° to 5° depending on the quality of the wafer [103]. An inaccurate wafer flat would lead to inaccuracy in aligning the mask edges along crystallographic directions leading to undesired increase in dimensions as discussed in previous section (refer Figs. 3, 4). For example, in order to fabricate a vertical walled channel on Si{110} wafer, we need to align the channel mask precisely along  $\langle 112 \rangle$  direction. However, if the flat is misaligned, the mask edges will not be aligned along the  $\langle 112 \rangle$  directions. This will lead to undercutting resulting in an inaccurately dimensioned (oversized) channel. This inaccuracy is undesirable for the high performance devices used today as they require extremely high precision control of dimensions. Thus the wafer flat used for aligning mask is no longer sufficient to achieve the high precision. Another method is to use X-ray diffraction, which can exactly determine the crystallographic direction, but it is highly impracticable to mount the diffraction equipment onto the mask aligner. As a result there have been other attempts to determine the crystallographic directions with high accuracy on Si{100} and Si{110} wafers which are most widely used in semiconductor laboratories and industries.

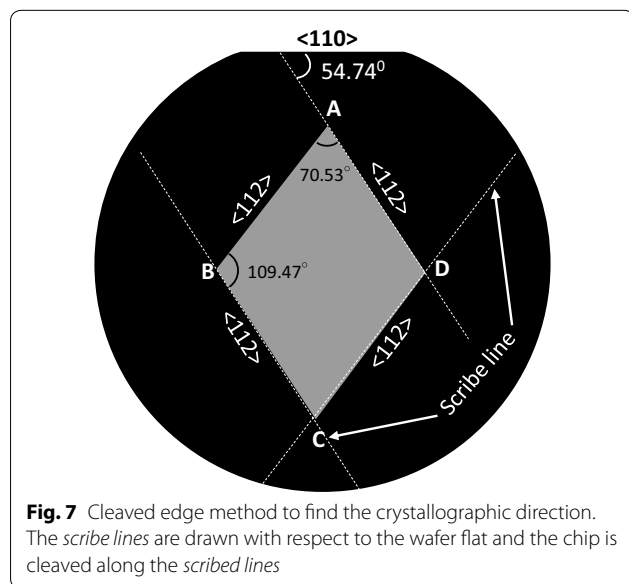
In order to determine the crystallographic directions (e.g.,  $\langle 110 \rangle$ ) on silicon wafer with high precision either cleaved-edge method or wet anisotropically pre-etched patterns are employed. These methods are described in following subsections.

### Cleaved-edge alignment

Single-crystal materials have a tendency to cleave along crystallographic planes and are caused by the alignment of weaker bonds between atoms in the crystal lattice. In single crystalline silicon, a perfect cleavage takes place at the direction comprising  $\{111\}$  and  $\{110\}$  planes as the bond density for these planes is lower than other planes such as  $\{100\}$ . Therefore, the slip lines and other defects at the edges of silicon wafers are usually responsible for wafer breakage. In this method, the silicon wafer is cleaved to reveal  $\{111\}$  planes for alignment, for instance, cleaved edges on  $\{110\}$  wafer are aligned along  $\langle 110 \rangle$  and  $\langle 112 \rangle$  directions as shown in Fig. 7 for the wafer whose flat is oriented along  $\langle 110 \rangle$  direction. In order to fabricate the microstructures, for example long and deep channels on  $\{110\}$  wafer, the edges of the microchannel patterns are aligned with cleaved-edges oriented along  $\langle 112 \rangle$  directions [76]. Although this method is very simple to identify the crystallographic directions, wafer is separated into pieces and the cleaved surface may not be formed by a single  $\{111\}$  planes instead a rugged surface is obtained as can be seen in Fig. 8a, c [76]. This leads to very poor results as can be seen in the experimental images presented in Fig. 8b [76]. Therefore, this method of alignment is undesirable owing to the uncertainty in obtaining the cleaving along the precise crystal direction. Besides, reproducibility of this method is questionable.

### Pre-etched patterns

The cleaved-edge method relies on the accuracy of the wafer flat as well as the handler's accuracy to cleave (or dice) the wafer along the scribed line. Additionally, it also depends on the accuracy of the scribed directions.



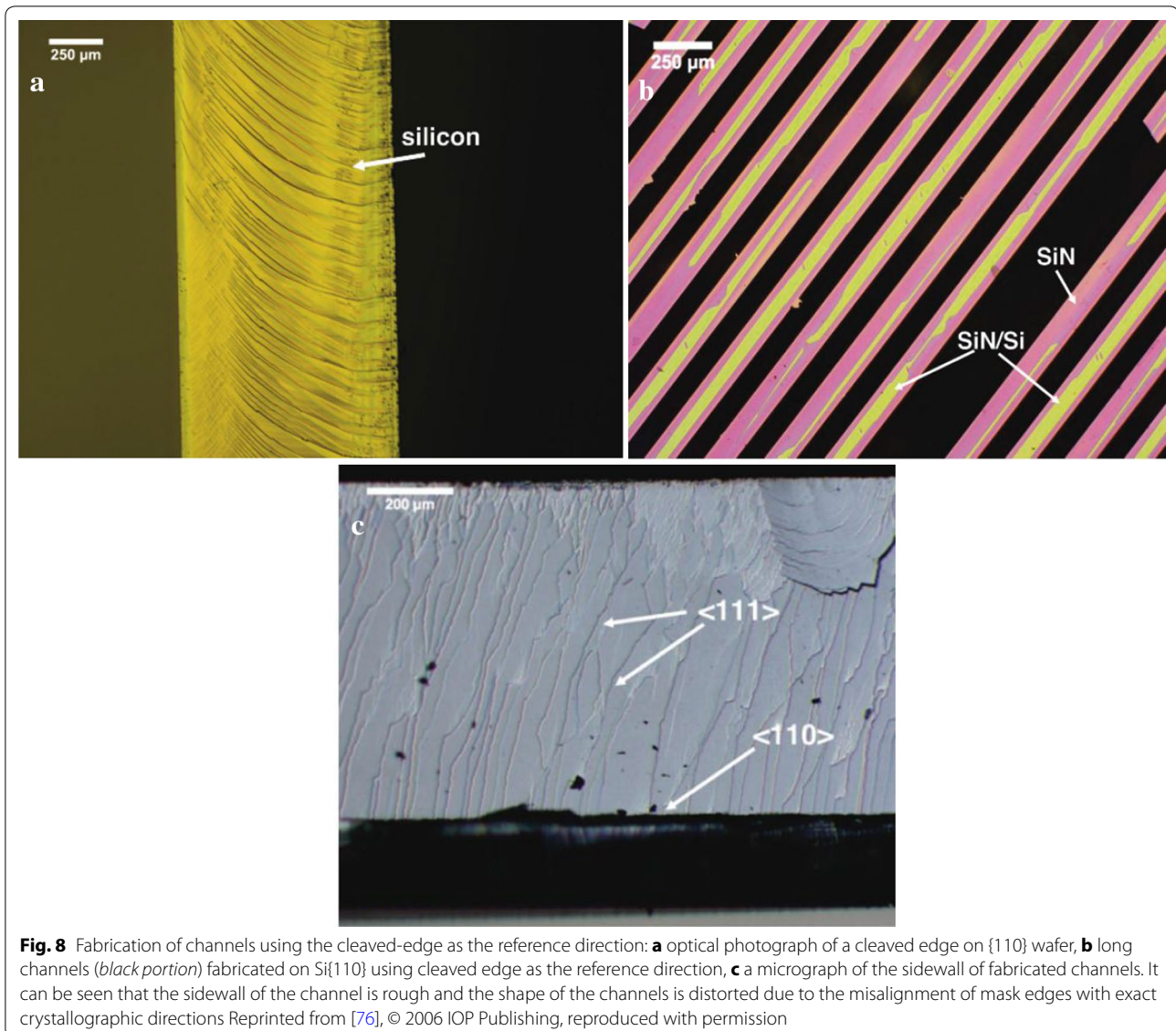
Therefore, this is not an optimal method to ensure precise determination of crystallographic direction. As a result, more accurate techniques are needed for the accurate determination of crystal directions.

Researchers in the past have used anisotropic etching to fabricate patterns to determine the crystallographic direction (e.g.,  $\langle 110 \rangle$ ,  $\langle 100 \rangle$ ) with high precision. These patterns are etched on the periphery of the wafer and therefore the usable wafer space is not affected significantly. These pre-etched patterns act as aids for the subsequent alignment of mask edges. This method of alignment has been accurate in determining the crystallographic directions precisely with an accuracy as high as  $0.01^\circ$ . This has helped the researchers in fabricating dimensionally accurate micro-structures for different applications. Many designs have previously been proposed which talk about the alignment techniques and its accuracy. Several pre-etched patterns have been proposed till date using various geometries [76, 102–110]. Some of them aim at determining the  $\langle 110 \rangle$  direction on  $\text{Si}\{100\}$  and  $\text{Si}\{110\}$  wafers [76, 102, 104–107]. At the same time, some have been aimed at determining the  $\langle 100 \rangle$  direction on both types of wafer [103, 108, 109]. In the subsequent subsections, we discuss various techniques, design geometry and their accuracies in determining the crystallographic directions which have been published in the period of more than two decades. Apart from high accuracy, a good pre-etched pattern should have minimum measurement requirement, should be visually identifiable with simple equipment and should not use much of the usable wafer space. At the same time it should not only determine the crystallographic directions but also aid in alignment of the subsequent mask. We categorise the techniques based on their suitability for wafers of different orientations. Some techniques exploit the shape of etched profiles and thus can be exclusively used for one particular wafer orientation. However, some techniques have been proposed which can be used for different types of wafers. In the subsequent subsections, we discuss the details of the patterns starting with the patterns for determining directions on  $\text{Si}\{100\}$  followed by  $\text{Si}\{110\}$  wafers.

### Identification of crystallographic directions on $\text{Si}\{100\}$ wafer

In the fabrication of microstructures on  $\text{Si}\{100\}$  wafer using wet anisotropic etching, identification of  $\langle 110 \rangle$  direction is of interest due to the appearance of stable  $\{111\}$  planes at these directions. Nevertheless,  $\langle 100 \rangle$  directions are also important due to the appearance of stable  $\{110\}$  planes when etching is done in surfactant added etchants. These  $\{110\}$  planes are used as  $45^\circ$  micro-mirrors in optical MEMS applications. We start with the techniques to determine the precise  $\langle 110 \rangle$  directions followed by a discussion on the techniques to determine the  $\langle 100 \rangle$  directions.

