

# Strong proximality and intersection properties of balls in Banach spaces

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## Abstract

We investigate a variation of the transitivity problem for proximality properties of subspaces and intersection properties of balls in Banach spaces. For instance, we prove that if  $Z \subseteq Y \subseteq X$ , where  $Z$  is a finite co-dimensional subspace of  $X$  which is strongly proximal in  $Y$  and  $Y$  is an  $M$ -ideal in  $X$ , then  $Z$  is strongly proximal in  $X$ . Towards this, we prove that a finite co-dimensional proximal subspace  $Y$  of  $X$  is strongly proximal in  $X$  if and only if  $Y^{\perp\perp}$  is strongly proximal in  $X^{**}$ . We also prove that in an abstract  $L_1$ -space, the notions of strongly subdifferentiable points and quasipolyhedral points coincide. We also give an example to show that  $M$ -ideals need not be ball proximal. Moreover, we prove that in an  $L_1$ -predual space,  $M$ -ideals are ball proximal.

*Keywords:* Proximality, strong proximality, ideal, semi  $M$ -ideal,  $M$ -ideal.

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## 1. Preliminaries

In this article, we consider only Banach spaces over the real field  $\mathbb{R}$  and all subspaces we consider are assumed to be closed. For a Banach space  $X$ ;  $B_X$ ,  $S_X$  and  $B[x, r]$  denote the closed unit ball, the unit sphere and the closed ball with centre at  $x$  and radius  $r$  respectively. We consider every Banach space  $X$ , under the canonical embedding, as a subspace of  $X^{**}$ .

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Let  $K$  be a non-empty closed subset of a Banach space  $X$ . For  $x \in X$ , let  $d(x, K) = \inf\{\|x - k\| : k \in K\}$  and  $P_K(x) = \{k \in K : d(x, K) = \|x - k\|\}$ . The set  $K$  is said to be proximal in  $X$  if  $P_K(x) \neq \emptyset$  for all  $x \in X$ . A subspace  $Y$  of  $X$  is said to be ball proximal in  $X$  if for every  $x \in X$ ,  $P_{B_Y}(x) \neq \emptyset$  (see [2, 16] for details).

In [10], Godefroy and Indumathi introduced a stronger version of proximality called ‘strong proximality’.

**Definition 1.1.** A proximal subspace  $Y$  of a Banach space  $X$  is said to be *strongly proximal* in  $X$  if for every  $x \in X$  and every  $\varepsilon > 0$ , there exists a  $\delta > 0$  such that  $P_Y(x, \delta) \subseteq P_Y(x) + \varepsilon B_Y$ , where  $P_Y(x, \delta) = \{y \in Y : \|x - y\| < d(x, Y) + \delta\}$ .

In [7], Franchetti and Payá introduced the notion of strong subdifferentiability in Banach spaces which in turn characterizes strongly proximal hyperplanes.

**Definition 1.2.** The norm of a Banach space  $X$  is said to be *strongly subdifferentiable* (in short SSD) at  $x \in X$  if the one sided limit

$$d^+(x)(y) := \lim_{t \rightarrow 0^+} \frac{\|x + ty\| - \|x\|}{t}$$

exists uniformly for  $y \in B_X$ . In this case,  $x$  is said to be an SSD point of  $X$ . If each  $x \in S_X$  is an SSD-point of  $X$ , then the norm of  $X$  is said to be SSD.

The following result by Godefroy and Indumathi connects SSD-points with strongly proximal subspaces of co-dimension one.

**Theorem 1.3** ([10]). *Let  $X$  be a Banach space. Then, for  $f \in X^*$ ,  $\ker(f)$  is strongly proximal in  $X$  if and only if  $f$  is an SSD-point of  $X^*$ .*

In the case of finite co-dimensional strongly proximal subspaces, we recall the following result.

**Theorem 1.4** ([10]). *Let  $Y$  be a finite co-dimensional subspace of a Banach space  $X$ . If  $Y$  is strongly proximal in  $X$ , then  $Y^\perp$  is contained in the set of all SSD-points of  $X^*$ .*

The following notion of a quasi-polyhedral point, introduced in [1] by Amir and Deutsch, is stronger than the notion of an SSD-point.

