

River Flow Regimes From Landscape and Climate

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Abstract

Characterizing the probability distribution of streamflows in catchments lacking in discharge measurements represents an attractive prospect with consequences for practical and scientific applications, in particular water resources management. In this paper, a physically-based analytic model of streamflow dynamics is combined with existing water balance models and a geomorphological flow recession model in order to estimate streamflow probability distributions based on catchment-scale climatic and morphologic features. Starting from rainfall data, potential evapotranspiration and digital terrain maps, the model proved capable of capturing the statistics of observed streamflows reasonably well in eleven test catchments (Mean Squared Relative Error equal to 0.13 and 0.06 for the mean discharge and coefficient of variation of daily flows respectively). The approach developed offers a novel method for estimating water resources availability based on limited information about climate and landscape.

Keywords: streamflows, stochastic model, flow duration curve, physically-based

1. Introduction

2 The probability distribution of streamflows and the associated flow duration curve
3 provide information on the availability of water resources in a catchment. This is im-
4 portant both for anthropogenic exploitation of flows (e.g. industrial and civil uses or

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Preprint submitted to Advances in Water Resources

March 10, 2015

5 power generation) and the maintenance of functioning ecological processes within the
6 riverine environment [*Postel and Richter, 2003; Ziva et al., 2012; Hurford et al., 2014;*].
7 Streamflow probability distributions summarize main features of the flow regime, as well
8 as flow dynamics related to different geographical and climatic settings. For this reason,
9 they have long been a key tool for water resource management [*Vogel and Fennessey,*
10 1995].

11 The absence of dense discharge measurement networks makes the assessment of river
12 flow availability challenging. Extensive literature exists on estimation of flow duration
13 curves in sparsely gauged and ungauged catchments [*Merz and Blöschl, 2004; Blöschl et*
14 *al., 2006; Castellarin et al., 2004; Oudin et al., 2008; Castiglioni et al., 2010; Hrachowitz*
15 *et al., 2013*]. Both empirically-based and physically-based approaches are suited to the
16 scope. Among the former, statistical models employ discharge time series observed at
17 instrumented outlets of neighboring catchments or within identified homogeneous regions
18 to predict the flow regime of ungauged basins using the concept of hydrologic similarity
19 [*Wagner and Wheeler, 2006; Castellarin et al., 2007; Ganora et al., 2009*]. Physically-
20 based approaches, instead, mimic the hydrologic response of the basin to rainfall inputs by
21 describing the underlying processes of soil moisture dynamics and rainfall-runoff transfor-
22 mation [*Beven and Kirkby, 1979; Botter et al., 2007; Yokoo and Sivapalan, 2011; Cheng*
23 *et al., 2012; Booker and Woods, 2014*]. Such models have the advantage of setting causal
24 relationships among climate input, morphological features, and geopedologic attributes
25 allowing for an improved understanding of the physical processes that control the water
26 cycle [*Wagner et al., 2007; Gupta et al., 2008; Hrachowitz et al., 2013*].

27 Many studies have highlighted the relationship between channel network structure
28 and hydrologic response of the catchment [*Rinaldo, 1991; Rinaldo et al., 1995; Rodriguez-*
29 *Iturbe et al., 2009; Biswal and Marani, 2010; Mutzner et al., 2013; Gosey and Kirchner,*
30 2014]. In particular, geomorphological interpretations of recession dynamics have been
31 proposed, which have been used to infer geomorphic signatures of the hydrologic response
32 [*Harman et al., 2009; Biswal and Marani 2014*]. Given the wide availability of high
33 resolution Digital Elevation Models (DEM), the link between geomorphological attributes
34 of the landscape and flow properties is particularly interesting for improving our ability
35 to describe flow regimes in poorly gauged areas.

36 Landscape properties and catchment morphology have also been recognized as ma-
37 jor determinants of vegetation patterns, water use efficiency and hydrologic partitioning
38 [*Troch et al., 2009; Rodriguez-Iturbe et al., 2009; Voepel et al., 2011; Thompson et al.,*
39 2011a]. The understanding of the major drivers of the water balance has a long history,
40 which is rooted in pioneering works by *Thornwaite* [1948], *Longbein* [1949] and *Budyko*
41 [1974] who first demonstrated the dependence of hydrologic partitioning on climate fea-
42 tures, as well as on the competition between available soil water and available energy for
43 vaporization. More recent works have highlighted that the seasonality and stochasticity
44 of rainfall, vegetation features, and landscape properties are also important for attaining
45 reliable predictions of water balance [*Milly, 1994; Porporato et al., 2004; Donohue et*
46 *al., 2007; Zhang et al., 2008*]. Despite the inherent difficulty in incorporating the ef-
47 fects of soil, vegetation and climate heterogeneity into low dimensional catchment-scale
48 formulations, our understanding of the spatio-temporal variability of hydrologic parti-
49 tioning between streamflow and evapotranspiration has improved significantly in recent
50 years [*Sivapalan et al., 2001; Thompson et al., 2011b; Zanardo et al., 2012*]. These ad-
51 vances can provide important clues for the prediction of water resources in rivers and for
52 forecasting of their response to climate change [*Destouni et al., 2013*].

53 In this study, we present and exemplify a physically-based framework capable of
54 predicting the flow regime in the absence of discharge data. The framework is grounded
55 in the stochastic analytic model developed by *Botter et al.* [2007]. This is a mechanistic
56 approach where the dynamics of daily streamflows are linked to a spatially-integrated
57 soil water balance forced by intermittent rainfall. This paper adopts the version of the
58 model in which the hydrologic response of the catchment is assumed to be non-linear
59 [*Botter et al., 2009; Ceola et al., 2010*]. The four physically-based parameters that
60 define the flow duration curve are estimated based on climatic (rainfall and potential
61 evapotranspiration) and geomorphological data (Digital Elevation Maps), integrating
62 established water balance models [*Budyko, 1974; Milly, 1994; Porporato et al., 2004;*
63 *Sivapalan et al., 2011*] with a geomorphic recession flow model [*Biswal and Marani, 2010*].
64 The framework is meant to mimic conditions that are typical of sparsely gauged areas
65 and exploit a set of gauged catchments and a lumped regional approach for estimating
66 the water balance based on climate data. Moreover, the model explicitly incorporates

67 the geomorphic relationship between the river network structure and recession properties
68 of flows.

69 This paper is organized as follows: section 2 provides a summary of the hydro-climatic
70 data, the selection criteria for the study catchments, and the essential information about
71 these catchments. In section 3, we introduce the analytical model for the probability
72 density function of streamflows and define the relevant model parameters. Section 4
73 outlines the method proposed for the parameter estimation in the absence of discharge
74 data. In particular, the performance of different water balance models were tested for the
75 estimation of the frequency of flow producing events. The ranking of the water balance
76 models and the results of predicting the streamflow regimes are discussed in section 5.
77 In this section the limitation of the proposed framework are elaborated on. Section 6
78 provides the overall conclusions of this study.

79 **2. Study Catchments and Hydro-climatic Data**

80 49 catchments were used in this study in two sets: (i) catchments used for calibration
81 of the water balance model (Table 1); (ii) catchments where streamflow distribution was
82 predicated using only climate data (calculated based on the calibrated water balance
83 model) and morphological data (Table 2). The catchments are distributed relatively
84 evenly throughout the United States, east of the Rocky Mountains. The size of the
85 basins span between 40 and 2000 km^2 and include many different climatic regions. All
86 the study catchments are pristine and not impacted by regulation or storage. Figure
87 1 shows the spatial distribution of the 49 catchments across the US. The *CGIAR* av-
88 erage annual potential evapotranspiration is shown on the background to represent the
89 underlying heterogeneity of climate regimes. The northern catchments (marked with a
90 dotted circle) experience relevant snow precipitations during winter. The presence of
91 snow significantly impacts the water balance across seasons, in particular by storing wa-
92 ter inside the catchment in winter (when precipitation occurs) and releasing the stored
93 water in spring (when the snow melting increases the runoff coefficient). Thus, in the
94 catchments affected by snow dynamics, results from winter and spring were disregarded
95 in the application of water balance models at the seasonal scale.

96 Potential Evapotranspiration (PET) data has been acquired through two different

97 data bases: (i) The ‘MODIS global evapotranspiration Project’ (MOD16), available
98 from the Montana University (<http://www.ntsg.umt.edu>), which includes a dataset
99 providing PET at 1 km^2 resolution for 10^9 Million km^2 global vegetated land areas at
100 8-day, monthly and annual time resolution; (ii) The ‘CGIAR-CSI Global-Aridity and
101 Global-PET Database’ [Zomer *et al.*, 2007], a freely available global PET database
102 (<http://www.cgiar-csi.org>). This information was integrated into a geographical
103 information system (ESRI ArcGis 10.0). The exact location of the discharge gauges
104 were determined on a detailed map of the river network of the United States provided
105 by the NOAA (info: [http://www.nws.noaa.gov/geodata/catalog/hydro/metadata/
106 riversub.htm](http://www.nws.noaa.gov/geodata/catalog/hydro/metadata/riversub.htm); download: <https://www.ncl.ucar.edu/Applications/Data/>). The
107 contributing catchments and drainage networks upstream of the discharge gauging sta-
108 tions were then estimated.

109 Daily rainfall records provided by the American National Oceanic and Atmospheric
110 Administration (NOAA), and daily discharge records provided by the United States
111 Geological Survey (USGS) were used in this study. Available time series typically span
112 several decades. A set of pristine catchments, where synchronous rainfall and discharge
113 data were available for at least 10 years, was selected. For each streamflow gauging
114 station selected in the study, a representative rainfall station (located as close as possible
115 to the center of the catchment area) was selected. The reliability of using just one
116 rainfall gauge for each catchment was supported by previous studies [see *Botter et al.*,
117 2013], which proved that given the size of the basins (Table 1) selected in this study, the
118 spatial variability of daily rainfall statistics is weak, and the use of a single rainfall station
119 does not introduce any remarkable bias in the analysis. Finally, spatially averaged value
120 of PET was calculated for every catchment and every PET dataset.