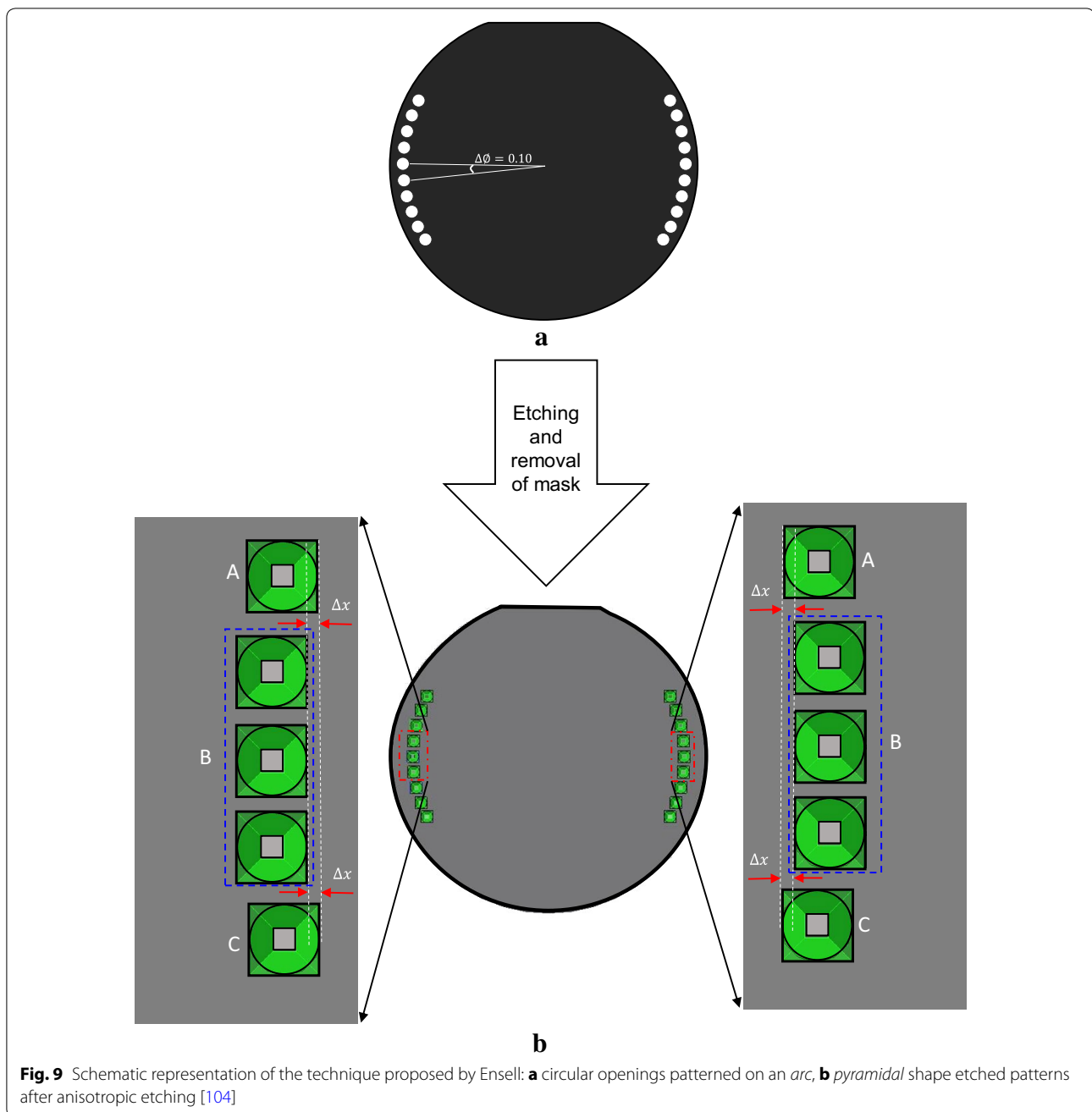




**Fig. 8** Fabrication of channels using the cleaved-edge as the reference direction: **a** optical photograph of a cleaved edge on {110} wafer, **b** long channels (*black portion*) fabricated on Si{110} using cleaved edge as the reference direction, **c** a micrograph of the sidewall of fabricated channels. It can be seen that the sidewall of the channel is rough and the shape of the channels is distorted due to the misalignment of mask edges with exact crystallographic directions Reprinted from [76], © 2006 IOP Publishing, reproduced with permission

In order to determine the  $\langle 110 \rangle$  direction on Si{100} wafer, Ensell proposed a set of circular window feature as presented in Fig. 9 [104]. The etched pattern of circular windows results in square pyramidal structures enclosed by  $\langle 110 \rangle$  directions as shown in Fig. 9b. The number of circles in the pre-etched pattern depends on the accuracy of the wafer flat (i.e.,  $\langle 110 \rangle$  direction). If the  $\langle 110 \rangle$  direction lies within  $\pm 1^\circ$  of the wafer flat, the circular patterns should cover an arc of  $2^\circ$  on both side of the reference direction which is parallel to the wafer flat. At the same time, the diameter of the circles of the pre-etched patterns also depends on the accuracy with which the crystallographic direction needs to be identified. Although smaller circles will give better accuracy however it is difficult to investigate structures of small sizes under the

mask aligner. Ensell fabricated the pre-etched pattern consisting of a series of 41 circular openings of diameter  $75 \mu\text{m}$  and spaced  $78.5 \mu\text{m}$  apart with an angular pitch of  $0.1^\circ$  on an arc of radius  $45 \text{ mm}$ . Forty-one circles require 20 circles on each side of the reference direction. Twenty circles with an angular pitch of  $0.1^\circ$  correspond to  $2^\circ$  on either side of the reference direction which is parallel to the flat. After patterning the geometry on diametrically opposite ends, etching is carried out in anisotropic etchant. Upon prolonged etching the circular openings take the pyramidal shape as shown in Fig. 9b. The masking layer can be removed for better visualization. The misalignment of the edges of the neighbouring structures is used as a measure to determine the precise  $\langle 110 \rangle$  directions. As shown in Fig. 9b, as one moves towards



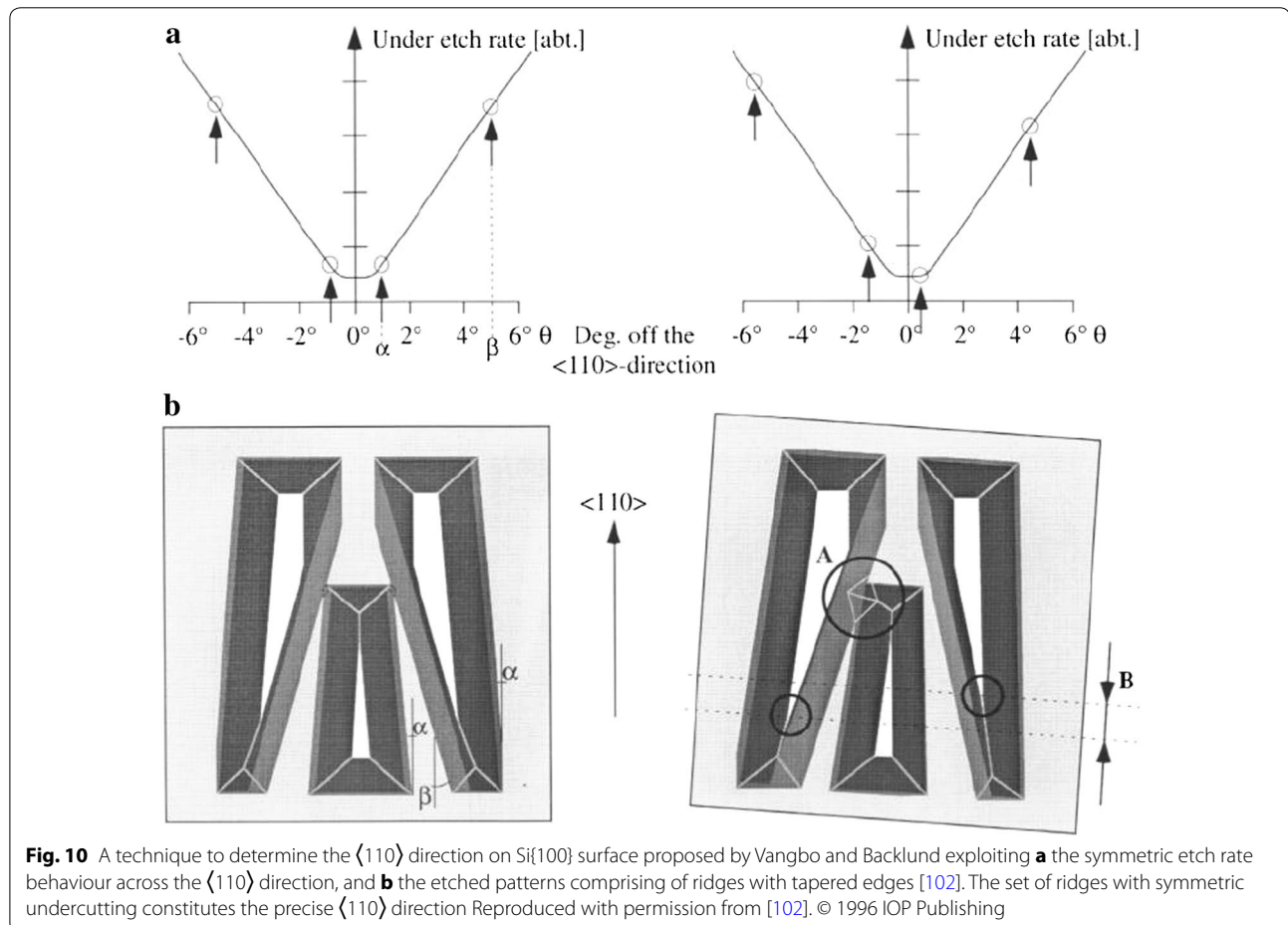
the centre from any end, the base of the pyramid shifts to one direction. Moving down from top (Structure A), one sees that structures B is shifted (offset) by  $\Delta x$ . The structures in B however are approximately aligned in a straight line. Moving further down to structure C, it can be seen that the base shift changes its direction. This implies that the precise  $\langle 110 \rangle$  direction lies between structure A and C. If at all the shift  $\Delta x$  is same between A to B and B to C, then the  $\langle 110 \rangle$  direction pass exactly through the central pyramid of structure B. This extent

of offset is a measure of the misalignment with the  $\langle 110 \rangle$  direction. The author proposed to inspect the etched patterns to find the three pyramids (structures B) with the closest alignment of edges to determine the crystal direction. After selecting the three pyramids whose edges are almost exactly aligned to each other, the central pyramid is considered to be aligned along the precise  $\langle 110 \rangle$  direction. For an angular interval of  $\Delta\theta = 0.1^\circ$ , the minimum value of  $\Delta x$  for the given dimension of the pre-etched patterns is  $0.07 \mu\text{m}$  and with every increase of  $0.1^\circ$  in  $\Delta\theta$ ,

$\Delta x$  increases by  $0.14 \mu\text{m}$ . After finding the cavity across which the offset is minimum and changes its direction, the precise  $\langle 110 \rangle$  direction can be identified based on the value of  $\Delta x$  of the neighbouring cavity as explained above. In order to increase the accuracy, the dimensions of the circles can be reduced in which case there would be lesser number of pyramids whose edges align precisely. This is a very simple technique both in terms of design and its implementation in finding the crystal direction. The author visually inspected the structures to determine the pyramids with almost exactly aligned edges. However, this is not the most accurate methodology as the submicron offset is not visible under the microscope. For most accurate results, the offset  $\Delta x$  should be measured for each structure to determine the one with minimum offset which would correspond to the precise  $\langle 110 \rangle$  direction. However, this minimum offset length is of the order of submicron range which is difficult to measure on a normal mask aligner and thus it needs sophisticated equipment.