**Definition 1.5.** A vector  $x$  in a Banach space  $X$  is said to be a *quasi-polyhedral* (in short QP) point of  $X$  if there exists a  $\delta > 0$  such that  $J_{X^*}(z) \subseteq J_{X^*}(x)$  for  $\|z - x\| < \delta$  and  $\|z\| = \|x\|$ , where  $J_{X^*}(x) = \{f \in B_{X^*} : f(x) = \|x\|\}$ .

In [10], Godefroy and Indumathi proved that a QP-point is also an SSD-point but the converse need not be true.

The next result follows from the proof of Theorem 3.4 of [10].

**Theorem 1.6** ([10]). *Let  $Y$  be a finite co-dimensional subspace of a Banach space  $X$  such that  $Y^\perp$  is contained in the set of all QP-points of  $X^*$ . Then  $Y$  is strongly proximal in  $X$ .*

We now recall the notion of an  $M$ -ideal in a Banach space which is stronger than proximality (in fact, stronger than strong proximality).

**Definition 1.7** ([12, 23]). Let  $X$  be a Banach space.

- (a) A linear projection  $P$  on  $X$  is said to be an  $M$ -projection ( $L$ -projection) if  $\|x\| = \max\{\|Px\|, \|x - Px\|\}$  ( $\|x\| = \|Px\| + \|x - Px\|$ ) for all  $x \in X$ . A function  $P : X \rightarrow X$  is said to be a semi  $L$ -projection if  $P^2 = P$ ,  $P(\lambda x + Pz) = \lambda P(x) + P(z)$  for all  $\lambda \in \mathbb{R}$ ,  $x, z \in X$  and  $\|x\| = \|P(x)\| + \|x - P(x)\|$  for all  $x \in X$ .
- (b) A subspace  $Y$  of  $X$  is said to be an  $M$ -summand ( $L$ -summand) in  $X$  if it is the range of an  $M$ -projection ( $L$ -projection). A subspace  $Y$  of  $X$  is said to be a semi  $L$ -summand if it is the range of a semi  $L$ -projection.
- (c) A subspace  $Y$  of  $X$  is said to be an  $M$ -ideal (semi  $M$ -ideal) in  $X$  if  $Y^\perp$  is an  $L$ -summand (semi  $L$ -summand) in  $X^*$ .
- (d) A subspace  $Y$  of  $X$  is said to be an ideal in  $X$  if  $Y^\perp$  is the kernel of a norm one projection on  $X^*$ .

It is well-known that each Banach space is an ideal in its bidual.

We next recall some of the intersection properties of balls which are closely related to the proximality properties.

**Definition 1.8** ([12]). (a) Let  $n \in \mathbb{N}$ . A subspace  $Y$  of a Banach space  $X$  is said to have *the (strong)  $n$ -ball property* if, given  $n$  closed balls  $\{B[a_i, r_i]\}_{i=1}^n$  in  $X$  such that  $\bigcap_{i=1}^n B[a_i, r_i] \neq \emptyset$  and  $Y \cap B[a_i, r_i] \neq \emptyset$  for all  $i = 1, \dots, n$ , then  $Y \cap (\bigcap_{i=1}^n B[a_i, r_i + \varepsilon]) \neq \emptyset$  for all  $(\varepsilon \geq 0) \varepsilon > 0$ .

- (b) A subspace  $Y$  of a Banach space  $X$  is said to have *the (strong)  $1\frac{1}{2}$ -ball property* if the conditions  $x \in X$ ,  $y \in Y$ ,  $Y \cap B[x, r] \neq \emptyset$  and  $\|x - y\| \leq r + s$  ( $r, s > 0$ ) imply that  $Y \cap B[x, r + \varepsilon] \cap B[y, s + \varepsilon] \neq \emptyset$  for all ( $\varepsilon \geq 0$ )  $\varepsilon > 0$ .