121 **3. Analytical Model of $p(Q)$: Linking Flow Regime to Geomorphoclimatic** 122 **Data**

123 The river flow regime can be captured and presented through the seasonal probability
124 density function (PDF) of daily streamflows. In this work, we employ the analytical
125 mechanistic model developed by *Botter et al.* [2009]. This model is based on a catchment-
126 scale soil water balance forced by stochastic rainfall which is modeled (at daily timescales)

127 as a marked Poisson process with frequency $\lambda_P [T^{-1}]$ and exponentially distributed depths
128 with average $\alpha [L]$ [Rodriguez-Iturbe *et al.*, 1999; Porporato *et al.*, 2004; Botter *et al.*,
129 2007]. In this framework the dynamics of the specific streamflow Q (per unit catchment
130 area) is made up of two components: (i) instantaneous jumps corresponding to rainfall
131 events filling the soil water deficit in the root zone. These events take place with frequency
132 $\lambda < \lambda_P$ and are also represented by a marked Poisson process; (ii) power law decays in
133 between events as implied by a non-linear catchment-scale storage-discharge relationship
134 [Brutsaert and Nieber 1997; Porporato and Ridolfi, 2003; Kirchner, 2009; Ceola *et al.*,
135 2010]. Therefore, the temporal dynamics of Q during a given season is described by the
136 following relation:

$$137 \quad \frac{dQ(t)}{dt} = -KQ(t)^a + \xi_Q(t) \quad (1)$$

138 where $\xi_Q(t)$ represents the stochastic noise (the sequence of state dependent random
139 jumps of Q , associated with those rainfall events which produce streamflow); $K [L^{1-\alpha} T^{\alpha-2}]$
140 and a are the coefficient and exponent of the power law relation that describes the rate of
141 decrease of Q during the recession. The steady-state PDF of streamflows can be derived
142 from the solution of the master equation associated to equation (1) [Botter *et al.*, 2009]
143 as:

$$144 \quad p(Q) = CQ^{-a} \exp\left(-\frac{Q^{2-a}}{\alpha K(2-a)} + \frac{\lambda Q^{1-a}}{K(1-a)}\right) \quad (2)$$

145 where C is a suitable normalizing constant. Equation (2) expresses the seasonal flow
146 regime as a function of four physically-based parameters that embed the geomorphic and
147 climate features of the contributing catchment. The original formulation (see eq.(2) of
148 Ceola *et al.* [2010]) includes an atom of probability for $Q = 0$ for cases where $0 < a < 1$.
149 Such conditions are rare in real world settings [Biswal and Marani, 2010; Ceola *et al.*,
150 2010; Mutzner *et al.*, 2013] and thus only cases with $a > 1$ have been considered in this
151 study.

152 The flow duration curve is expressed by the cumulative distribution function (CDF)
153 of Q and can therefore be calculated by integrating equation (2):

$$154 \quad D(Q) = \int_Q^{+\infty} p(x) dx \quad (3)$$

155 Closed-form analytical expressions of $D(Q)$ are available only for special cases (e.g.
156 $a \in \mathbb{N}$). The above model considers streamflows at the daily time scale and fast com-

157 ponents of the hydrologic response are implicitly incorporated in the non-linear storage-
158 discharge relationship that drives the soil drainage. The major assumptions underlying
159 the analytical formulation shown in equation (2) are: (i) the Poisson distribution of
160 flow-producing events; (ii) the exponential distribution of the daily rainfall (and effec-
161 tive rainfall) depths; (iii) the lack of inter-event variability of recession features; (iv)
162 the spatial homogeneity of climate and landscape properties. Moreover, the interference
163 caused by snow accumulation and melting is not explicitly included in the formulation.
164 Extensive applications and generalizations of this approach have been published in pre-
165 vious studies [Botter *et al.*, 2010, 2013; Ceola *et al.*, 2010; Pumo *et al.*, 2013; Schaeftli *et*
166 *al.*, 2013; Mejia *et al.*, 2013; Müller *et al.*, 2014].

167 4. Estimating the Parameters of $p(Q)$

168 The PDF of streamflows (equation (2)) relies on four parameters: α , λ , K , and a ,
169 which incorporate important climatic and geomorphologic features of the catchment.
170 The value of α is estimated using climate data gathered within each test catchment.
171 a and K are estimated for each test catchment through a geomorphic recession model
172 that is applied locally. The value of λ is estimated for each test catchment through
173 water balance models that are independently calibrated based on discharge data from 38
174 different catchments distributed east of the rocky mountains in the US. These methods
175 are explained in detail below.

176 4.1. Computation of α

177 Mean rainfall depth (α) is estimated by means of daily rainfall data recorded at
178 climatic stations within the boundaries of each catchment. In particular, α is calculated
179 as the mean precipitation during wet days in the considered season.

180 4.2. Computation of λ

181 According to the analytical formulation (equation (1) and (2)) the long-term mean
182 of Q is defined as $\langle Q \rangle = \alpha\lambda$. Therefore, the frequency of effective rainfall events λ
183 is estimated from precipitation using a water balance model as $\lambda = \phi \lambda_p$, where λ_p is
184 the frequency of rainfall events (estimated as the relative fraction of rainy days in the

185 seasonal time series) and $\phi = \langle Q \rangle / \langle P \rangle$ is the average seasonal runoff coefficient
 186 (i.e. the ratio of mean discharge to mean precipitation). ϕ can be estimated by means
 187 of calibrated water balance models using precipitation and PET data.

188 Four existing water balance models were tested and compared by analyzing their abil-
 189 ity to predict observed runoff coefficients at 38 catchments within the study region (Table
 190 1). This number was deliberately maximized to test each model under a broad range of
 191 hydro-climatic conditions and identify the best approach in general within the study area.
 192 The models include empirical, semi-empirical and physically-based approaches (Table 3
 193 and reference therein). Each model has a different number of parameters, which were
 194 calibrated in order to maximize model performances. We assume the spatial variability
 195 of the water balance within the study region can be explained by the underlying het-
 196 erogeneity of the precipitation and PET. Hence, model parameters were assumed to be
 197 spatially homogeneous, so that the calibrated parameters can be exported to other catch-
 198 ments within the study region, including the eleven test catchments where flow regimes
 199 are predicted.

200 The first model (WB1) represents the widely accepted empirical Budyko curve [*Budyko,*
 201 *1974*]. The Budyko curve represents a very simple and effective way to estimate the an-
 202 nual runoff coefficient, based on rainfall and PET data. The runoff coefficient is estimated
 203 as a non-linear function of the ‘Dryness Index’ (D_I), defined as the ratio between annual
 204 average potential evapotranspiration and the annual average rainfall ($\langle PET \rangle / \langle P \rangle$). The
 205 analytical function of the Budyko curve reads:

$$206 \quad \phi = 1 - \left[D_I (1 - e^{-D_I}) \tanh \left(\frac{1}{D_I} \right) \right]^{0.5} \quad (4)$$

207 In this model the only variable involved is D_I , which depends on rainfall and potential
 208 evapotranspiration. In our application rainfall is measured in climatic stations and the
 209 PET is derived from either the *MODIS* or the *CGIAR* dataset. Therefore, there are
 210 no parameters to be calibrated.

211 The second model (WB2) is a physically-based minimalist model, where the catch-
 212 ment water-storage is seen as a stochastic state variable that governs the water balance
 213 either point-wise [*Rodriguez-Iturbe et al., 2001*] or at the catchment scale [*Porporato et*
 214 *al., 2004; Seltin et al., 2007*]. Soil moisture dynamics are interpreted and modeled at

215 daily time scales, by conceptualizing the soil as a reservoir with a finite storage capac-
 216 ity (equal to nZ , where n is porosity and Z the rooting depth) intermittently filled by
 217 rainfall events in the form of random pulses with random depth. When soil moisture s
 218 exceeds a given threshold s_1 (an empirical parameter with a value between field capacity
 219 and complete saturation), the excess rainfall is lost by vertical drainage. Water losses
 220 occur via evapotranspiration (which is smaller than PET for $s < s_1$ due to water stress),
 221 drainage and surface runoff (when the soil is saturated). The mean runoff coefficient is
 222 written as [Porporato *et al.*, 2004]:

$$223 \quad \phi = \frac{D_I \gamma^{\frac{\gamma}{D_I}} e^{-\gamma}}{\gamma (\Gamma(\gamma/D_I, \gamma))} \quad (5)$$

224 where, $\Gamma(\cdot, \cdot)$ is the lower incomplete Gamma function, D_I is the Budyko's dryness index,
 225 and γ the maximum soil water storage available to plants normalized to the mean rainfall
 226 depth ($\gamma = \frac{(s_1 - s_w)nZ}{\alpha}$, with s_w representing the wilting point). D_I is calculated from
 227 climatic data. Consequently, calibration was performed on the rooting depth. This
 228 model is particularly suited to be used in association with the streamflow model used in
 229 this paper, which was originally conceived by coupling WB2 with a simplified hydrologic
 230 response model [Botter *et al.*, 2007].

231 The third model (WB3) [Milly, 1994] is based on the hypothesis that the long-term
 232 water balance is determined by the local interaction of fluctuating water supply (precip-
 233 itation) and demand (potential evapotranspiration), mediated by water storage in the
 234 soil. The partitioning of average annual precipitation into evapotranspiration and runoff
 235 is assumed to depend on the following factors: dryness index, the mean number of precip-
 236 itation events per year, the ratio of spatially averaged soil water holding capacity to the
 237 annual average precipitation, the spatial variability of storage capacity, and seasonality
 238 of precipitation and PET. The model postulates that in humid areas ($D_I < 1$) the dom-
 239 inant factor producing runoff is the excess of annual precipitation over annual potential
 240 evapotranspiration; in arid regions ($D_I > 1$), instead, runoff is largely caused by forcing
 241 variability over time. The resulting analytical expression of the runoff coefficient reads

242 [Milly, 1994]:

$$243 \quad \phi = 1 - (1 - D_I) \sum_{j=0}^{\infty} [1 + j\gamma(D_I^{-1} - 1)k^{-1}]^{-k} D_I^j \quad \text{for} \quad D_I < 1 \quad (6)$$

$$244 \quad \phi = 1 - (1 - D_I^{-1}) \sum_{j=0}^{\infty} [1 + (j + 1)\gamma(1 - D_I^{-1})k^{-1}]^{-k} D_I^{-j} \quad \text{for} \quad D_I > 1 \quad (7)$$

245 where γ represents the normalized soil water storage and D_I is the dryness index. Spatial
 246 heterogeneity of soil properties is accounted for through the shape parameter k of the
 247 Gamma PDF that describes the spatial distribution of soil storage capacity. In WB3 the
 248 calibrated parameters were Z and k .