Vangbo and Backlund proposed an alignment fork as the pre-etched pattern to determine the  $\langle 110 \rangle$  directions

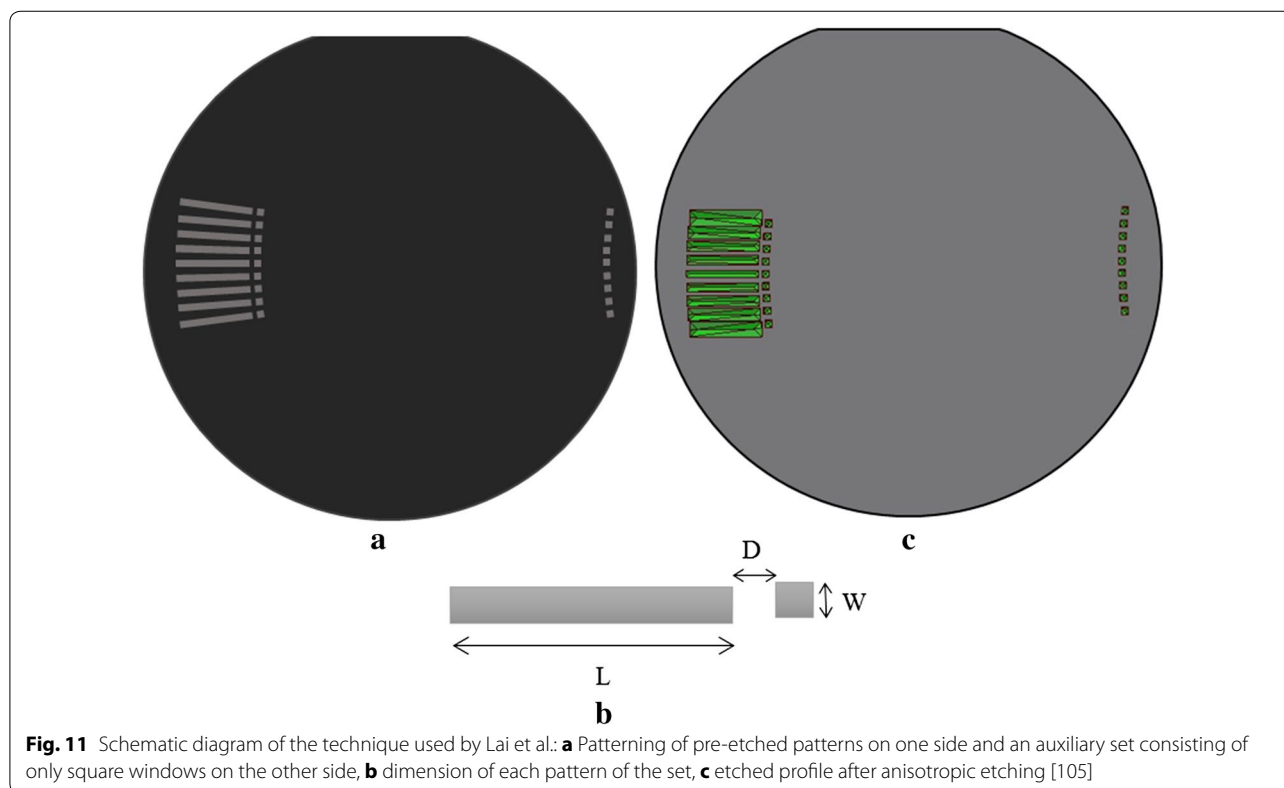
[102]. The property of symmetric undercutting across the  $\langle 110 \rangle$  direction is exploited to determine the  $\langle 110 \rangle$  directions. The pattern comprises of a set of three ridges tapered by small angles ( $\alpha, \beta$ ) as shown schematically in Fig. 10. This set of structure is then repeated at an angular interval of  $0.1^\circ$ . Using this pre-etched pattern, the  $\langle 110 \rangle$  direction can be determined using two effects. The first is the tapered ridge effect. Upon etching, the dimension of the ridge will start to increase where it is narrowest and finally will lead to an oversized opening. Smaller angle of tapering ensures faster etching time to form the final undercut structure. If the ridges happen to be lying symmetrically across the  $\langle 110 \rangle$  direction on the patterned wafer, then the undercut lengths of the two ridges will be same. In order to determine the  $\langle 110 \rangle$  direction, the etched patterns are carefully inspected to find the pair of ridge which is symmetrically etched. This is one method to determine the precise  $\langle 110 \rangle$  direction. Another method is by using the tapered groove effect. In this method, the mask pattern comprises of ridges which widen with a small angle. As etching progresses, the ridges takes the shape of grooves and the bottom



plane continues to diminish and the final etched profile comprises of intersecting  $\{111\}$  planes. If the undercutting rate is low (i.e., near the  $\langle 110 \rangle$  direction), the grooves will be narrower and more complete (i.e., the base will have a longer intersection). However, if undercutting rate is more (i.e., away from  $\langle 110 \rangle$  direction) the groove will be wider with a longer length of incomplete portion of the base for the same etching time. In order to determine the correct  $\langle 110 \rangle$  direction, the grooves can be inspected under a microscope to determine and compare the lengths of the incomplete portion of the formed grooves. For alignment of subsequent mask edges, a square window is added corresponding to each alignment fork. The entire set is now repeated at an angular interval of  $0.1^\circ$  with the centre point of rotation at the opposite side of the wafer. Additionally, a similar square is also patterned at the centre of rotation itself. Now, the subsequent mask is also added with two similar squares on each side. During subsequent alignment, one is overlapped with the square at the centre of rotation and the other is overlapped with the square corresponding to the fork where symmetric undercutting occurs. This is an easy method as the precise direction can be determined by a visual investigation of set of structures to find one with symmetric undercut. However, this can be a tedious task as the structures near the  $\langle 110 \rangle$  direction can have similar undercut which may not be distinguishable.

The source of error can be removed by measuring the undercut lengths to determine the symmetric undercut structures but it would require sophisticated equipment. At the same time, the varying undercut rate at different places on the wafer may also trick the human eye and give erroneous results. Similarly, determining the incomplete length of the base of the groove under a microscope will depend on the judgement of the user. This can lead to errors in determining the crystal direction.

Another method to determine the  $\langle 110 \rangle$  direction on Si $\{100\}$  wafer was proposed by Lai et al. where they used a series of rectangular and squared openings stacked on an arc of radius  $R$  which is greater than the diameter of the wafer [105]. These patterns were fabricated on one side of the wafer and a series of only square windows called auxiliary set on the diametrically opposite sides. These two set of patterns fabricated on opposite ends lie on an arc of concentric circles. The schematic diagram of the pre-etched patterns is shown in Fig. 11. The width of the squared geometry as well as the rectangular geometry is kept the same ( $W$ ) because the vertical distance between the edges of the square and rectangular pattern after etching is a measure of the extent of misalignment. On prolonged etching, the rectangular and square openings take the shape of inverted pyramid. Depending on the degree of misalignment of the pattern edges with the  $\langle 110 \rangle$  directions, the structures will become oversized.



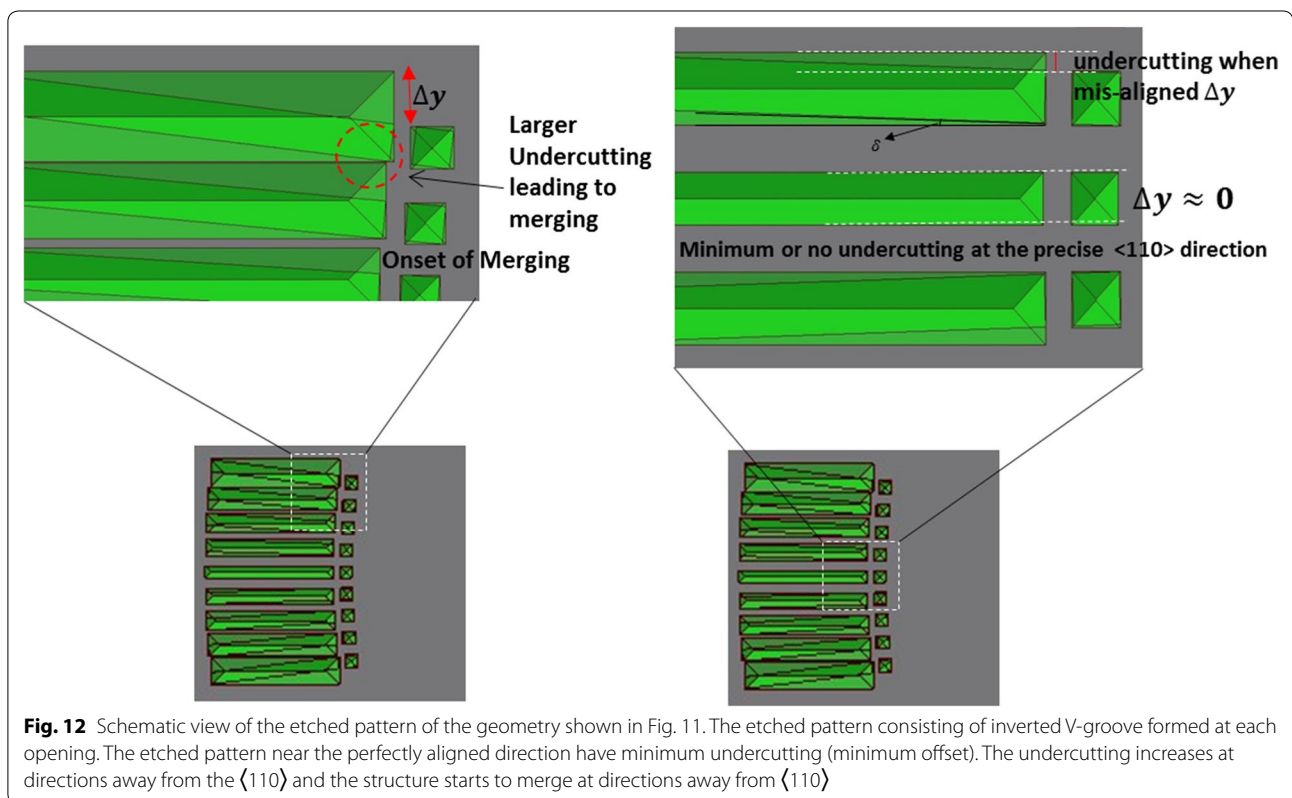
**Fig. 11** Schematic diagram of the technique used by Lai et al.: **a** Patterning of pre-etched patterns on one side and an auxiliary set consisting of only square windows on the other side, **b** dimension of each pattern of the set, **c** etched profile after anisotropic etching [105]

As a result, the difference in width of the rectangular and square opening (indicated by  $\Delta y$  in Fig. 12) increases. Figure 12 shows the etched profile of the patterns on prolonged etching. From geometry  $\Delta y$  can be calculated using the following relation

$$\Delta y = (L + D) \sin \delta \quad (3)$$

At the precise  $\langle 110 \rangle$  direction,  $\delta$  is zero, thus the vertical distances between the edge of square and rectangular geometry ( $\Delta y$ ) is almost zero. However, as we move away from the  $\langle 110 \rangle$  direction, the vertical distance keeps on increasing and finally merges in the case of large deviation from the  $\langle 110 \rangle$  direction as shown in Fig. 12. The pattern with minimum  $\Delta y$  is selected and aligned with dimensionally similar pattern on the subsequent mask. The advantage of this method is that the structures far away from the precise  $\langle 110 \rangle$  direction will get merged to each other, while the structures in the vicinity of  $\langle 110 \rangle$  direction will remain un-merged. This will reduce the domain of investigation to find out the precise  $\langle 110 \rangle$  direction. However from Eq. (3) we see that for a misalignment of  $0.01^\circ$ , the value of  $\Delta y$  is  $0.18 \mu\text{m}$  when the rectangular opening is  $1000 \mu\text{m}$  long and the distance ( $D$ ) between rectangle and square is  $16 \mu\text{m}$ . Using an optical microscope the particular structure with this

minimum undercut can be located to be corresponding to the precise  $\langle 110 \rangle$  direction. The next minimum undercut length for a misalignment of  $0.02^\circ$  is  $0.35 \mu\text{m}$ . As a result it should be ensured that the microscope is capable of distinguishing between these submicron distances. However, for precise identification, these undercut lengths can be measured to determine the structure with minimum undercutting. However, measurement of such small distances firstly requires sophisticated equipment and secondly it makes the task tedious. Nevertheless, the benefit of these patterns is that it provides a longer length for aligning the mask pattern at one side of the wafer; however at the other side of the wafer the aligning length is only  $16 \mu\text{m}$ . This makes the technique prone to theta error as well. At the same time etching  $1000 \times 15 \mu\text{m}$  opening would require a considerable time. Although, the undercut length can be increased by increasing either  $L$  or  $D$  (or both) but this is generally not preferred because first it would significantly increase the etching time and second, it would occupy more wafer space. We can however reduce the theta error by patterning the pre-etched feature on the auxiliary set as well. This would provide a longer length on diametrically opposite sides of the wafer for subsequent mask alignment and thus would reduce the error. While the etching time in this case will remain



**Fig. 12** Schematic view of the etched pattern of the geometry shown in Fig. 11. The etched pattern consisting of inverted V-groove formed at each opening. The etched pattern near the perfectly aligned direction have minimum undercutting (minimum offset). The undercutting increases at directions away from the  $\langle 110 \rangle$  and the structure starts to merge at directions away from  $\langle 110 \rangle$

almost the same as in the previous case, but the usable space on the wafer is reduced.