It is well-known that  $M$ -ideals are precisely the subspaces having the 3-ball property (see [12]). It is also known that the semi  $M$ -ideals are precisely the subspaces having the 2-ball property (see [17, Theorem 6.10]). Proposition 3.3 of [6] shows that a subspace having the weakest of the above intersection properties, namely the  $1\frac{1}{2}$ -ball property, is already a strongly proximal subspace. In particular,  $M$ -ideals are strongly proximal.

[12] is a standard reference for any unexplained terminology.

## 2. Introduction

One of the interesting problems in approximation theory is the transitivity of various degrees of proximality and intersection properties of balls. Precisely, let  $(P)$  be any one of the properties proximality, strong proximality,  $1\frac{1}{2}$ -ball property or 2-ball property and let  $Y$  and  $Z$  be subspaces of  $X$  with  $Z \subseteq Y \subseteq X$  such that  $Z$  has property  $(P)$  in  $Y$  and  $Y$  has property  $(P)$  in  $X$ . Then is it necessary that  $Z$  has property  $(P)$  in  $X$ ? The motivation for the study of transitivity problem comes from [20] where Pollul established the transitivity of proximality for finite co-dimensional subspaces of  $c_0$ . In [5], Dutta and Narayana proved the transitivity of strong proximality for finite co-dimensional subspaces of  $C(K)$ , and in [21], Payá and Yost proved the transitivity of 2-ball property. More results regarding the transitivity problem for the property  $(P)$  can be found in [5, 6, 14, 20, 21].

On the other hand, it is also known that most of the properties listed above as  $(P)$ , in general, are not transitive. Corollary 7 of [14] shows that proximality need not be transitive. From [21, Example 6], it follows that the  $1\frac{1}{2}$ -ball property fails to be transitive. Motivated by these, since each  $M$ -ideal satisfies property  $(P)$ , our main theme in this paper is to discuss the following problem, which is a variation of the above mentioned transitivity problem.

**Problem 2.1.** *Let  $X, Y, Z$  be Banach spaces such that  $Z \subseteq Y \subseteq X$  and  $Y$  be an  $M$ -ideal in  $X$ . If  $(P)$  is a property which is shared by all  $M$ -ideals and if  $Z$  has property  $(P)$  in  $Y$ , does it follow that  $Z$  has  $(P)$  in  $X$ ?*

The solution to Problem 2.1 is known to be positive when property (P) is the  $n$ -ball property (a new and more natural proof is given in Section 4), but the problem is still open when property (P) is strong proximality.

In Section 3, we give an example to show that the strong proximality need not be transitive. Moreover, we prove that Problem 2.1 has an affirmative answer when (P) is strong proximality and  $Z$  is of finite co-dimension in  $X$ . In order to prove this, we first prove that a finite co-dimensional proximal subspace  $Y$  of a Banach space  $X$  is strongly proximal in  $X$  if and only if  $Y^{\perp\perp}$  is strongly proximal in  $X^{**}$ .

In Section 3, we also consider the following problem.

For an SSD-point  $f$  of  $X^*$ , there always exists a Hahn-Banach extension of  $f$  to  $X^{**}$  which is an SSD-point of  $X^{***}$ , namely the canonical image of  $f$  in  $X^{***}$ . But it is not known whether each Hahn-Banach extension of  $f$  to  $X^{**}$  is again an SSD-point of  $X^{***}$ . Coming to a more general set up, we consider the following problem.

**Problem 2.2.** *If  $Y$  is a subspace of a Banach space  $X$  and  $f \in Y^*$  is an SSD-point of  $Y^*$ , then can we say that all the Hahn-Banach extensions of  $f$  are SSD-points of  $X^*$ ?*

We show that the answer to Problem 2.2 is negative in general (see Example 3.15) and is affirmative if the subspace  $Y$  is an  $M$ -ideal in  $X$ .

We now recall that a Banach space  $X$  is said to be an  $L_1$ -predual space if  $X^*$  is isometric to  $L_1(\mu)$  for some positive measure  $\mu$ .

In Section 3, we also prove that the converse of Theorem 1.4 and Theorem 1.6 are true for  $L_1$ -predual spaces.