249 Model WB4 [L'vovich, 1979; Ponce and Shetty, 1995a, 1995b; Sivapalan et al., 2011]
 250 is an annual water balance which is performed through a two-stage partitioning: first,
 251 annual precipitation P is decomposed into quick flow (S) and infiltration (termed catch-
 252 ment wetting, W). Subsequently, the resulting wetting is partitioned into slow flow (U)
 253 and an energy-dependent vaporization component (evaporation plus transpiration ET).
 254 This two-stages portioning can be written as $P = S + W$ and $W = U + ET$. The
 255 threshold values of P and W that must be exceeded before flow can occur are defined
 256 as $\lambda_s W_p$ and $\lambda_u PET$ respectively, where λ_s and λ_u are empirical parameters. W_p and
 257 PET are the upper bounds of $\langle W \rangle$ and $\langle ET \rangle$, which thus represent the potential wetting
 258 and the potential evapotranspiration of a catchment, respectively. Both the quick-flow
 259 and slow-flow components need to be combined to yield the total discharge in the stream
 260 ($Q = U + S$). The runoff equation is then expressed as [Sivapalan et al., 2011]:

$$261 \quad \phi = \frac{1 + \langle \widetilde{P} \rangle \varphi}{1 + \varphi + \langle \widetilde{P} \rangle \varphi} \quad (8)$$

262 where, $\varphi = \frac{PET - \lambda_u PET}{\langle P \rangle - \lambda_s W_p}$ and $\langle \widetilde{P} \rangle = \frac{\langle P \rangle - \lambda_s W_p}{(1 - \lambda_s) W_p}$.

263 This model was calibrated in different ways. Initially the 4 parameters ($\lambda_s, \lambda_u, W_p, PET$)
 264 were calibrated as in the original version of the model. Subsequently, in order to preserve
 265 the spatial variability of evapotranspiration, the available estimate of PET provided by
 266 the *MODIS* and *CGIAR* datasets (multiplied by a calibrated correction factor ξ) was
 267 included in the model formulation. Finally, with the goal of keeping the model viable for
 268 application in catchments where discharge measurements are lacking, the partitioning
 269 of P into S and W (whose application requires discharge data) was removed, thereby

270 implying that all precipitation is turned into soil wetting. In this way the number of
 271 parameters to be calibrated is reduced to just one (λ_u). Given that the latter version
 272 of the model maximizes model performance across the 38 study catchments, this is the
 273 calibration method applied to WB4 as discussed in the results section.

274 Some of the models presented above are based on hypotheses that only hold at the
 275 annual time-scale (WB3), or they have been previously applied mainly at the annual
 276 level (WB1). Because of this reason they are best applicable to estimate annual runoff
 277 coefficients. To get an estimate of the inter-seasonal variability of streamflow regimes
 278 during the year, the knowledge of seasonal average runoff coefficients would instead be
 279 desirable. To this aim, a novel approach has been developed in order to describe the
 280 inter-seasonal variability of the water balance based on annual estimates.

281 The average annual runoff coefficient ($\phi_a = \frac{\langle Q \rangle_a}{\langle P \rangle_a}$) can be expressed as a weighted mean
 282 of the seasonal average runoff coefficients. Accordingly, the seasonal runoff coefficient
 283 $\phi_i = \frac{\langle Q \rangle_i}{\langle P \rangle_i}$ can be calculated by multiplying the annual runoff coefficient ϕ_a by a Seasonal
 284 Multiplication Factor ψ_i which expresses the inherent seasonality of the water balance:

$$285 \quad \phi_i = \phi_a \psi_i \quad (9)$$

286 where ϕ_a is estimated using one of the four water balance models described above, and
 287 $\psi_i = \phi_i / \phi_a$ is the ratio between seasonal and annual runoff coefficient during the season i .
 288 Note that the typical subdivision into four seasons, broadly following the calendar dates,
 289 has been adopted in this paper. Equation (9) expresses the idea that even though the
 290 annual runoff coefficient may vary significantly among catchments, the seasonal pattern
 291 may be relatively uniform across a wide range of conditions. Despite some scattering,
 292 the results obtained in the 38 study catchments corroborate the assumption that ψ_i are
 293 quite homogenous (see Figure 2). The values of ψ_i were thus assumed to be spatially
 294 uniform and were calibrated based on observed rainfall and streamflow data.

295 *4.3. Computation of a and K*

296 The estimation procedure for the recession parameters a and K is rooted in the idea
 297 that recession properties are strongly related to the morphology of the stream network
 298 [Biswal and Marani, 2010; Biswal and Nagesh Kumar, 2014; Mutzner et al., 2013; Biswal

299 and Marani, 2014]. During recessions both the streamflow and the active drainage net-
 300 work – which represents the fraction of the network that actively contributes to the flow
 301 at the outlet – decrease over time [Gregory and Walling, 1968; Weyman, 1970; Godsey
 302 and Kirchner, 2014]. The active drainage network (ADN hereafter) is thus assumed
 303 to expand and contract following the related streamflow fluctuations. The theoretical
 304 apparatus on which the method is grounded, as well as the performance of the model
 305 under various settings are detailed in a series of recent papers about the geomorphic na-
 306 ture of flow recessions [Biswal and Kumar 2013; Biswal and Marani, 2014 and references
 307 therein], where the relevant details can be found. In summary, the specific streamflow Q
 308 is expressed as:

$$309 \quad Q = \frac{qG}{A} \quad (10)$$

310 where G is the length of the active drainage network, q is the flow generation rate per
 311 unit channel length, and A the catchment area. Three simplifying assumptions are then
 312 introduced:

- 313 • drainage density is spatially uniform;
- 314 • both the flow generation per unit channel length q and the speed at which the ADN
 315 contracts towards the outlet (c) are constant;
- 316 • the changes of G through time are expressed in terms of the changes of G induced
 317 by changes of the maximum path length within the ADN, l (which is the maximum
 318 distance between a point of ADN and the furthest source of the network): $dG/dt =$
 319 $dG/dl \cdot dl/dt = c dG(l)/dl$.

320 Under these assumptions, the recession equation $dQ/dt = KQ^a$ can be rewritten as
 321 [Biswal and Marani, 2014]:

$$322 \quad \frac{N(l)}{A} = \rho' \left(\frac{G(l)}{A} \right)^a \quad (11)$$

323 where $N(l) = dG(l)/dl$ is the number of links in the network at a distance l from the
 324 outlet, and $\rho' = Kq^{a-1}/c$. Equation (11) states that the recession exponent a can be
 325 estimated from the morphology of the basin by analyzing the scaling exponent of the
 326 geomorphic relationship between $N(l)$ and $G(l)$, as shown in Figure 3. These functions
 327 can be derived from the analysis of digital terrain maps, thereby allowing an objective

328 estimate of the recession exponent from morphological data. This is in turn used for the
 329 computation of the scaling exponent of the functions $G(l)$ vs. $N(l)$ through least-squared
 330 regression.

331 In order to estimate the recession coefficient K , we first calculate the temporal mean
 332 of Equation (10):

$$333 \quad \langle Q \rangle = \frac{q \langle G \rangle}{A} = q D_d \quad (12)$$

334 which expresses the mean discharge $\langle Q \rangle$ as the product between the mean drainage
 335 density ($D_d = \langle G \rangle / A$) and flow generation rate per unit channel length (q). Next,
 336 Equation (12) is equated to the analytical expression of mean specific discharge ($\langle Q \rangle =$
 337 $\alpha \lambda$) provided by the streamflow model. q can then be expressed as:

$$338 \quad q = \frac{\alpha \lambda}{D_d} \quad (13)$$

339 Combining the definition of ρ' , mentioned before, with Equation (13) leads to:

$$340 \quad K = \rho' c q^{a-1} = \theta (\alpha \lambda)^{1-a} \quad (14)$$

341 where $\theta = \rho' c / D_d^{1-a}$. Equation (14) expresses that K is inversely related to the mean
 342 humidity conditions of the contributing catchment (quantified here through $\alpha \lambda$), as well
 343 as to the recession exponent a . Empirical analysis based on observed recessions in multi-
 344 ple catchments suggests that the value of θ is fairly constant across different catchments
 345 and seasons. Therefore, here we assume θ to be constant and calculate its value based on
 346 summer season streamflows in a randomly selected pilot catchment (Williams Basin, US
 347 where $\theta = 0.23 d^{-1}$). Equation (14) can then be used to predict K based on a , α and λ .
 348 The analytical expression for streamflow PDFs (Equation (2)) is poorly sensitive to the
 349 value of K [see *Botter et al.*, 2009]. Therefore, a more accurate method for estimation
 350 of K is deemed not necessary in this context.

351 In the eleven test catchments where the prediction of flow regime was performed, the
 352 river network was estimated based on 30 m USGS DEMs (obtained from: <http://gdex.cr.usgs.gov/gdex/>).
 353 These catchments can be broadly classified as gently sloping (average slope $< 5\%$).
 354 Therein, the D8 flow direction algorithm [*Mark*, 1988] was used to obtain the flow direc-
 355 tion maps, and subsequently, the flow accumulation maps. Flow accumulation threshold
 356 of $0.09 km^2$ was then imposed to delineate channel networks for these eleven test catch-
 357 ments.

358 **5. Results and Discussion**

359 *5.1. Water Balance Model Ranking*

360 For the presentation of the results of water balance models, the following notation
 361 has been used to uniquely identify each model and the set of possible variants adopted.
 362 Each water balance model is labeled by a string which is composed of four parts:

363
$$\underbrace{\mathbf{WB1}}_1 . \underbrace{\mathbf{ET1}}_2 . \underbrace{\mathbf{A}}_3 \underbrace{(\mathbf{1})}_4$$

364 (1) refers to the specific water balance model (Table 3); (2) identifies the potential
 365 evapotranspiration dataset used in the model calibration: ET1 refers to *CGIAR* while
 366 ET2 refers to *MODIS*; (3) denotes the model time scale: *A* implies the model has
 367 been applied at the annual time scale; *S* implies the model has been applied at the
 368 seasonal time scale; *Sc* implies that the model has been applied at the annual time
 369 scale and then the seasonal water balance has been evaluated by making use of the
 370 seasonal multiplication factors ψ ; (4) specifies the numbers of model parameters used in
 371 the calibration (when necessary).