In another study by Chang and Huang, a pre-etched pattern is utilized to determine the  $\langle 110 \rangle$  directions on both Si{100} and Si{110} wafer [107]. Additionally they also carried out the statistical analysis to calculate the precision of determination of crystal directions. Their analysis suggested that the precision with which the crystal orientation can be determined does not solely depend on the resolution of the pre-etching mask patterns but is limited by the finite step resolution of the mask generator. As a result they optimized the dimensions and the tilt angle so as to limit the error due to finite step of the pattern generator within the design's tolerance. However, here we discuss only the technique for precise determination of crystal direction. The proposed pre-etched pattern comprises of a series of trapezoids/ridge with the shorter sides parallel to each other and the longer sides inclined at different angles. The trapezoid with title angle of zero degree is designed to be parallel to the reference direction essentially the wafer flat ( $\langle 110 \rangle$  direction). The dimensions of the height and the smaller edges of the parallel sides of each trapezoid is fixed as  $H = 500 \mu\text{m}$  and  $W = 5 \mu\text{m}$ . The distance between consecutive trapezoids is also fixed as  $D = 17.5 \mu\text{m}$ . A symmetric set is patterned across the reference line as shown schematically in Fig. 13. Upon etching, the trapezoidal opening takes the shape of rectangular grooves (Fig. 13b). The undercutting occurs due to misalignment of mask edges and the final structure is defined by {111} planes. Due to the undercutting, the distance  $D$  reduces and finally becomes zero at a certain index  $T$  as shown in Fig. 13c. Similarly, other side of the reference direction also consists of a structure with index  $T'$  where  $D$  becomes zero. In order to determine the misaligned angle, the following relation is used

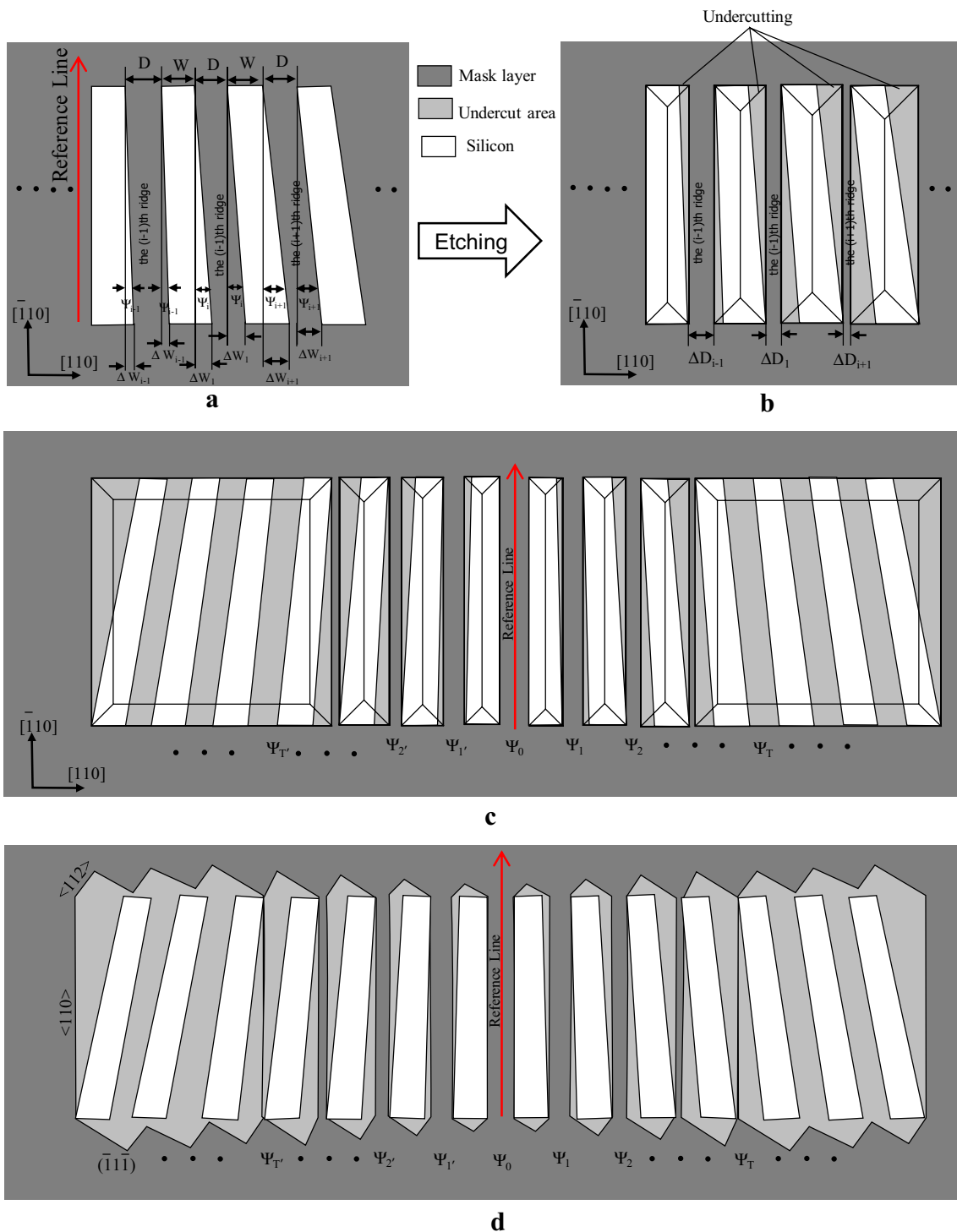
$$\psi_M = \Delta\psi(T - T')/2$$

where,  $\Delta\psi$  is defined by  $\psi_i - \psi_{i-1}$  which is  $0.0125^\circ$ . A positive value of  $\psi_M$  implies that the crystal direction lies in the region of positive tilt angle  $\psi_i$  while a negative value of  $\psi_M$  implies that the crystal direction lies in the region of negative tilt angle  $\psi_i$ . In order to align the subsequent mask precisely along the crystal orientation, an alignment accessory pattern consisting of a series of circular opening is fabricated on an arc of radius  $R$  with centre on the opposite side of the wafer where another circle is fabricated as shown in Fig. 14. The angular pitch of the circles on the alignment accessory pattern is the same as that of the pre-etched pattern. Additional structures called dial structures are also fabricated beside each ridge to indicate the index of the structure. After etching, the pre-etched pattern takes the shape of rectangular groove and the circles

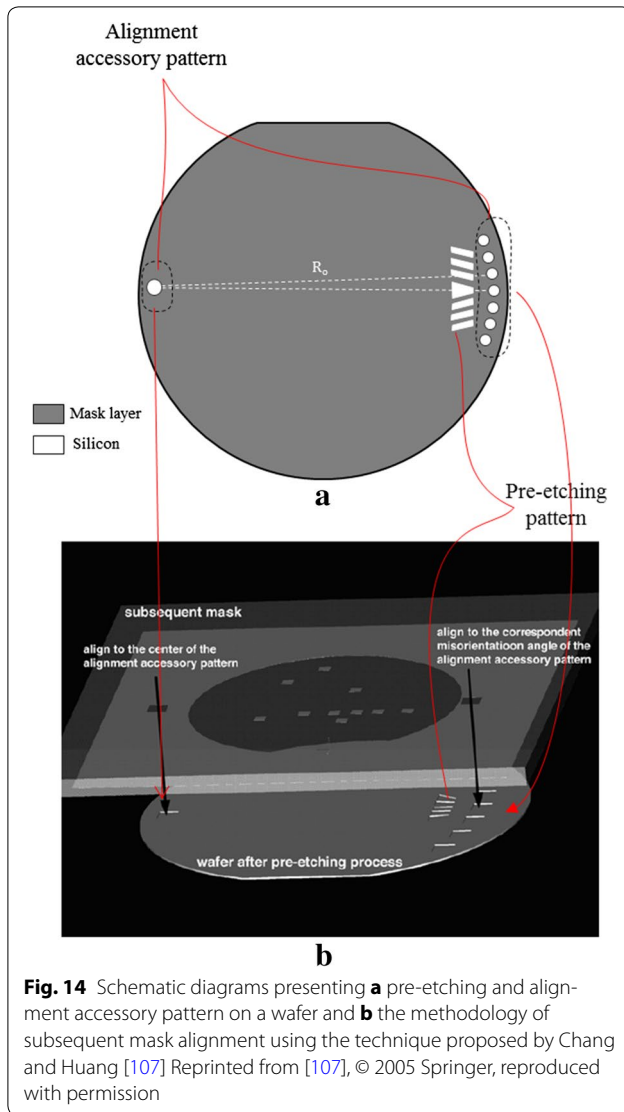
on the alignment accessory pattern as well as the circle at the other end of the wafer takes the shape of square cavity. Then, the index of the ridge corresponding to  $T$  and  $T'$  as discussed earlier are obtained using the dial structure. Subsequently, the mis-orientation angle ( $\psi_M$ ) is calculated. Now, the square opening corresponding to this mis-orientation angle is selected from the alignment accessory pattern for subsequent alignment of mask. The subsequent mask which comprises of two squares: one for aligning the fabricated square on the other end of the wafer and the other for aligning the corresponding square from the alignment accessory pattern is then aligned as shown in Fig. 14. In cases where the wafer flat is perfectly aligned along the  $\langle 110 \rangle$  direction, the index of  $T$  and  $T'$  will be same. This will make the mis-orientation angle  $\psi_M = 0$ . The square opening corresponding to this mis-orientation angle on the alignment accessory pattern is the one beside the 0th ridge which is essentially parallel to the wafer flat.

This method can also be used to determine  $\langle 110 \rangle$  directions on Si{110} wafer. The etched geometry in case of Si{110} wafer is shown in Fig. 13d. This methodology does not require the measurement of small undercut lengths for precise determination of crystal directions. However distinguishing the target ridge from the set of etched ridge is a difficult task. At the same time the etching time increases due to long structures. Additionally it takes a lot of usable wafer space due to long length of the patterns which is itself complex in designing. Overall this methodology to determine the crystal direction is complicated as compared to several other simple methodologies which have been proposed.