In Section 4, we discuss the intersection properties of balls in Banach spaces. We restrict ourselves to the  $1\frac{1}{2}$ -ball property and semi  $M$ -ideals. We give an affirmative answer to Problem 2.1 when (P) is the  $n$ -ball property, where  $n = 1\frac{1}{2}, 2$ .

Corollary 2.5 of [16] claims that  $M$ -ideals are ball proximal subspaces. In Section 4, we disprove this by giving a counterexample and we also prove that in an  $L_1$ -predual space,  $M$ -ideals are ball proximal.

In Section 5, we give an example to show that the strong proximality assumption on a subspace is not sufficient to guarantee that any proximal subspace of it is also proximal in the bigger space. We also discuss some examples regarding intersection properties of balls.

### 3. Strong Proximality in Banach Spaces

In this section, we discuss Problem 2.1 with property (P) being strong proximality and then we consider Problem 2.2. Moreover, we characterize finite co-dimensional strongly proximal subspaces of an  $L_1$ -predual space.

#### 3.1. A variation of transitivity problem for strong proximality

In [22, Remark 2.4], it is observed that there exists a proximal subspace of  $c_0$ , which is not proximal in  $\ell_\infty$ . Since  $c_0$  is an  $M$ -ideal in  $\ell_\infty$ , this example shows that Problem 2.1 does not have an affirmative answer when (P) is proximality. But in [15], it is proved that every finite co-dimensional proximal subspace of  $c_0$  continues to be proximal in  $\ell_\infty$ .

We now prove that for a subspace  $Y$  of a Banach space  $X$ , strongly proximal subspace of  $Y$  continue to be strongly proximal in  $X$  under a stronger assumption on the subspace  $Y$ .

**Proposition 3.1.** *Let  $X = Y \oplus Z$ . Let  $\varphi : \mathbb{R}_+ \times \mathbb{R}_+ \rightarrow \mathbb{R}_+$  be a map such that for each  $\beta \in \mathbb{R}_+$ ,  $\varphi(\cdot, \beta)$  is an increasing function on  $\mathbb{R}_+$  and for any sequence  $(\alpha_n)$  in  $\mathbb{R}_+$ ,  $\varphi(\alpha_n, \beta) \rightarrow \varphi(\alpha, \beta)$  implies  $\alpha_n \rightarrow \alpha$ . Suppose, for  $x \in X$ ,  $\|x\| = \varphi(\|y\|, \|z\|)$ , where  $x = y + z$  with  $y \in Y$  and  $z \in Z$ . If  $W$  is a strongly proximal subspace of  $Y$ , then  $W$  is a strongly proximal subspace of  $X$ .*

*Proof.* Let  $x \in X$  and let  $x = y + z$  with  $y \in Y$  and  $z \in Z$ . If  $W$  is proximal in  $Y$ , then the proximality of  $W$  in  $X$  follows from the fact that  $P_W(y) \subseteq P_W(x)$ . We note that the convergence assumption on  $\varphi$  is not used yet.

Now let  $W$  be strongly proximal in  $Y$ . Clearly,  $d(x, W) = \varphi(d(y, W), \|z\|)$ . Let  $(w_n)$  be a sequence in  $W$  such that  $\|x - w_n\| \rightarrow d(x, W)$ . Then, by the assumption on  $\varphi$ ,  $\|y - w_n\| \rightarrow d(y, W)$  and hence, by the strong proximality of  $W$  in  $Y$ ,  $d(w_n, P_W(y)) \rightarrow 0$ . Since  $P_W(y) \subset P_W(x)$ ,  $d(w_n, P_W(x)) \rightarrow 0$  and hence the strong proximality of  $W$  in  $X$  follows.  $\square$

As an immediate consequence of Proposition 3.1, it follows that if  $Y$  is an  $L$ -summand in  $X$ , then any strongly proximal subspace  $W$  of  $Y$  is strongly proximal in  $X$ . When  $Y$  is an  $M$ -summand in  $X$ , the proof of Proposition 3.1 shows that  $W$  is proximal in  $X$  if it is so in  $Y$ , but this proposition does not give any conclusion regarding the strong proximality of  $W$  in  $X$  even if  $W$  is strongly proximal in  $Y$  as the convergence assumption

on  $\varphi$  need not be satisfied in this case. So we consider this case separately as our next result.