372 Many of the models considered include the average rooting depth Z as a key param-
 373 eter. Z drives the maximum soil moisture storage capacity $nZ(s_1 - s_w)$. Hence, for
 374 convenience and without any loss of generality, s_w, s_1 and n are assumed to be constant
 375 throughout all simulations (and equal to 0.2, 0.5 and 0.35, respectively), while only Z was
 376 calibrated. Note that different versions of each model were implemented, where either a
 377 single value of Z or different values of Z for each season were considered.

378 With regards to the four water balance models, the deviance of observed vs. modeled
 379 results is quantified by the Mean Square Error (MSE), defined as $MSE = 1/N \sum_{i=1}^N \epsilon_i^2$
 380 where ϵ is the difference between modeled and observed runoff coefficients, and N is
 381 the number of cases in which the models are tested. Furthermore, performances of each
 382 model has been objectively quantified by means of the *Akaike Information Criterion*
 383 (*AIC*) [Akaike, 1973]. The method provides a rigorous way for model selection based on
 384 the maximization of the log-likelihood function between experimental data and model
 385 estimates. The goodness of fit of each model is discounted by accounting for the number
 386 of parameters that are fitted to observations. The formulation of AIC used to rank the

387 different water balance models in this study is as follows:

$$388 \quad AIC = 2 N MSE + 2(M + 1), \quad (15)$$

389 where N is the number of independent observations used to evaluate the models and M is
390 the number of calibrated parameters. Table 4 summarizes the performances of the water
391 balance models applied at the annual time scale and values of calibrated parameters that
392 optimize model performance.

393 WB1 and WB2 prove quite effective at the annual timescale, especially in association
394 with ET1. Overall, WB4 seems to be the best model in order to estimate the average
395 annual water balance in the study area. Though, its performance is only slightly better
396 than those of WB1 which has no calibrated parameters. It is noteworthy to mention
397 that the calibration of the annual models led to reasonable values of Z in all cases
398 ($500 < Z < 1000$), in agreement with previous studies [Allen *et al.*, 1998]. In general all
399 models perform better when coupled with the ET1 dataset.

400 Table 5 summarizes the results of the water balance models applied at the seasonal
401 time scale. The performance of WB1 at seasonal scale is not as good as those at annual
402 time scale. Even though the absence of parameters is an appealing feature of the Budyko
403 approach, WB1 does not seem robust enough to estimate the seasonal water balance in
404 the study catchments. The overall performance of the method utilizing annual models
405 and the seasonal multiplication factors are comparable (if not superior) to the perfor-
406 mance of the same models applied directly at the seasonal timescale. In fact, the observed
407 inter-catchment variability of ψ_i across the study area (in the set of 38 calibration catch-
408 ments) is relatively low (Figure 2) despite the broad range of hydro-climatic conditions
409 explored. When the seasonal multiplication factors are used, the best performing models
410 are WB2 and WB3. Overall, at seasonal time scale, WB2 was found to be the best
411 performing model, achieving better performances than all other models, especially when
412 the rooting depths Z was separately calibrated for each season.

413 The plots in Figure 4 show the scatter-plot of a select number of calibrated models
414 (including the three best performing models) at the seasonal time scale for the 38 calibra-
415 tion catchments. On the y-axis the modeled value of the runoff coefficient is shown, while
416 the observed value, calculated as the ratio between the average seasonal precipitation and
417 runoff, is shown on the x-axis. Despite some scattering, WB1 and WB2 (presented here)

418 exhibit satisfying performances and do not show any systematic biases in estimating the
419 seasonal runoff coefficients.

420 The performances of all four models at the seasonal time scale, without differentiation
421 between the two PET datasets and the different versions of each model implemented,
422 are shown in Figure 5. The histograms represent the frequency distribution of ΔAIC
423 among the variants of each model and are complemented with the median value of ΔAIC ,
424 thereby allowing an objective assessment of the overall performances of each approach.
425 The histograms highlight how WB2.ET2.S(4) is characterized by the smallest mean value
426 of ΔAIC , implying that (on average) it outperforms the other models.

427 Lastly, WB2.ET2.S(4) was utilized for predicting the runoff coefficient at 11 test
428 catchments. The ability of WB2.ET2.S(4) to describe the seasonal water balance at the
429 eleven test catchments is analyzed in Figure 6, which compares observed vs. estimated
430 values of the runoff coefficient for all the available seasons. Performance is relatively
431 good in most cases, especially in view of the fact that no specific information on observed
432 discharge at the test catchments has been used.

433 5.2. Prediction of $p(Q)$

434 Streamflow distributions for every season were predicted at 11 catchments, corre-
435 sponding to 44 seasonal regimes (Table 2). The catchments are basins with natural
436 streamflows, not affected by regulation or significant snow dynamics, and are distributed
437 across the study region. It is important to note, this study is aimed at presenting and
438 exemplifying the general methodology, and therefore, large-scale application is beyond
439 the scope of the paper.

440 The parameters of the analytical streamflow PDF were estimated for the eleven test
441 catchments using only climate and landscape data as discussed in Section 4. Table 6
442 shows the resulting values of α , λ , a and K for each season in the eleven test catchments.
443 For comparison, the observed values of λ , a and K were also calculated based on discharge
444 data [Biswal and Marani, 2010; Ceola et al., 2010]. The geomorphological estimates of
445 a (which are assumed to be independent of season) show a general agreement with the
446 median value of the recession exponent calculated based on discharge data with the
447 exception of a moderate discrepancy that emerges during summer seasons. Similarly, the
448 estimates of λ based on precipitation and PET data show a broad agreement with the

449 corresponding estimates based on discharge data. The geomorphological estimates of K
450 instead, are in agreement only in half the cases when compared to the estimated value of
451 the recession coefficient based on discharge observation. It is important to note that the
452 value of θ is relatively constant across different catchments and seasons in the catchments
453 considered here ($CV \approx 0.2$), thereby corroborating the reliability of the assumption that
454 θ is constant in Equation (14).

455 Equation (2) is used to model the "period-of-record" PDF and CDF curves in the
456 eleven test catchments. The agreement between modeled and observed PDFs (and the
457 associated CDFs) was evaluated through visual inspection, comparison of modeled and
458 observed moments of the PDF, and objectively quantified by computing half the integral
459 difference between the analytical and observed flow PDFs [Botter *et al.*, 2013]. The
460 accuracy of the model is further analyzed by the Mean Squared Relative Error (MSRE)
461 of selected flow statistics (see Table 1 in [Biondi *et al.*, 2012]).

462 Figure 7 presents the observed (bars) and modeled (solid line) seasonal streamflow
463 PDFs at Daddy creek, US. The analytical model captures the shape of the observed
464 probability distribution of flows relatively well in all seasons. Though, the model seems
465 to slightly underestimate the high flows, providing lower probability for large events as
466 compared to the observations. The ability of the model to catch the change in shape of
467 the streamflow distribution across different seasons is particularly valuable. On a seasonal
468 time scale, a catchment can produce both erratic and persistent regimes [Botter *et al.*,
469 2013]. In persistent regimes, the humped shape of the PDF indicates larger frequency of
470 events contributing to streamflow as compared to the recession time scale with reduced
471 flow variability. In contrast, in erratic regimes the monotonically decreasing shape of the
472 PDF signifies smaller frequency of flow-producing events and enhanced flow variability.
473 In Daddy Creek, there is a shift in streamflow PDF from hump-shaped in spring and
474 winter seasons to monotonically decreasing in summer and autumn seasons (Figure 7).
475 This is consistent with rainfall and PET patterns across the seasons (see Botter, [2014]).

476 The insets of Figure 7 present the observed (circles) and modeled (solid line) CDFs
477 of all seasons at Daddy Creek. A logarithmic scale has been used in order to better
478 represent the behavior of the curves for large streamflows. The modeled CDFs are
479 slightly shifted downward as compared to the observed CDFs. This is as a result of

480 the reduced amount of water available for streamflow generation estimated by the water
481 balance model. Nevertheless, the shape of the CDF seems to be reasonably captured in
482 most seasons.

483 Figure 8 shows the the observed (bars) and modeled (solid line) PDFs for the summer
484 season at the five other test catchments. During the summer season an erratic regime
485 is observed as a result of low rainfall and enhanced transpiration rates, which imply
486 increased frequency of the smallest discharge events. The analytical model reasonably
487 captures the shape of the streamflow PDFs in all cases. The associated modeled CDFs
488 (The insets of Figure 8) show a similar behavior as discussed above.

489 The ability of the model to suitably mirror the observed intra-seasonal streamflow
490 variability have been further analyzed through the mean ($\langle Q \rangle$) and coefficient of variation
491 of daily discharge (CV_Q). Figure 9 shows the seasonal (a) $\langle Q \rangle$ and (b) CV_Q observed at
492 all catchments plotted against the corresponding modeled values. The model estimates
493 of both ($\langle Q \rangle$) and CV_Q have been computed through numerical integration of equation
494 (2). In most cases prediction of the analytical model matches the corresponding ob-
495 served CV_Q (MSRE = 0.06). This points to the models ability to reasonably capture
496 the streamflow variability and its inter-seasonal dynamics across different climatic and
497 landscape settings. The value of MSRE of mean discharge ($\langle Q \rangle$) when all seasons at
498 the eleven test catchments are considered is equal to 0.13.

499 6. Discussion

500 The framework presented here is structurally able to provide a reasonable estimation
501 of streamflow regime based on limited information about climate and landscape. How-
502 ever, it should be noted that the stochastic streamflow model presented in this paper
503 is best suited to describe flow regimes of pristine catchments with a contributing area
504 smaller than a few thousand square kilometers, where streamflow dynamics result from
505 the interaction between intermittent precipitation inputs and soil drainage. Although
506 extensions to different settings (such as snow-dominated, urbanized or seasonally dry
507 catchments) have been proposed [*Schaefti et al.*, 2013; *Müller et al.*, 2014; *Mejia et al.*,
508 2014], their predictive power in the absence of discharge measurements must be assessed.
509 Moreover, the estimate of the model parameters based on climate and landscape requires

510 the introduction of additional assumptions and parameters that may reduce the accuracy
511 of the flow regime predictions. In the set of cases explored here, model performances were
512 satisfactory, but more research is recommended to explore the reliability of the approach
513 in a wider array of case studies.