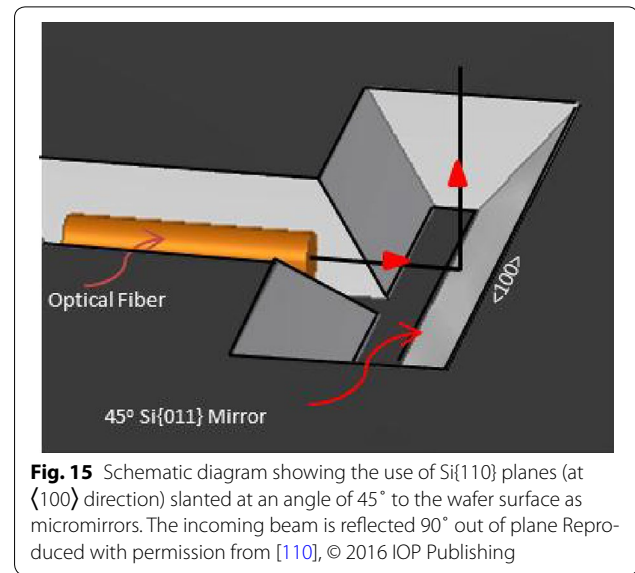
The relative etch rates of silicon planes such as {100} and {110} can be altered significantly by the addition of a small amount of surfactant in high concentration TMAH (e.g. 20–25 wt%) [1, 48–50, 111–119]. In surfactant added 25 wt% TMAH, the etch rate of {110} planes is reduced to a considerably low level, while that of {100} plane remains almost unaltered. This property of surfactant added TMAH is used to fabricate  $45^\circ$  micro-mirrors which are used in optical MEMS to provide  $90^\circ$  out-of-plane reflection as illustrated in Fig. 15 [120–122]. In order to ensure that the reflected beam is exactly perpendicular to the incoming beam, it is vital to ensure that the mirror walls are precisely at an angle of  $45^\circ$ . On Si{001} wafer, {011} plane oriented at an angle of  $45^\circ$  to the wafer surface appear at the  $\langle 100 \rangle$  direction. In order to achieve smooth and exactly  $45^\circ$  sidewall, the mask edges must be precisely aligned along  $\langle 100 \rangle$  direction on Si{001} surface. Earlier attempt at determining the  $\langle 100 \rangle$  direction on Si{100} wafer was done by Chen et al. [108]. They exploited the phenomenon of undercutting at the contact line of the masking layer and the silicon substrate as a tool to determine the crystallographic directions.



**Fig. 13** Schematic representation of the technique using pre-etched pattern with tapered ridges as proposed by Chang and Huang: **a** A part of the patterned structures, **b** etched profile on  $\text{Si}\{100\}$ , **c** the entire etched patterns on  $\text{Si}\{100\}$ , **d** etched profile on  $\text{Si}\{110\}$  [107]. The index of ridge with  $D = 0$  (indexed by T and T') on both sides of the reference line is used to determine the misalignment Reprinted from [107], © 2005 Springer, reproduced with permission

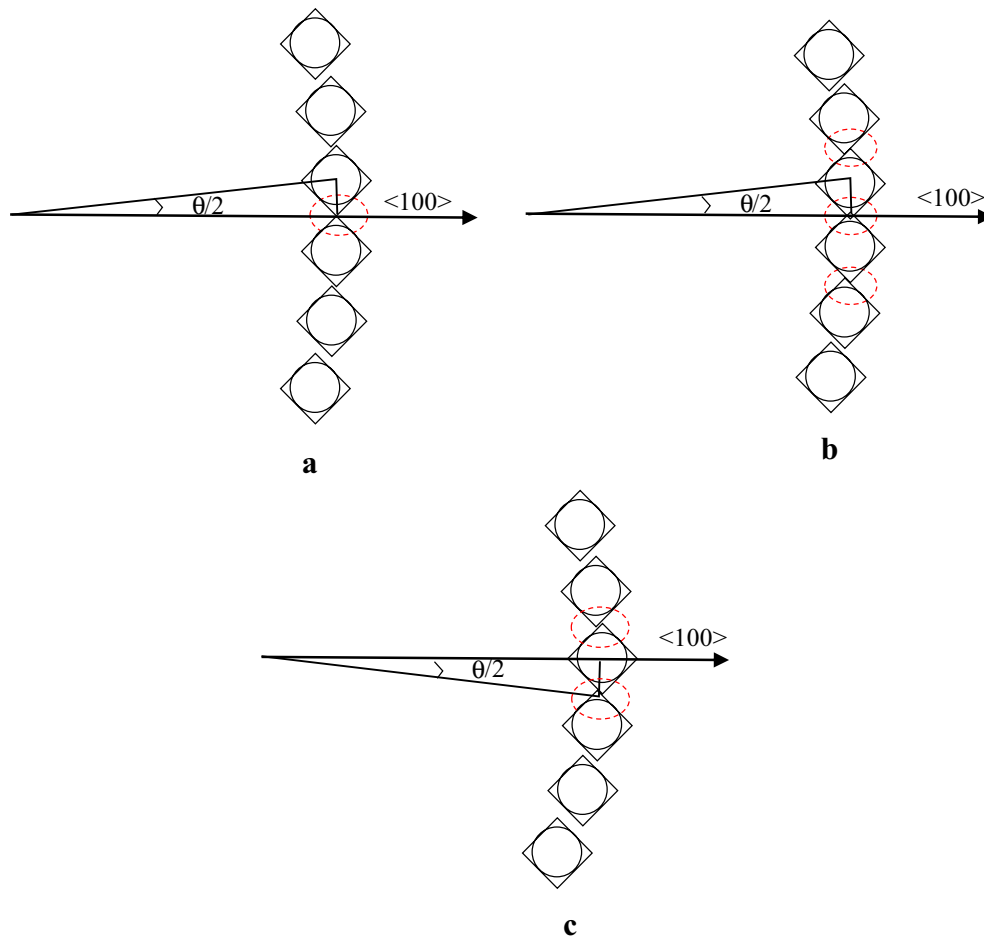


The pre-etched pattern comprises of 21 circles of 50  $\mu\text{m}$  diameter fabricated on an arc of 43 mm. The angular pitch of the circles is  $0.1^\circ$ . Figure 16 shows the schematic diagram of the proposed patterns. Upon etching, the circular opening starts to distort. As the etching continues further the corners of adjacent squares tends to merge with each other. The authors observed the number of such merging to determine the precise direction. In the



cases where there is only one merging point, the precise  $\langle 100 \rangle$  direction lies through the merged point. If the number of merged corners is even, then the precise direction passes through the center of the central square and if the number of merged structures is odd, then the  $\langle 100 \rangle$  direction passes through the central merged point. In this technique, the number of merged points may depend on the etching time. This technique does not require any measurement to determine the undercut lengths and the location of the precise direction can be done by simply observing the number of merging of corners. However, this method is correct under the assumption that the undercutting is uniform for all the structures on the wafer. In practical applications, the undercutting varies for structure to structure even on the same wafer [123, 124]. As a result the reproducibility and correctness of this methodology is questionable as the number of merging can vary depending on the etch rate and can lead to error in the determination of precise crystallographic directions. At the same time, for subsequent alignment, one has to orient the wafer so as to align the mask edge to either small corner points or diagonal of the formed square. Aligning along both these is a tough task as well as prone to error owing to their small size.

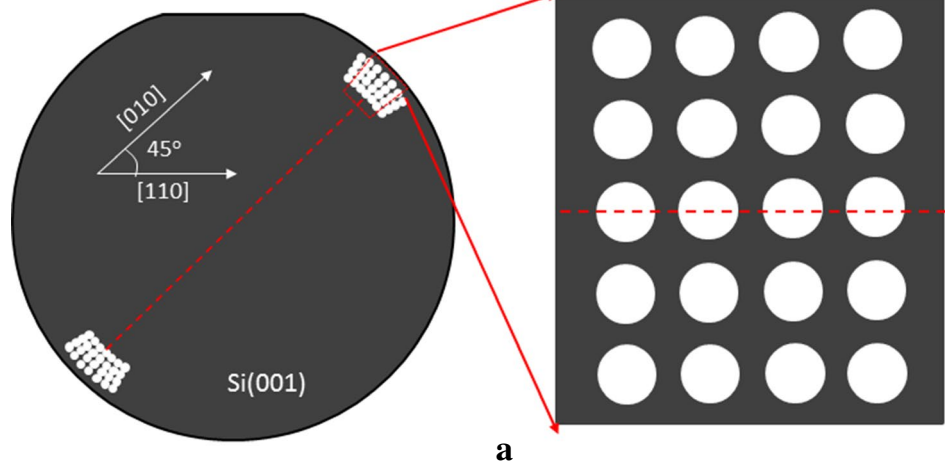




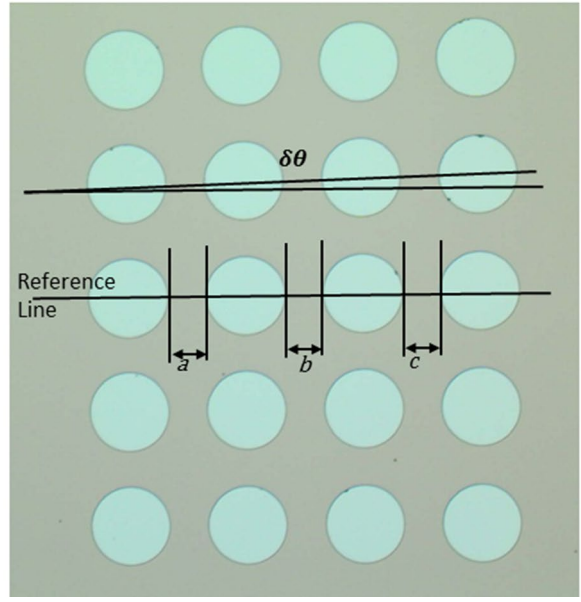
**Fig. 16** Schematic representation of the technique comprising of circular openings suggested by Chen et al. [108]. After etching, due to under-etching at the mask edges, the corners of the square merge with each other with **a** just one corner merging, **b** odd number of corners merging **c** even number of corners merging. In the first case, the  $\langle 100 \rangle$  direction lies between the two merged corners. In the case of odd number of merging, the precise  $\langle 100 \rangle$  direction passes through the middle corner. In cases where there is even number of merges of corners, the  $\langle 100 \rangle$  direction passes through the radial diagonal of the central square Reprinted from [108], © 2000 SPIE, reproduced with permission

Recently, Sajal et al. proposed a self-aligning technique to precisely identify the  $\langle 100 \rangle$  directions on Si $\{100\}$  wafer [109]. The pre-etched pattern comprises of four circles of 100  $\mu\text{m}$  diameter each, fabricated with a spacing of 43.5, 44.5 and 45.5  $\mu\text{m}$ . This differential spacing is incorporated in order to overcome the possibility of merging of notching due to overetching. The set of four circles is repeated at an angular interval of  $0.17^\circ$  on both side of the reference line which is at an angle of  $45^\circ$  to the wafer flat as

shown in Fig. 17. The center of all the four circles lies on a straight line passing through the center of the wafer. The similar set of geometry is also patterned on diametrically opposite end of the wafer to reduce the theta error while subsequent aligning. The patterns are etched in an anisotropic etchant and the circular opening takes the shape of square V-groove with  $\{111\}$  sidewalls as explained in the "Background" section. The optical image of the etched pattern is shown in Fig. 18. Near the perfectly aligned