For a Banach space  $X$ , let  $\mathcal{C}(X)$  denote the class of non-empty, bounded and closed subsets of  $X$ . Then the Hausdorff metric on  $\mathcal{C}(X)$  is given by

$$h(A, B) = \max \left\{ \sup_{x \in A} d(x, B), \sup_{z \in B} d(z, A) \right\} \quad \text{for } A, B \in \mathcal{C}(X).$$

**Proposition 3.2.** *Let  $X$  be a Banach space and  $Y$  be an  $M$ -summand in  $X$ . If  $W$  is strongly proximal in  $Y$ , then  $W$  is strongly proximal in  $X$ .*

*Proof.* Let  $W$  be strongly proximal in  $Y$ . Clearly,  $W$  is proximal in  $X$ . Let  $x \in X$  and let  $x = y + z$  with  $y \in Y$  and  $z \in Z$ . Then it follows that  $d(x, W) = \max\{d(y, W), \|z\|\}$  and  $P_W(y) \subseteq P_W(x)$ . Let  $\varepsilon > 0$ .

Suppose  $\|z\| > d(y, W)$ . Then  $P_W(x) = B[y, \|z\|] \cap W$  and  $P_W(x, \eta) = B(y, \|z\| + \eta) \cap W$  for all  $\eta > 0$ . Since  $\|z\| > d(y, W)$ , by [15, Fact 3.2], there exists a  $\delta > 0$  such that for  $u \in Y$  with  $\|u - y\| < 2\delta$  and for  $\beta > 0$  with  $|\beta - \|z\|| < 2\delta$ , we get

$$h(B(y, \|z\|) \cap W, B(u, \beta) \cap W) < \varepsilon, \quad (1)$$

where  $h$  is the Hausdorff metric on  $\mathcal{C}(Y)$ . Now, by putting  $u = y$  and  $\beta = \|z\| + \delta$  in (1), we get  $h(B(y, \|z\|) \cap W, B(y, \|z\| + \delta) \cap W) < \varepsilon$ . Thus  $B(y, \|z\| + \delta) \cap W \subseteq (B(y, \|z\|) \cap W) + \varepsilon B_X$  and hence  $P_W(x, \delta) \subseteq P_W(x) + \varepsilon B_X$ .

Now suppose  $\|z\| \leq d(y, W)$ . Then  $P_W(x) = P_W(y)$  and  $P_W(x, \delta) = P_W(y, \delta)$ . Since  $W$  is strongly proximal in  $Y$ , there exists a  $\delta > 0$  such that  $P_W(y, \delta) \subseteq P_W(y) + \varepsilon B_Y$ . Thus  $P_W(x, \delta) \subseteq P_W(x) + \varepsilon B_X$  and hence the result follows.  $\square$

We now recall some notation from [13] in order to state a characterization of finite co-dimensional strongly proximal subspaces in Banach spaces.

Let  $X$  be a Banach space and let  $\{f_1, \dots, f_n\}$  be a set of linearly independent functionals in  $X^*$ . Let  $M_1 = M_1^* = \|f_1\|$ ,  $J_X(f_1) = \{x \in B_X : f_1(x) = \|f_1\|\}$  and  $J_{X^{**}}(f_1) = \{x^{**} \in B_{X^{**}} : x^{**}(f_1) = \|f_1\|\}$ .

Now suppose, for an  $i \in \{2, \dots, n\}$ ,  $J_X(f_1, \dots, f_{i-1})$  is defined and is a

non-empty set. Then define

$$\begin{aligned} M_i &= \sup\{f_i(x) : x \in J_X(f_1, \dots, f_{i-1})\}, \\ M_i^* &= \sup\{x^{**}(f_i) : x^{**} \in J_{X^{**}}(f_1, \dots, f_{i-1})\}, \\ J_X(f_1, \dots, f_i) &= \{x \in J_X(f_1, \dots, f_{i-1}) : f_i(x) = M_i\}, \\ J_{X^{**}}(f_1, \dots, f_i) &= \{x^{**} \in J_{X^{**}}(f_1, \dots, f_{i-1}) : x^{**}(f_i) = M_i^*\}. \end{aligned}$$

For  $\varepsilon > 0$ , let  $J_X(f_1, \varepsilon) = \{x \in B_X : f_1(x) > \|f_1\| - \varepsilon\}$ .