514 The accuracy of the estimate of a (i.e. the degree of non-linearity of the hydrologic
515 response) based on catchment morphology, is constrained by the resolution of DEM,
516 the drainage density of the network, and its spatial patterns both within each catchment
517 and among different basins [Mutzner *et al.*, 2013]. Moreover, the application to relatively
518 flat catchments may be problematic due to lack of accuracy of automatically extracted
519 networks and the dominant role played by hydrological features. An accurate estimation
520 of the frequency of flow producing events (λ) may be challenging in presence of small-scale
521 geologic heterogeneity. Also, the reliability of the water balance estimate is influenced
522 by the type of model used. Our results suggest that suitably calibrated physically-
523 based models perform better than empirical methods (such as Budyko), but require
524 data from nearby sites or large-scale regional studies for their calibration. Where no
525 information is available, empirical methods can be utilized, with increased uncertainty
526 about the accuracy of the prediction. The estimation of α and K on the other hand is less
527 precarious. The value of α is calculated from readily available long-term daily rainfall
528 records, with limited uncertainty. The value of K is dependent on λ , α and a which makes
529 the accuracy of its estimation dependent on the deviation of those parameters (Table 6).
530 However, sensitivity of the analytical streamflow distribution to the parameter K is
531 quite limited, particularly for values of a close to 2 [see Botter *et al.*, 2009]. This implies
532 (and our result corroborate) that a rough estimate of the recession coefficient suffices for
533 predicting $p(Q)$ with a reasonable accuracy.

534 @basudev: please write a a few short sentences on the applicability and performance
535 of the model in very arid regions/dry conditions (summer seasons).

536 @gianluca: do you think we need to discuss the mass balance topic here? We could
537 say that if carryover is negligible the effects are insignificant. When that is not the
538 case, the model is not applicable (for example the case of snow dominated catchments
539 mentioned previously). If we were to say carry over is negligible in the cases stued, that
540 would upset too many people. Alternatively, we could say we study the seasonal regimes,

541 and that not significant carryover is allowed/considered in the framework.

542 **7. Conclusion**

543 A framework is provided that allows for estimating the probability distribution of
544 streamflows based on catchment scale climate and geomorphologic data. The approach
545 employs a physically-based analytic model of streamflows with four parameters. It was
546 shown that these parameters can be estimated in the absence of discharge time series,
547 by exploiting climate data (precipitation, potential evapotranspiration) and information
548 about the catchment morphology.

549 The estimation procedure required the use of additional models, which were taken
550 from the existing literature. A geomorphologic flow recession model was utilized to
551 estimate parameters describing the recession behavior of the hydrograph, based on the
552 topology of the stream network. A water balance model was used to predict the frequency
553 of flow producing rainfall events. As the latter proves particularly important to predict
554 the flow regime at a station, four existing water balance models were tested using rainfall
555 and discharge data from 38 US catchments, characterized by diverse hydro-climatological
556 characteristics. The best performing model (according to the Akaike selection criterion)
557 was then used for the prediction of seasonal streamflow regimes in a disjointed set of
558 catchments within the considered study area.

559 The results demonstrated that the model is capable of capturing the statistics of
560 streamflows reasonably well in most of the cases analyzed. The largest deviations from
561 observations were associated to reduced performance of the water balance models, that
562 at times failed to accurately reproduce the observed seasonal runoff coefficients.

563 Our results suggest that the method has the potential for estimating the probability
564 density function of river flows based on limited (and widely available) information on
565 climate and landscape. The framework has implications for a wide range of practical and
566 scientific applications such as water resources management, ecological studies and flood
567 risk assessment. Further efforts are needed to investigate the performance of the model
568 in a wider array of catchments, and to test the applicability of the method in data-scarce
569 regions. This is the objective of ongoing research.

570 Acknowledgments

571 The research leading to these results has received funding from the European Com-
572 munity’s Seventh Framework Program (FP7/2007-2013 under grant agreement n265063).
573 This study was also funded by the Swiss National Science Foundation (SNF, Projects No.
574 200021-149126). Additional support was provided by the Competence Center Environ-
575 ment and Sustainability (CCES) of the ETH domain in the framework of the RECORD
576 and RECORD Catchment projects. Gianluca Botter acknowledges funding from project
577 60A09-4895/13 “Regimi idrologici e cambiamenti climatici”. The EU project “SmartWa-
578 ter” is also acknowledged. Special thanks to i4 Consulting S.r.l. for their data manage-
579 ment services.

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Table 1: Summary information about the 38 catchments used for the calibration.

USGS Code	Catchment	Area [km^2]	Streamflow Gauging Station	Rainfall Gauging Station	State	Period
1	Alapaha River	1717	Alapaha	Alapaha Exp Stn	GA	1951-2013
2	Big Eau Pleine River	580	Stratford	Stratford INW	WI	1950-2013
3	Blue River	170	Kenneth	Stilwell	KS	2003-2013
4	Cadron Creek	438	Guy	Greenbrier	AR	1961-2013
5	Callkiller River	453	Sparta	Sparta Waste Water Plan	TN	2003-2013
6	Caney River	1153	Elgin	Cedar Vale 5 SSE	KS	1961-2013
7	Castor River	1090	Zalma	Zalma 4E	MO	1951-2013
8	Clear Creek	440	Lancing	Lancing 6 NW	TN	1999-2013
9	Coosawattee River	611	Ellijay	Ellijay	GA	1951-2013
10	Cowhouse Creek	1167	Pidkoke	Pidkoke	TX	1976-2013
11	Drowning Creek	474	Hoffman	Jackson Springs 5 WNW	NC	1954-2013
12	Econfina River	513	Perry	Perry	FLA	1957-2013
13	Green River	107	Colrain	Whittingham	MA	1969-2013
14	Indian Creek	179	Laboratory	Lincoln 4 W	NC	1952-2013
15	Jakob Fork	67	Ramsey	Casar	ND	1961-2013
16	Knife River	531	Manning	Fairfield	ND	1971-2013
17	Little Androsocoggin River	190	South Paris	West Paris	ME	1980-2013
18	Little Beaver Creek	1284	East Liverpool	Millport 3NE	OH	1951-2013
19	Little Cypress Bayou	1748	Jefferson	Harleton	TX	1951-2013
20	Little River	632	Cadiz	Hopkinsville	KY	1951-2013
21	Little Salmon River	239	Bombay	Malone	NY	1985-2013
22	Little Sandy River	1036	Grayson	Grayson 3 SW	KY	1951-2013
23	Little Vermillion River	204	Salem	Montrose	SD	1968-2013
24	Maple River	1124	Maple Rapids	Saint Johns	MI	1951-2013
25	Mission River	1787	Refugio	Beeville 5 NE	TX	1987-2013
26	Mississinewa River	1766	Marion	Marion 2 N	IN	1951-2013
27	Nf Ninneseah River	1847	Caheny	Hutchinson 10 SW	KS	1984-2013
28	North Fork Embarras River	824	Oblong	Casey	IL	1951-2013
29	Redgate Creek	45	Columbus	Columbus	TX	1964-2013
30	Rivanna River	1717	Palmyra	Charlottesville 2 W	VA	1951-2013
31	Rush River	300	Amenia	Casselton Agronomy	ND	1986-2013
32	Salt Creek	433	Roca	Roca	NE	1953-2013
33	Spring River	1101	Carthage	Mt Vernon	MO	1968-2013
34	Tangipahoa River	1673	Robert	Hannmond 5 W	GA	1981-2013
35	Tioga River	730	Tioga	Covington 2 WSW	GA	1958-2013
36	Valley Creek	383	Oak Grove	Bankhead Dam	GA	1959-2013
37	West Canada Creek	668	Wilnurt	Piseco	NY	2003-2013
38	White River	811	Crawford	Ft Robinson	NE	1941-2013

Table 2: Summary information about the 11 test catchments.

	USGS Code	Catchment	Area [km^2]	Streamflow Gauging Station	Rainfall Gauging Station	State	Period
A	03075500	Youghiogheny River	347	Oakland	Oakland 1 SE	MD	1951-2013
B	03539600	Daddys Creek	360	Hebbertsburg	Crossville mep ap	TN	1958-2013
C	07257006	Big Piney Creek	793	Highway 164	Deer	AR	1995-2013
D	03052500	Sand Run	37	Buckhannon	Buckhannon	WV	1951-2013
E	07015720	Bourbeuse River	350	High Gate	vichy rolla	MO	1966-2014
F	03368000	Brush Creek	30	Nebraska	north vernon	IN	1956-2014
G	07260000	Dutch creek	211	Waltreak	gravelly	AR	1946-2014
H	07335700	Kiamichi River	103	Big Cedar	mena	OK	1966-2014
I	02384540	Mill creek	21	Crandall	chatsworth	GA	1986-2014
J	02450250	Sipsey Fork	239	Grayson	haleyville	AL	1967-2014
K	03211500	Johns Creek	534	Van Lear	fishtrap lake	KY	1970-2014

Table 3: Water balance models.

Code	Relevant References	Type	Number of parameters
WB1	Budyko, 1974	Empirical	0
WB2	Porporato et al., 2004	Physically-based	1-4
WB3	P.C.D. Milly, 1994	Physically-based	2
WB4	Sivapalan et al., 2011	Functional	4

Table 4: Ranking of water balance models applied at the annual time scale.

Rank	Model	Δ AIC	MSE	Number of parameters	Parameters
1	WB4.ET1.A	0.0	0.0079	1	$\lambda_u = 0.2$
2	WB4.ET2.A	8.0	0.0097	1	$\lambda_u = 0.2$
3	WB1.ET1.A	11.6	0.0112	0	-
4	WB2.ET1.A	16.6	0.0121	1	$Z = 420mm$
5	WB2.ET2.A	26.8	0.0157	1	$Z = 300mm$
6	WB3.ET1.A	29.8	0.0161	2	$Z = 900mm, k = 0.525$
7	WB1.ET2.A	36.9	0.0214	0	-
8	WB3.ET2.A	38.8	0.0203	2	$Z = 700mm, k = 0.525$

Table 5: Ranking of water balance models applied at the seasonal time scale. Subscripts of Z indicate the different seasons (sp = spring, su = summer, au = autumn, and wi = winter).