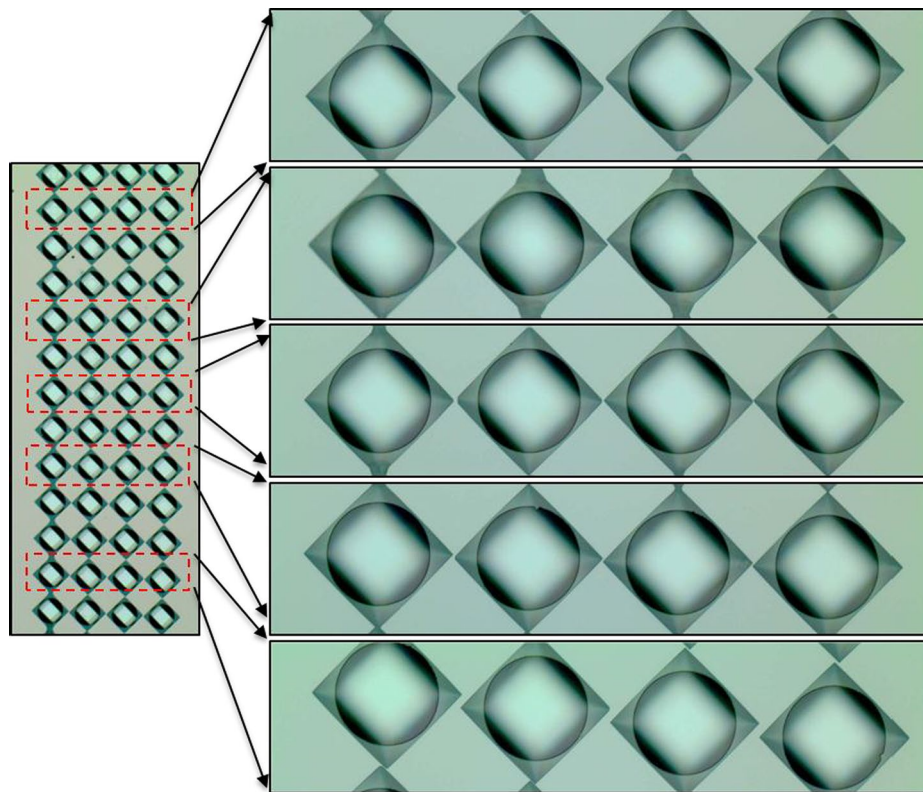
**a**



**b**

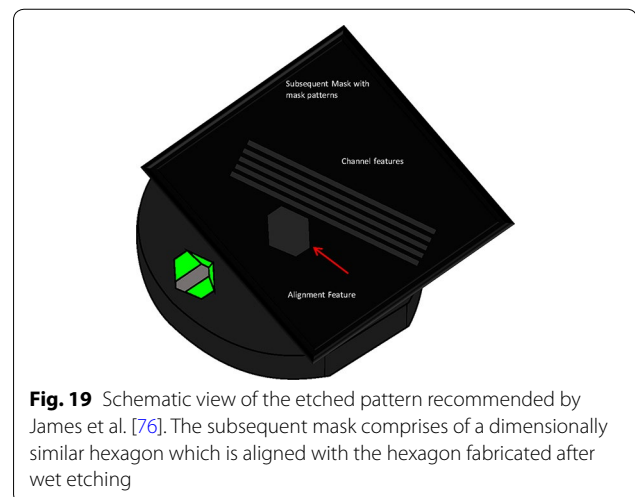
Quantity	Value
Number of circles on each side of wafer	4*49=196
Diameter of each circle	100 $\mu\text{m}$
(a,b,c)	(43.5,44.5,45.5) $\mu\text{m}$
$\delta\theta$	0.17 $^\circ$

**Fig. 17** The patterns comprising of circular opening proposed by Sajal et al. for identifying the  $\langle 100 \rangle$  direction on Si{100}: **a** schematic diagram **b** optical images of the arrangement of pre-etched pattern on diametrically opposite end of the wafer [109]. Table lists the details of the patterns. Reproduced with permission from [110], © 2016 IOP Publishing



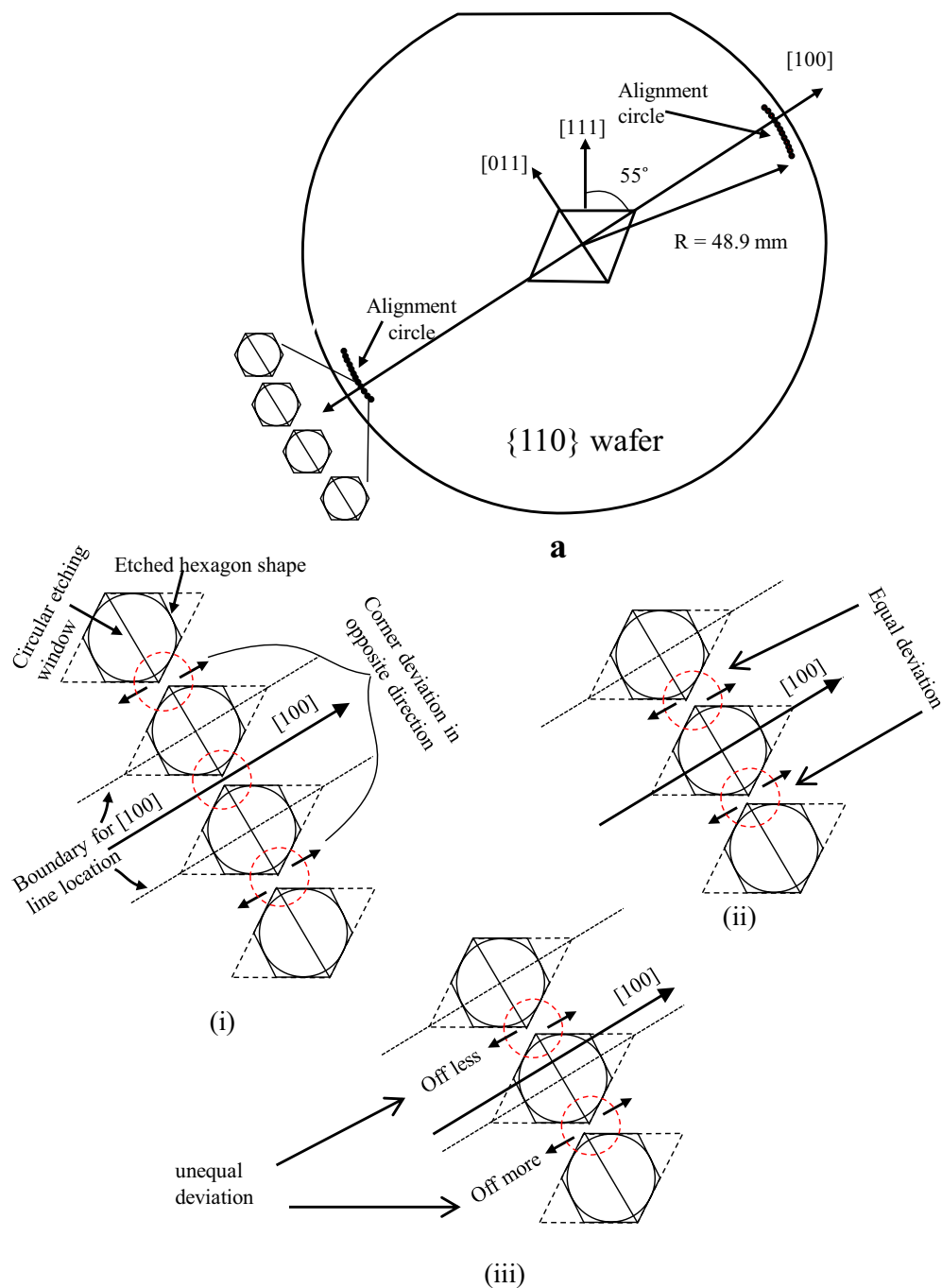
**Fig. 18** Optical image of the etched profile of the pattern shown in Fig. 17 at two different magnifications. At the precise  $\langle 100 \rangle$  direction (*centre pattern*), the notches self-aligns itself to each other making it easily distinguishable with visual inspection. Moving away from the precise direction, the misalignment of the notches increases. This self-aligning features makes the precise  $\langle 100 \rangle$  direction obvious and inhibits the need of measurement of any kind Reproduced with permission from [110], © 2016 IOP Publishing

$\langle 100 \rangle$  direction, the radial diagonal of all the four squares lies on a straight line and the notch of the squares align perfectly to each other. As one move away from the precise  $\langle 100 \rangle$  direction, the notches starts to misalign and are no longer aligned to each other in a straight line as shown in Fig. 18. The extent of misalignment depends on the actual deviation from the precise  $\langle 100 \rangle$  direction. The misalignment of the notches also changes its direction across the  $\langle 100 \rangle$  direction, this also reduced the domain over which one needs to do a careful inspection to find the precise direction. As a result, with a visual inspection under a simple microscope the precise direction can be located without any need of measuring the undercut length. Thus, this is a measurement free technique to determine the crystallographic directions. The aligning of subsequent mask can be done by pre-fabricating a thin line at  $45^\circ$  to the  $\langle 110 \rangle$  direction on diametrically opposite ends of the subsequent mask. This line can then be aligned along the self-aligned patterns which constitutes the  $\langle 100 \rangle$  direction on both sides of the wafer. The set of

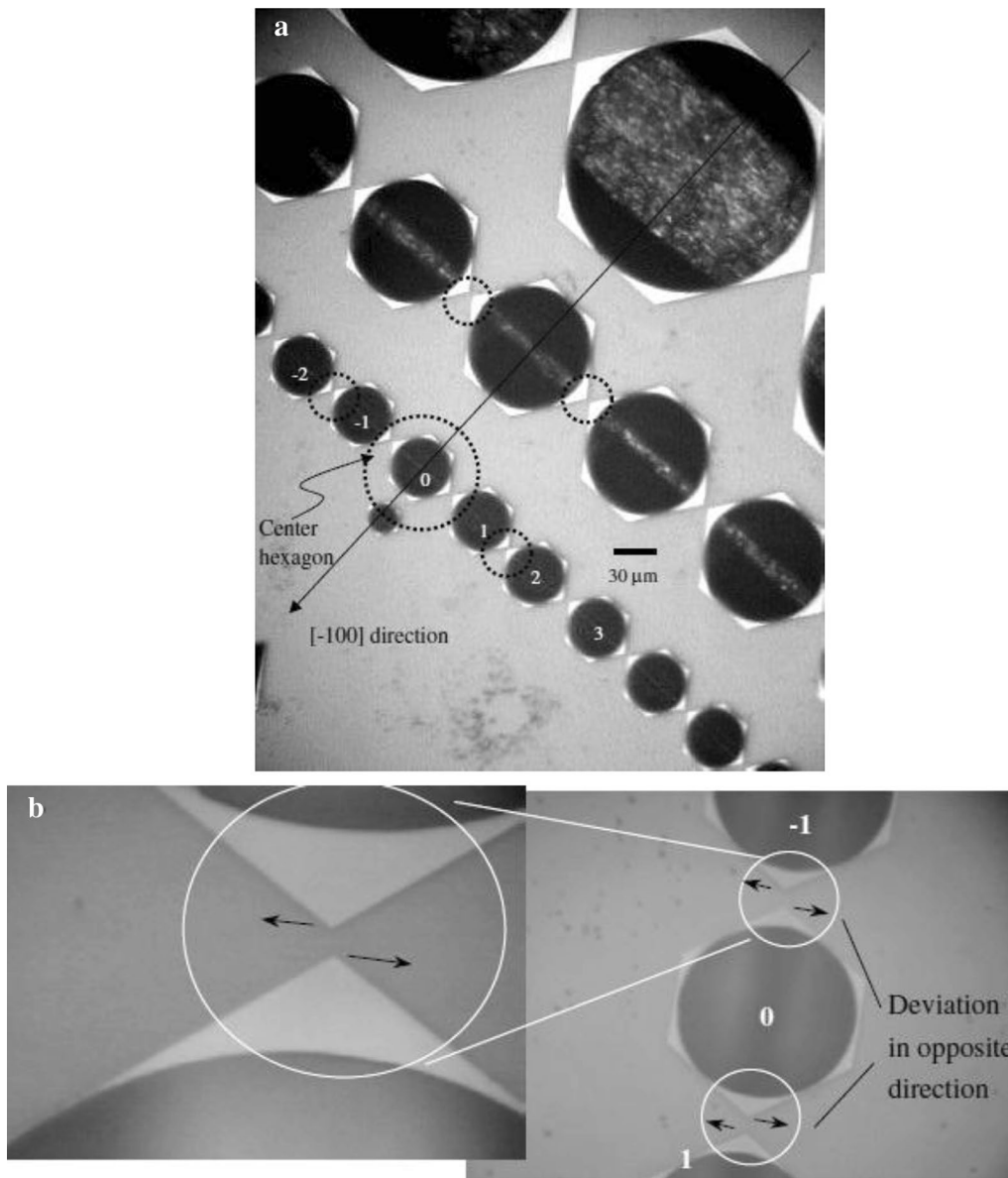


**Fig. 19** Schematic view of the etched pattern recommended by James et al. [76]. The subsequent mask comprises of a dimensionally similar hexagon which is aligned with the hexagon fabricated after wet etching

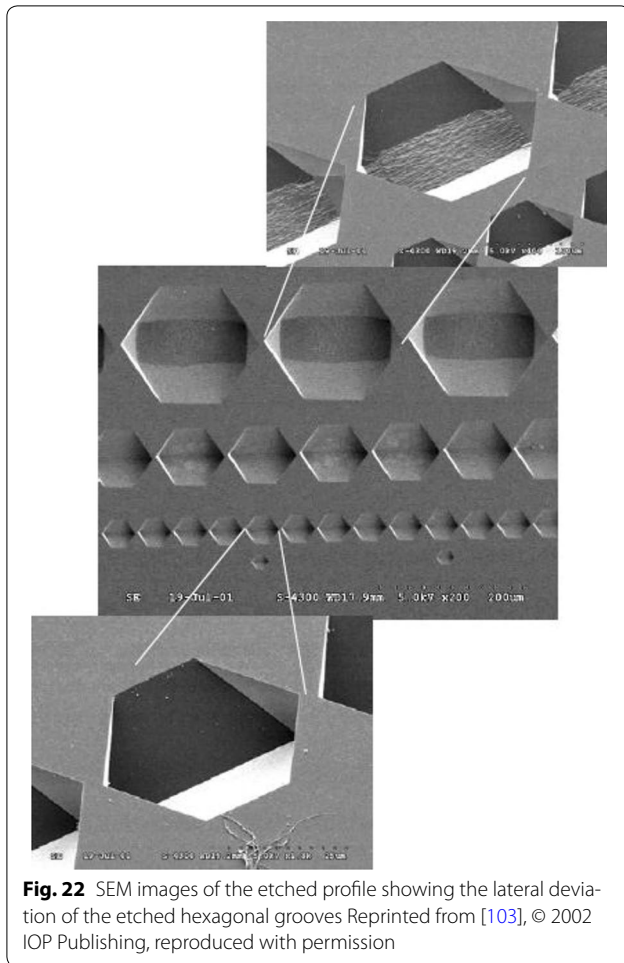
four circles which provides a longer length for the subsequent alignment of mask edges ensures reduction in the theta error.



**Fig. 20** The pre-etched pattern proposed by Tseng and Chang showing the **a** location of the patterns and the deviation of the adjacent corners of the etched profile when there is **i** no deviation, **ii** equal deviation in opposite direction and **iii** unequal deviation in opposite direction [103]. In case of no deviation, the  $\langle 100 \rangle$  directions passes through the *middle* of the corners of the hexagons. In case of equal deviation, the  $\langle 100 \rangle$  direction passes through the center of the hexagons. In case of unequal deviation, the  $\langle 100 \rangle$  direction passes in between the two corners depending on the magnitude of the deviation Reprinted from [103], © 2002 IOP Publishing, reproduced with permission



**Fig. 21** SEM image of the etched profile corresponding to the patterns as shown in Fig. 20. **a** Circles takes the form of hexagonal grooves, **b** zoomed image showing the deviation of corners of adjacent hexagons Reprinted from [103], © 2002 IOP Publishing, reproduced with permission



**Fig. 22** SEM images of the etched profile showing the lateral deviation of the etched hexagonal grooves Reprinted from [103], © 2002 IOP Publishing, reproduced with permission

#### Determination of crystal directions on Si{110} wafer

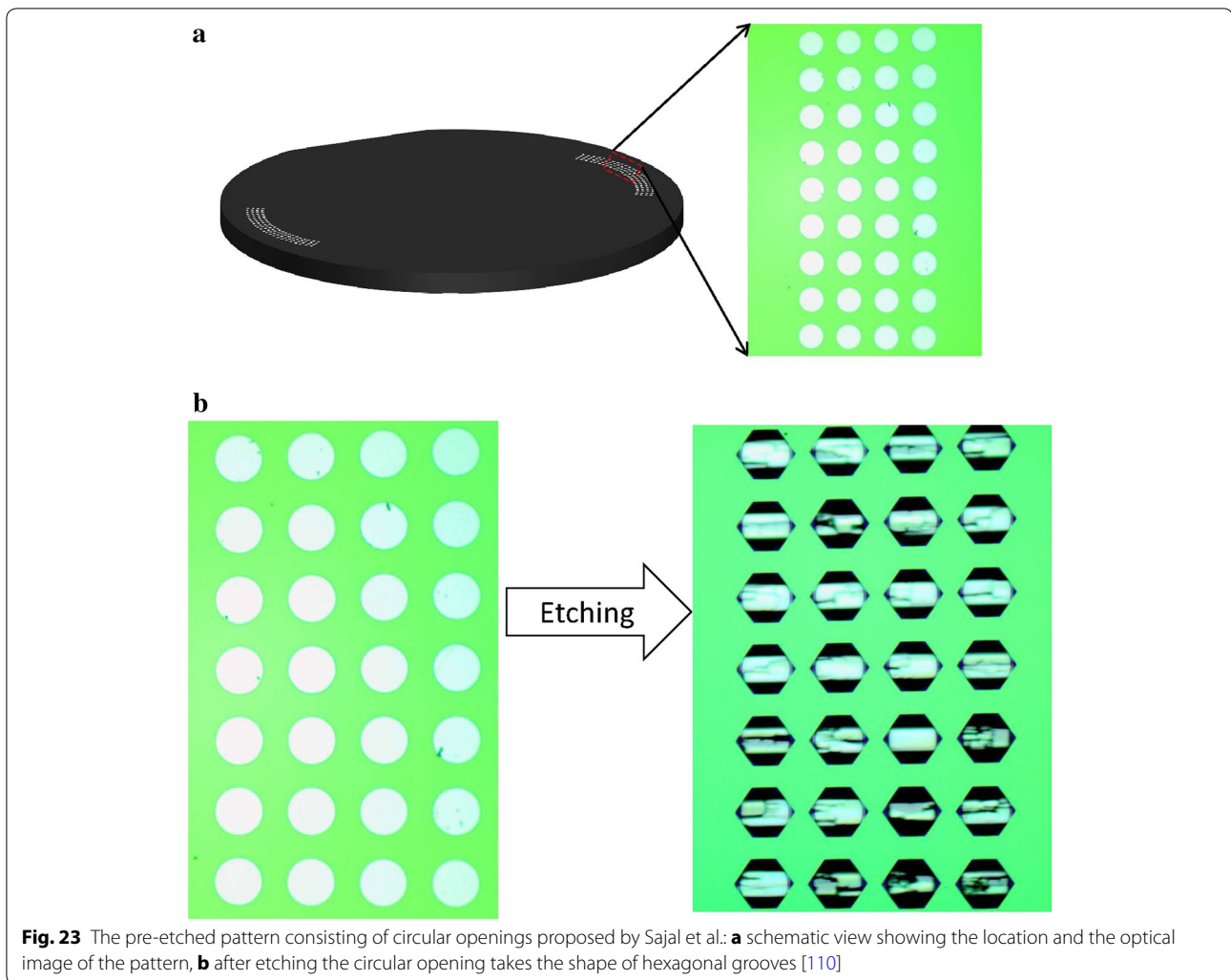
On Si{110} wafer there are primarily two principal directions namely the  $\langle 110 \rangle$  and  $\langle 112 \rangle$  directions, which are useful owing to the appearance of slanted and vertical {111} planes at these two directions, respectively. The appearance of vertical {111} sidewalls makes Si{110} wafer indispensable to fabricate high aspect ratio micro-channels and gratings using wet anisotropic etching for different applications [19, 76]. There have been a few attempts to identify the above mentioned principal directions on Si{110} wafer.

In earlier attempts to precisely identify the  $\langle 110 \rangle$  direction, Ciarlo fabricated a fan like structure with rectangular openings of sides  $8 \mu\text{m}$  by  $3 \text{mm}$  with longer length parallel to the wafer flat ( $\langle 110 \rangle$  direction) [106]. This structure is then repeated at an angular interval of

$0.1^\circ$ . The obtained pattern is similar to that as shown in Fig. 11, however without the square adjacent to each rectangle. Prolonged etching leads to undercutting at the mask edges due to the misalignment of the edges with the  $\langle 110 \rangle$  direction. The structure with the minimum undercut would be the least misaligned with the precise  $\langle 110 \rangle$  direction. This method again deals with the disadvantage of selecting structures with small undercut lengths and increased etching time and using more wafer space etc.

In another method, James et al. used just one circle of diameter  $1 \text{mm}$  as pre-etched pattern, which formed a hexahedron on prolonged etching [76]. The subsequent mask comprising of the structures to be fabricated also comprised of a dimensionally similar hexagon (alignment feature) which was formed after etching. The edges of the hexagon on the mask were then aligned along the edges of the hexahedron formed by wet-etching on the wafer as shown in Fig. 19. This way all the other structures to be fabricated could get aligned to the correct crystallographic direction. This method is also a very simple method to determine the  $\langle 110 \rangle$  and  $\langle 112 \rangle$  directions (given by the sides of the hexahedron) and it also requires less etching and processing time. However it again has the disadvantage of relying on aligning only along one side of the wafer making it prone to theta error.