Rank	Model	Δ AIC	MSE	Number of parameters	Parameters
1	WB2.ET2.S	0	0.0133	4	$Z_{wi} = 570mm, Z_{sp} = 195mm, Z_{su} = 510mm, Z_{au} = 975mm$
2	WB2.ET1.S	1.0	0.0134	4	$Z_{win} = 1500mm, Z_{sp} = 240mm, Z_{su} = 570mm, Z_{au} = 1500mm$
3	WB2.ET1.Sc	11.7	0.0142	4	$Z = 420mm, \psi_{wi} = 1.44, \psi_{sp} = 1.42, \psi_{su} = 0.56, \psi_{au} = 0.59$
4	WB2.ET1.S	21.6	0.0161	2	$Z_{su,sp} = 450mm, Z_{au,wi} = 1500mm$
5	WB2.ET2.S	34.3	0.0177	2	$Z_{su,sp} = 330mm, Z_{au,wi} = 900mm$
6	WB2.ET2.Sc	41.1	0.0184	5	$Z = 300mm, \psi_{wi} = 1.44, \psi_{sp} = 1.42, \psi_{su} = 0.56, \psi_{au} = 0.59$
7	WB3.ET1.Sc	43.8	0.0186	6	$Z = 300mm, k = 0.0525, \psi_{wi} = 1.44, \psi_{sp} = 1.42, \psi_{su} = 0.56, \psi_{au} = 0.59$
8	WB2.ET2.S	60.8	0.0219	1	$Z = 435mm$
9	WB1.ET2.S	63.0	0.0226	0	-
10	WB3.ET2.Sc	66.5	0.0215	6	$Z = 700mm, k = 0.0525, \psi_{wi} = 1.44, \psi_{sp} = 1.42, \psi_{su} = 0.56, \psi_{au} = 0.59$
11	WB1.ET1.S	66.6	0.0232	0	-
12	WB2.ET1.S	68.0	0.0231	1	$Z = 615mm$
13	WB1.ET1.Sc	100.7	0.0281	4	-
14	WB4.ET1.Sc	103.7	0.0271	5	$\lambda_u = 0.2, \psi_{wi} = 1.44, \psi_{sp} = 1.42, \psi_{su} = 0.56, \psi_{au} = 0.59$
15	WB4.ET2.Sc	109.7	0.0300	5	$\lambda_u = 0.2, \psi_{wi} = 1.44, \psi_{sp} = 1.42, \psi_{su} = 0.56, \psi_{au} = 0.59$
16	WB1.ET2.Sc	134.9	0.0367	4	-

Table 6: Estimated value of model parameters for all seasons at the eleven test catchments.

Catchment Name	Season	Estimated from Climate and Geomorphologic Data				Estimated from Discharge Data		
		$\alpha[cm]$	$\lambda[\frac{1}{d}]$	$K[\frac{cm^{(1-a)}}{d^{(2-a)}}]$	$a[-]$	$\lambda[\frac{1}{d}]$	$K[\frac{cm^{(1-a)}}{d^{(2-a)}}]$	$a[-]$
Youghiogheny River (A)	<i>Spring</i>	0.73	0.19	0.84	1.65	0.45	0.45	1.68
	<i>Summer</i>	0.89	0.07	1.48	1.65	0.13	1.09	1.75
	<i>Autumn</i>	0.74	0.09	1.30	1.65	0.15	1.27	1.90
	<i>Winter</i>	0.63	0.35	0.62	1.65	0.50	0.57	1.84
Daddy Creek (B)	<i>Spring</i>	1.08	0.18	0.87	1.81	0.26	0.61	1.73
	<i>Summer</i>	1.02	0.06	2.31	1.81	0.05	1.05	1.44
	<i>Autumn</i>	1.04	0.07	1.91	1.81	0.07	1.42	1.67
	<i>Winter</i>	0.95	0.26	0.71	1.81	0.31	0.71	1.89
Big Piney Creek (C)	<i>Spring</i>	1.61	0.16	1.16	2.19	0.17	0.45	1.57
	<i>Summer</i>	1.17	0.03	9.75	2.19	0.04	0.92	1.54
	<i>Autumn</i>	1.79	0.10	1.99	2.19	0.04	1.25	1.71
	<i>Winter</i>	1.31	0.14	1.68	2.19	0.14	0.57	1.71
Sand Run River (D)	<i>Spring</i>	0.72	0.18	1.85	2.02	0.38	0.76	1.73
	<i>Summer</i>	0.95	0.07	3.79	2.02	0.09	1.43	1.52
	<i>Autumn</i>	0.76	0.08	3.84	2.02	0.12	1.86	1.76
	<i>Winter</i>	0.56	0.35	1.19	2.02	0.51	0.93	1.86
Bourbeuse River (E)	<i>Spring</i>	0.99	0.14	1.40	1.90	0.17	2.01	1.76
	<i>Summer</i>	1.17	0.04	1.20	1.90	0.05	1.98	1.47
	<i>Autumn</i>	1.11	0.05	3.81	1.90	0.05	2.78	1.76
	<i>Winter</i>	0.72	0.09	2.77	1.90	0.16	2.16	1.86
Brush Creek (F)	<i>Spring</i>	1.03	0.15	1.74	2.10	0.2	2.91	1.96
	<i>Summer</i>	1.17	0.05	5.20	2.10	0.05	3.95	1.63
	<i>Autumn</i>	1.00	0.05	5.89	2.10	0.05	8.41	1.92
	<i>Winter</i>	0.84	0.18	1.86	2.10	0.20	2.63	1.87
Dutch Creek (G)	<i>Spring</i>	1.51	0.14	1.36	2.15	0.14	1.07	1.78
	<i>Summer</i>	1.33	0.04	7.47	2.15	0.02	1.11	1.47
	<i>Autumn</i>	1.57	0.06	3.41	2.15	0.03	1.46	1.67
	<i>Winter</i>	1.26	0.13	1.80	2.15	0.12	0.96	1.76
Kiamichi River (H)	<i>Spring</i>	1.45	0.17	0.74	1.85	0.22	0.49	1.58
	<i>Summer</i>	1.21	0.04	2.86	1.85	0.06	0.56	1.26
	<i>Autumn</i>	1.56	0.11	1.05	1.85	0.09	0.76	1.51
	<i>Winter</i>	1.14	0.17	0.93	1.85	0.23	0.47	1.67
Mill Creek (I)	<i>Spring</i>	1.18	0.14	3.28	2.50	0.23	0.85	2.22
	<i>Summer</i>	1.10	0.06	12.06	2.50	0.10	1.19	2.00
	<i>Autumn</i>	1.30	0.07	8.00	2.50	0.10	2.92	2.23
	<i>Winter</i>	1.10	0.22	1.84	2.50	0.29	0.82	2.14
Sipsey Fork (J)	<i>Spring</i>	1.58	0.16	0.77	1.90	0.17	0.75	1.84
	<i>Summer</i>	1.28	0.06	2.21	1.90	0.04	3.10	1.85
	<i>Autumn</i>	1.47	0.08	1.49	1.90	0.04	5.46	2.03
	<i>Winter</i>	1.47	0.22	0.63	1.90	0.20	0.77	1.89
Johns Creek (K)	<i>Spring</i>	0.82	0.16	2.96	2.25	0.21	0.59	1.58
	<i>Summer</i>	0.96	0.06	8.53	2.25	0.05	1.34	1.53
	<i>Autumn</i>	0.87	0.07	7.90	2.25	0.08	0.42	1.54
	<i>Winter</i>	0.60	0.24	2.55	2.25	0.30	0.31	1.20

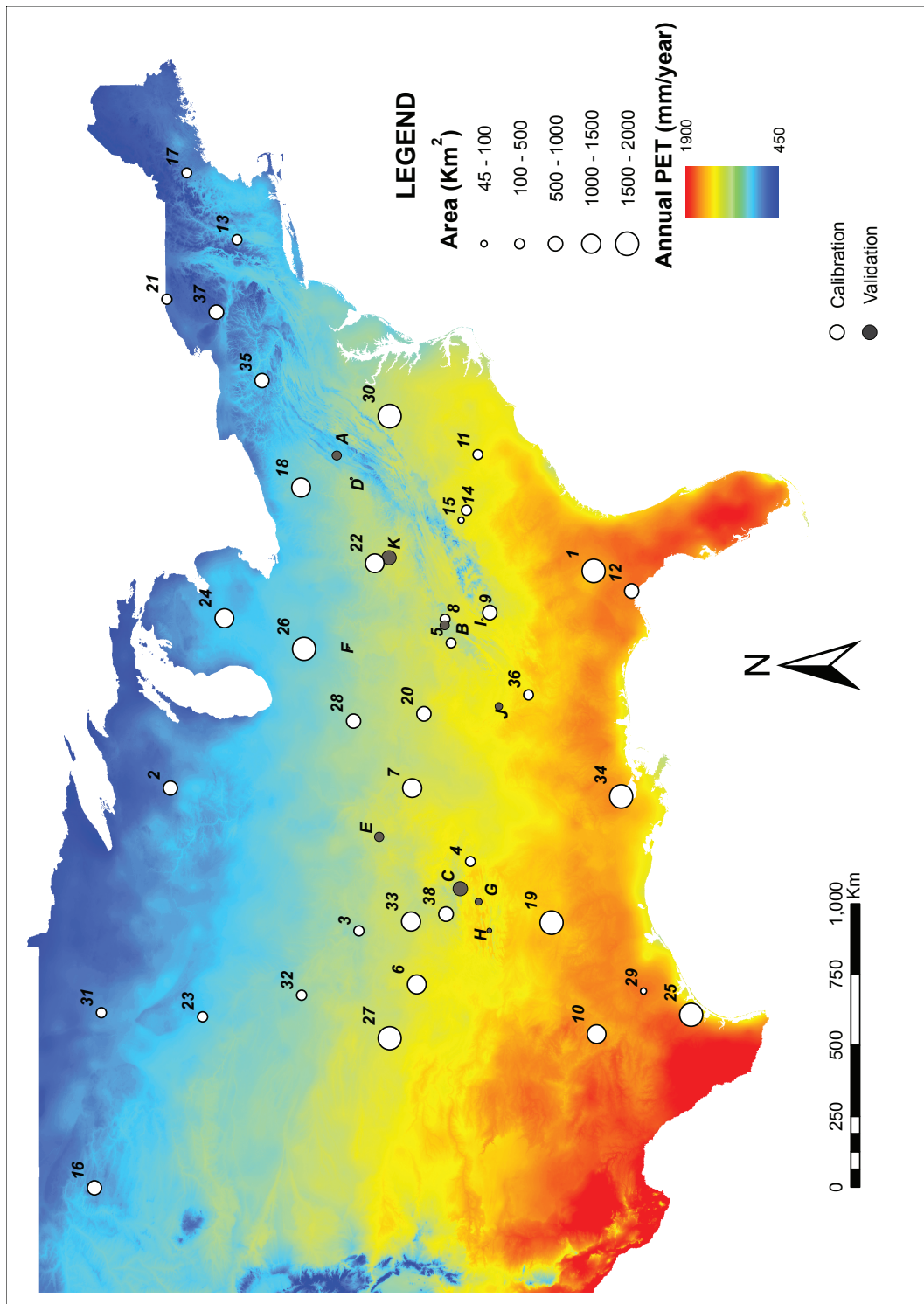


Figure 1: Spatial distribution of the 38 catchments used for the calibration of the water balance models and 11 test catchments (A through K) used for the prediction of the flow regime. On the background the *CGIAR* average annual potential evapotranspiration is shown to represent the underlying heterogeneity of climate regimes. The approximate size of each catchment is also depicted. The catchments marked with a dotted circle experience relevant snow precipitations during winter.