Tseng and Chang attempted to determine the  $\langle 100 \rangle$  directions on Si{110} wafer employing the hexagonal shape of a circular openings obtained after etching as illustrated in Fig. 20 [103]. In their technique, they fabricated circular openings of diameter  $153, 74$  and  $35 \mu\text{m}$  with centre to centre distance of  $192, 96$  and  $48 \mu\text{m}$  on an arc of radius  $48.9 \text{mm}$  at  $55$  and  $-125^\circ$  to the  $\langle 111 \rangle$  directions. After etching, all the circular openings take the shape of hexagonal groove as explained in the "Background" section. Figures 21 and 22 show the SEM images of the fabricated structures. From the fabricated structures, that particular hexagonal structure is selected across which the direction of lateral deviation of corners changes its direction. The relative positions of the corners of the neighbouring hexagons to this hexagon is used to determine the precise  $\langle 100 \rangle$  direction. Figure 20 depicts schematically the top view of the etched profile of hexagonal grooves. It can be seen that there are three possible cases of the orientation of the corners of the adjacent hexagons. One of the possible orientation is that the corners of adjacent hexagons are precisely aligned to each other and the neighbouring

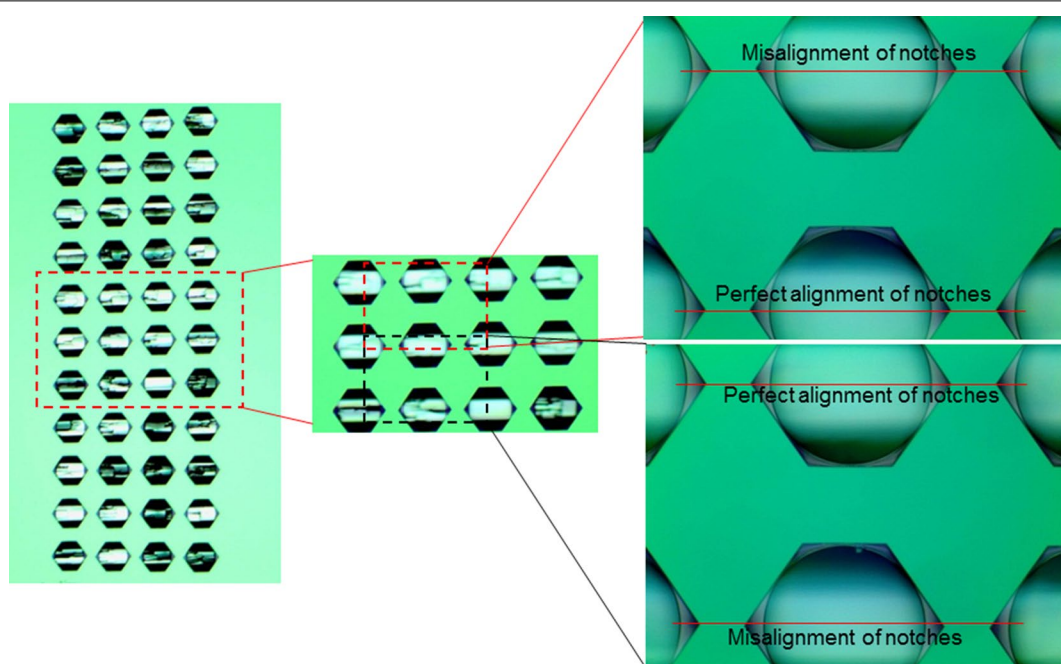


**Fig. 23** The pre-etched pattern consisting of circular openings proposed by Sajal et al.: **a** schematic view showing the location and the optical image of the pattern, **b** after etching the circular opening takes the shape of hexagonal grooves [110]

hexagons have corners deviated in opposite directions. In this case the precise  $\langle 100 \rangle$  direction will lie perfectly in between the corners of the two aligning hexagons as presented in Fig. 20i. The second case is that the corners of hexagons on either side are deviated in opposite directions but with equal magnitude. In this case the precise  $\langle 100 \rangle$  direction will pass exactly through the centre of the hexagon as illustrated in Fig. 20ii. The third case is the intermediate case where the precise  $\langle 100 \rangle$  direction will pass through a point in between the two cases mentioned above depending on the magnitude of lateral deviation as shown in Fig. 20iii. Using this technique, the  $\langle 100 \rangle$  directions on Si{110} wafer can be determined. This technique has the advantage of being measurement free. However for subsequent

alignment, one has to orient the wafer so as to align the mask edge with respect to two corner points unlike long edges in other cases. This task becomes even more difficult when the  $35 \mu\text{m}$  circles are used as reference for better accuracy where high magnification microscope is required to see the deviation. Other circles of larger diameter help in reducing the domain of investigation. However, it increases the etching time and occupies more usable space.

Recently, Sajal et al. presented an improvised measurement free technique to determine the  $\langle 110 \rangle$  direction on Si{110} wafer [110]. A series of circular openings of diameter  $100 \mu\text{m}$  were fabricated on four concentric arcs. Upon etching the circular openings took the shape of hexagonal grooves as shown in the optical image in Fig. 23.



**Fig. 24** Optical images of the etched patterns of a technique presented in Fig. 23 showing the self-aligning nature of the structures zoomed at different magnifications. At the precise  $\langle 110 \rangle$  direction, the notches of the four hexagons aligns exactly to each other while getting misaligned at directions away from  $\langle 110 \rangle$ . The *central pattern* exhibits the precise alignment of notches. At the same time, the immediately *adjacent* patterns (both *top* and *bottom*) can be seen misaligned. As a result the  $\langle 110 \rangle$  direction appears obvious

Near the precise  $\langle 110 \rangle$  direction, the notches of all the four radial hexagons aligns to each other in a straight line while getting misaligned to each other at directions away from  $\langle 110 \rangle$ . The zoomed in optical images presented in Fig. 24 clearly describe the self-alignment nature of the hexagons near the precise  $\langle 110 \rangle$  directions. This method is completely based on visual inspection and does not require any measurement and therefore can be employed using only an optical microscope. The large misalignment at directions away from  $\langle 110 \rangle$  direction reduces the domain over which a closer inspection would be required to determine the precise  $\langle 110 \rangle$  direction. This technique is simple, robust and accurate. Additionally, it provides a longer length for aligning the edges of subsequent mask

patterns. This method overcomes the shortcomings of the previous method where the mask edges needed to be aligned with respect to two corners. In this technique, the pre-fabricated reference line at the wafer end on the mask can be aligned with the notches of four circles along their diagonals. This not only reduces the efforts in alignment of subsequent mask, but also reduces the associated errors.

### Summary

In this review article we have discussed all the techniques available till date to identify the crystallographic directions on Si{100} and Si{110} wafers. We tabulate all the techniques for an easy reference for the readers.



Authors	Wafer orientation	Structure	Alignment technique	Property of the method
James et al. [76]	Si{100} and Si{110}	Cleaved edge	Cleave along the crystallographic direction	<i>Space requirement</i> This method requires scribed lines and therefore full wafer cannot be used for fabrication <i>Measurement required</i> No <i>Accuracy</i> Not accurate <i>Requires sophisticated equipment</i> No <i>Remarks</i> Accuracy depends on the skills of the handler and the precision of the scribed line
Ensell [104]	Si{100}	Circular openings on an arc	After etching, choose structures with minimum distance between the edges of adjacent structures	<i>Space requirement</i> Less <i>Measurement required</i> Yes for better accuracy <i>Accuracy</i> 0.1° <i>Requires sophisticated equipment</i> Yes if measurement is needed <i>Remarks</i> Simple technique
Vangbo and Backlund [102]	Si{100} and Si{110}	Alignment fork	After etching, inspect for structures with symmetric undercutting	<i>Space requirement</i> Less <i>Measurement required</i> No <i>Accuracy</i> 0.05° provided undercutting is constant <i>Requires sophisticated equipment</i> No <i>Remarks</i> Complex in design, requires visual inspection to find symmetric undercut structures, prone to error due to varying undercut rate
Chang and Huang [107]	Si{100} and Si{110}	Tapered ridges	Look for merged structure (target ridge) to determine the misalignment	<i>Space requirement</i> More <i>Measurement required</i> No <i>Accuracy</i> 0.05° (100), 0.03° (110) <i>Requires sophisticated equipment</i> No <i>Remarks</i> Complex design pattern, tedious method
Lai et al. [105]	Si{100}	Rectangular and squared openings on an arc	After etching choose pattern with minimum undercut	<i>Space requirement</i> More <i>Measurement required</i> Yes for better accuracy <i>Accuracy</i> Prone to theta error, can be made more accurate by patterning the same structures on auxiliary sets as well <i>Requires sophisticated equipment</i> Yes if measurement is needed <i>Remarks</i> Merging of structure occurs at directions away from {110}. As a result the domain of inspection reduces to unmerged structures
Chen et al. [109]	Si{100}	Circular openings on an arc	After etching the corners of the formed squares merge due to undercutting. The number of such merged corners decide the position of {100} direction	<i>Space requirement</i> Less <i>Measurement required</i> None <i>Accuracy</i> Good provided undercutting is constant <i>Requires sophisticated equipment</i> No <i>Remarks</i> Due to varying undercutting rate at different structure, this method may give inaccurate results, difficult to align subsequent mask
Sajal et al. [110]	Si{100}	Circular openings on concentric arcs	After etching, the notches of the formed square cavities aligns at precise direction	<i>Space requirement</i> More <i>Measurement required</i> None <i>Accuracy</i> Good <i>Requires sophisticated equipment</i> No <i>Remarks</i> Self aligning methodology makes the crystallographic direction appear obvious, longer length for aligning subsequent mask edges reduces theta error
Ciarlo [106]	Si{110}	Rectangular openings on an arc	After etching choose the rectangle with minimum undercutting	<i>Space requirement</i> More <i>Measurement required</i> Yes for better accuracy <i>Accuracy</i> Good <i>Requires sophisticated equipment</i> Yes if measurement is needed <i>Remarks</i> Simple technique, but requires longer etching time
James et al. [76]	Si{110}	One circular opening of diameter 1 mm	Aligning of formed hexagon with a dimensionally similar hexagon on subsequent mask	<i>Space requirement</i> Less <i>Measurement required</i> None <i>Accuracy</i> Good <i>Requires sophisticated equipment</i> NO <i>Remarks</i> Very simple, requires very less etching time, uses less space, prone to theta error as aligning of mask patterns is done only on one side of the wafer

Authors	Wafer orientation	Structure	Alignment technique	Property of the method
Tseng and Chang [103]	Si{110}	Circular openings of various diameters on concentric arcs	Alignment of notches of adjacent hexagons	<i>Space requirement</i> More <i>Measurement required</i> None <i>Accuracy</i> Good, but alignment with respect to corners makes it prone to error <i>Requires sophisticated equipment</i> High magnification microscope to visualise corner deviation of smaller circles for better accuracy <i>Remarks</i> Longer etching time, alignment of subsequent mask is done with respect to corners
Sajal et al. [110]	Si{110}	Circular openings on concentric arcs	After etching, the notches of the formed hexagons aligns precisely to each other at the precise $\langle 110 \rangle$ direction	<i>Space requirement</i> More <i>Measurement required</i> None <i>Accuracy</i> Good <i>Requires sophisticated equipment</i> No <i>Remarks</i> Crystal direction becomes obvious as the notches self-aligns itself at the precise direction, longer length for subsequent alignment of prefabricated line on subsequent mask

## Conclusions

This review article is aimed at aggregating at one place, the different techniques proposed till date to precisely determine the crystallographic directions on Si{100} and Si{110} wafers. Different techniques including the cleaved-edge method and the use of pre-etched patterns to determine the crystal directions are discussed. Different geometric patterns are analysed based on their applicability on either Si{100} or Si{110} wafer. The basic technique to align the mask edges along the crystal directions is to use the wafer flat as the reference. However, given the uncertainty in the determination of wafer flat, it is not enough when high dimensional accuracy is desired. As a result alternate methodologies have been developed. One of them is by fabricating structures using the wafer flat as the reference and then using the edges of the structure with minimum undercut length as the accurate crystal direction. However, measurement of such undercut lengths which are very small in some cases is a tedious task as well as requires sophisticated equipment. To overcome these shortcomings, other techniques have been proposed which do not require the measurement of any kind. One of them is to by choosing structures whose corners merge after etching, another by choosing the structure with symmetric undercut etc. While these techniques are measurement free, still it comes with an associated errors due to varying undercut rate at different places on wafers, the difficulty in determining the target structures where undercutting is symmetric, etc. More recently, self-aligning techniques have been developed which makes the crystal direction appear obvious by virtue of the self-alignment nature of the structures. These techniques overcome the shortcomings of all the previous techniques in terms of being measurement free, robustness, simplicity in implementation and reduced efforts required for identifying the direction. These techniques can be employed without using any sophisticated equipment.

## Authors' contributions

SSS and PP carried out literature search and wrote the manuscript. AKP, YX and KS reviewed/edited the manuscript. All authors read and approved the final manuscript.

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The authors declare that they have no competing interests.

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