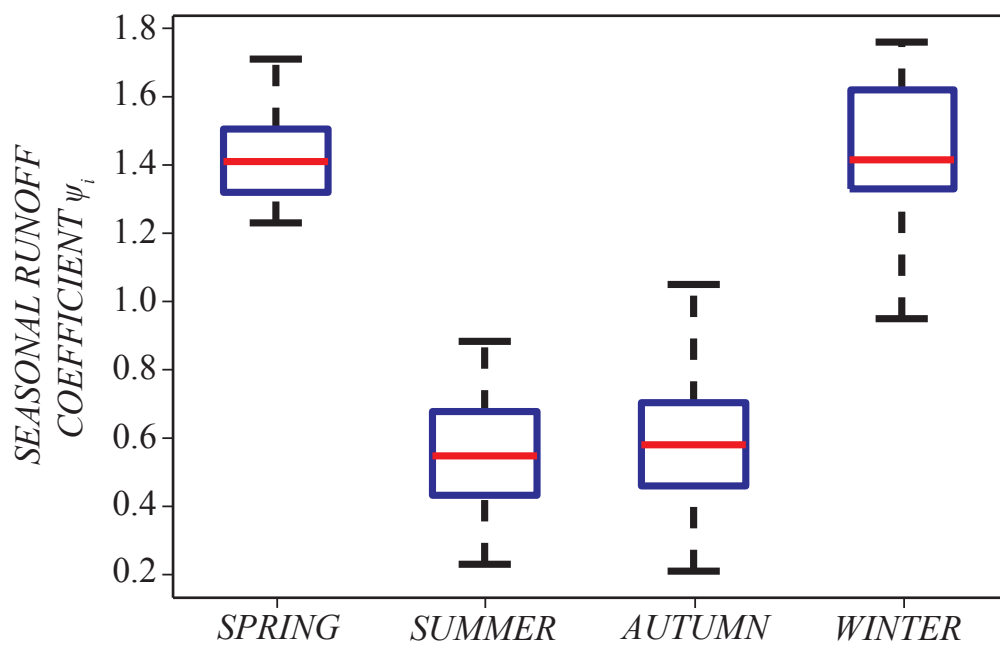


Figure 2: Seasonal multiplication factors for the four seasons: Spring (March, April, May), Summer (June, July, August), Autumn (September, October, November), Winter (December, January, February). The box plot shows the 25%, 50% and 75% quantiles as well as the entire range of observed values across the 38 study catchments.

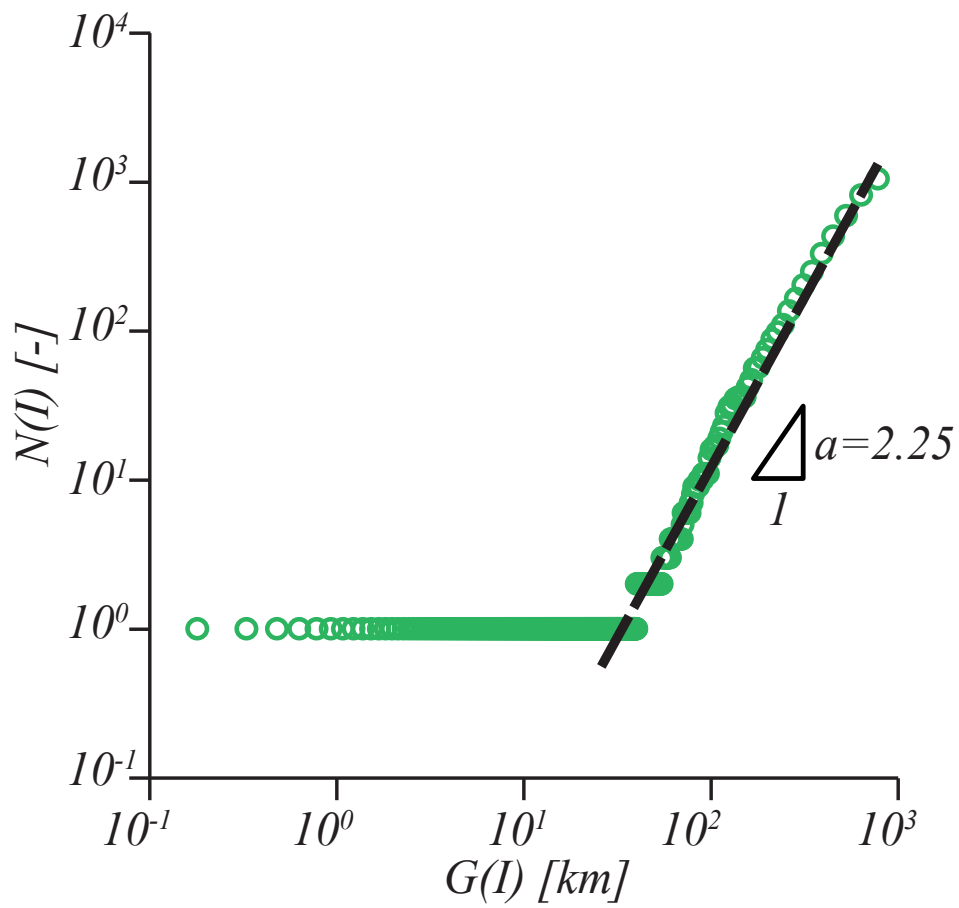


Figure 3: The recession exponent a is estimated from the morphology of the basin by analyzing the scaling exponent of the geomorphic relationship between $N(l)$ and $G(l)$.

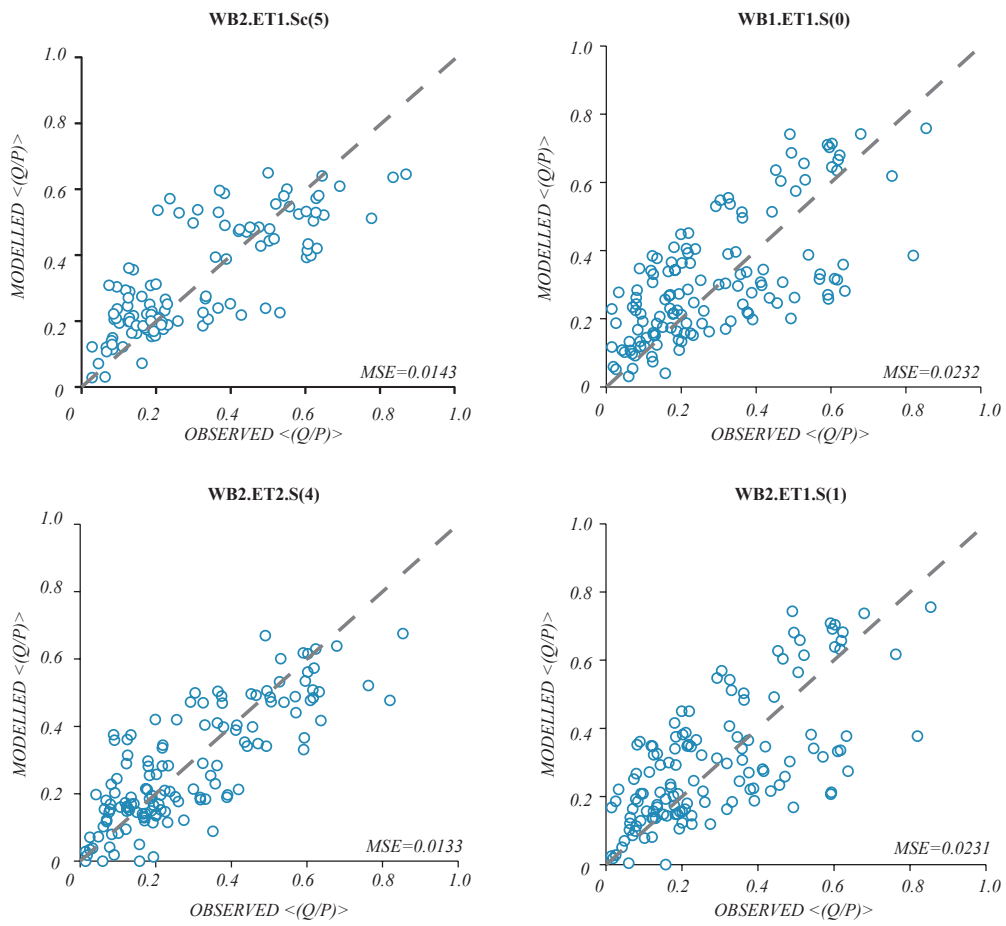


Figure 4: Scatter-plots of observed vs. estimated runoff coefficients by a select number of calibrated models at the seasonal time scale. The value of MSE is also included.

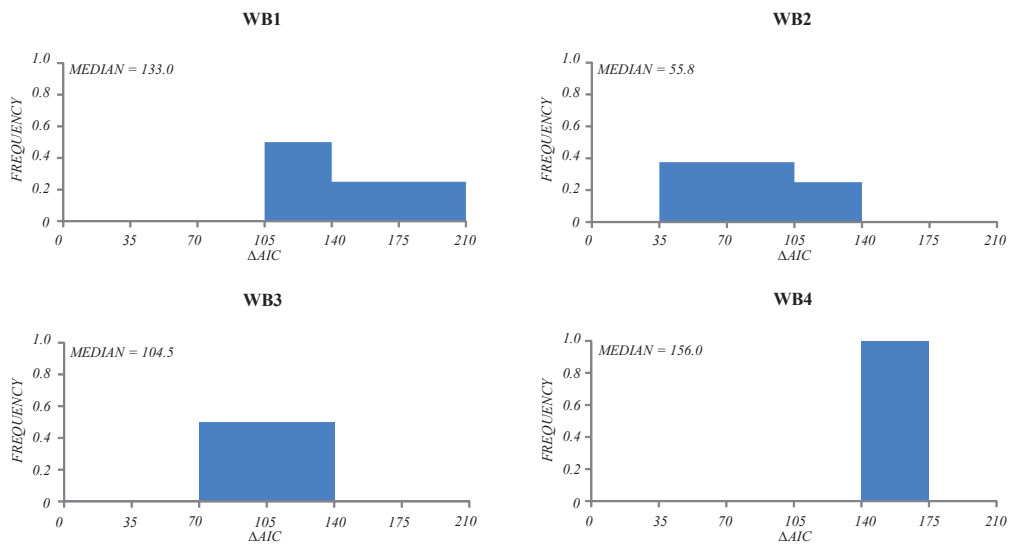


Figure 5: Frequency distribution of ΔAIC for all water balance models. The median value of ΔAIC is also included.

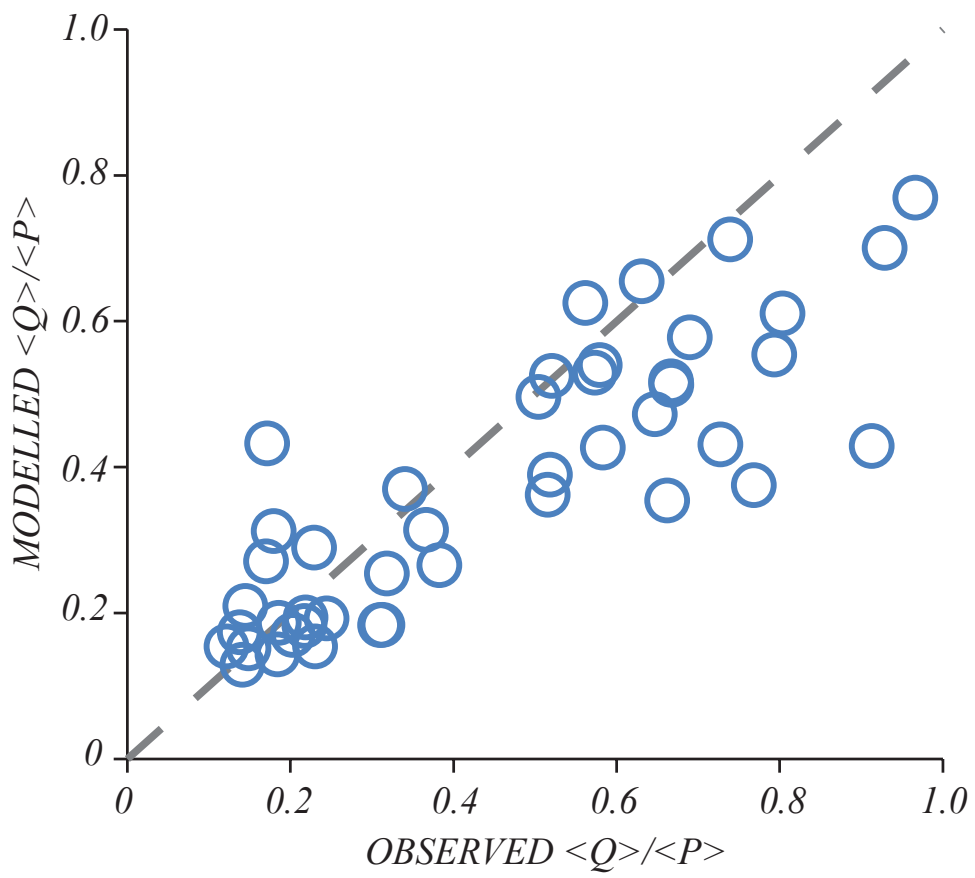


Figure 6: Scatter-plot of the seasonal average runoff coefficient for the eleven test catchments based on WB2.ET2.S(4) water balance model.

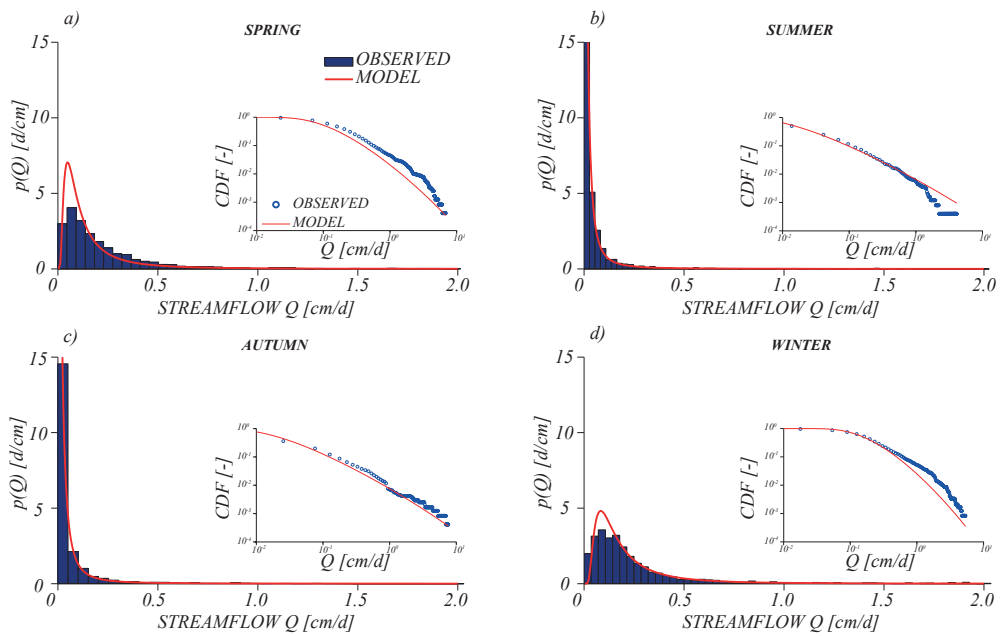


Figure 7: Observed (circles and bars) and modeled (solid line) PDFs and CDFs for (a) spring, (b) summer, (c) autumn and (d) winter at Daddy Creek, US. The integral difference between modeled and observed PDFs is equal to (a) 0.220, (b) 0.212, (c) 0.203, and (d) 0.163.

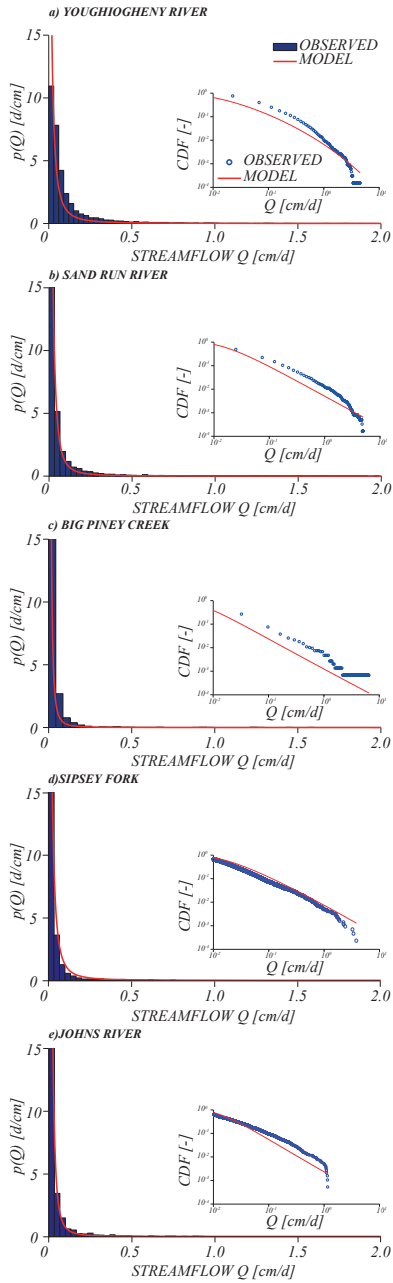


Figure 8: Observed (bars) and modeled (solid line) PDFs for summer season at (a) Youghiogheny River, US, (b) Sand Run River, US, (c) and Piney River, US, (d) Sipsy Fork, US, (e) Bourbeuse River, US. The integral difference between modeled and observed PDFs is equal to (a) 0.190, (b) 0.232, (c) 0.048, (d) 0.225, and (e) 0.314. The insets show the associated observed (circles) and modeled (solid line) CDFs for each plots.

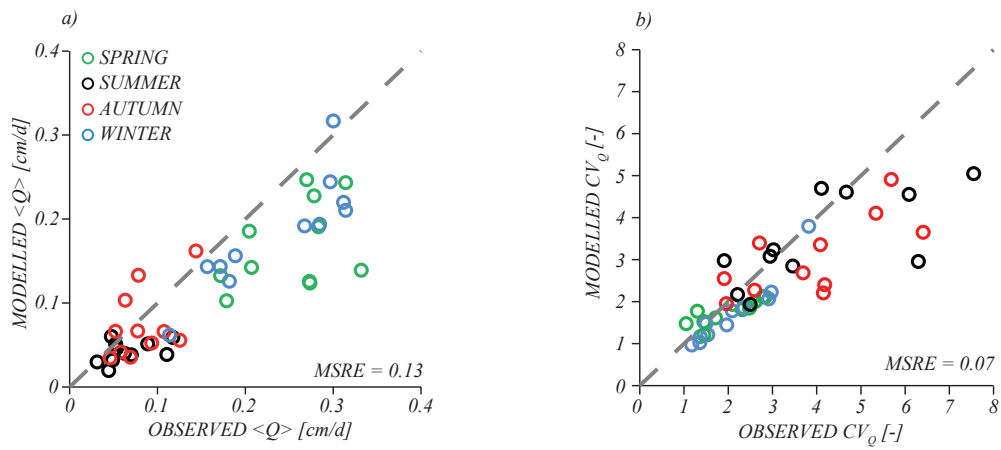


Figure 9: Observed vs. modeled (a) $\langle Q \rangle$ and (b) CV_Q for all seasons at the eleven considered test catchments. The dashed line represents the 45 degree line (perfect fit). The MSRE value associated with each variable is also mentioned in the figure.