For  $i = 2, \dots, n$ , define

$$J_X(f_1, \dots, f_i, \varepsilon) = \{x \in J_X(f_1, \dots, f_{i-1}, \varepsilon) : f_i(x) > M_i - \varepsilon\}.$$

Using a weak\*-compactness argument, one can see that  $J_{X^{**}}(f_1, \dots, f_i) \neq \emptyset$  for  $i = 1, \dots, n$ . In [13, Theorem 1], it is proved that if  $Y$  is a finite co-dimensional proximal subspace of  $X$ , then  $J_X(f_1, \dots, f_i) \neq \emptyset$  for  $i = 1, \dots, n$  and for every basis  $\{f_1, \dots, f_n\}$  of  $Y^\perp$ .

Throughout this section, we use the following characterization of finite co-dimensional strongly proximal subspace.

**Theorem 3.3** ([10]). *Let  $Y$  be a finite co-dimensional proximal subspace of a Banach space  $X$ . Then  $Y$  is strongly proximal in  $X$  if and only if for any basis  $\{f_1, \dots, f_n\}$  of  $Y^\perp$ ,*

$$\lim_{\varepsilon \rightarrow 0} [\sup\{d(x, J_X(f_1, \dots, f_i)) : x \in J_X(f_1, \dots, f_i, \varepsilon)\}] = 0$$

for  $1 \leq i \leq n$ .

In other words, a necessary and sufficient condition for the strong proximality of a finite co-dimensional subspace  $Y$  of  $X$  is: if  $\{f_1, \dots, f_n\}$  is a basis of  $Y^\perp$  and  $i \in \{1, \dots, n\}$ , then, for every  $\varepsilon > 0$ , there exists a  $\delta_\varepsilon > 0$  such that  $d(x, J_X(f_1, \dots, f_i)) < \varepsilon$  whenever  $x \in J_X(f_1, \dots, f_i, \delta_\varepsilon)$ .

We now exhibit some relations between the notations defined above.

**Proposition 3.4.** *Let  $Y$  be a finite co-dimensional strongly proximal subspace of a Banach space  $X$  and let  $\{f_1, \dots, f_n\} \subseteq S_{Y^\perp}$  be a basis of  $Y^\perp$ . For  $1 \leq i \leq n$ , let  $M_i, M_i^*, J_X(f_1, \dots, f_i)$  and  $J_{X^{**}}(f_1, \dots, f_i)$  be defined as above. Then, for  $1 \leq i \leq n$ ,*

(a)  $M_i = M_i^*$ ,



(b)  $J_{X^{**}}(f_1, \dots, f_i) = \overline{J_X(f_1, \dots, f_i)}^{w^*}$ .

*Proof.* (a). Clearly,  $M_1 = M_1^*$  and  $M_2 \leq M_2^*$ . Let  $i \in \{1, \dots, n\}$ . Now suppose that  $M_j = M_j^*$  for  $1 \leq j \leq i$ . Then  $M_{i+1} \leq M_{i+1}^*$ . Since  $J_{X^{**}}(f_1, \dots, f_i)$  is weak\*-compact,  $f_{i+1}$  attains its supremum over  $J_{X^{**}}(f_1, \dots, f_i)$  at some element  $x_0^{**} \in J_{X^{**}}(f_1, \dots, f_i)$ . Let  $(x_\alpha)$  be a net in  $B_X$  such that  $x_\alpha \rightarrow x_0^{**}$  in weak\*-sense. Since  $x_0^{**} \in J_{X^{**}}(f_1, \dots, f_i)$ ,  $x_0^{**}(f_j) = M_j^* = M_j$  for  $1 \leq j \leq i$ . Hence, for  $1 \leq j \leq i$ ,  $f_j(x_\alpha) \rightarrow M_j$ . Since  $Y$  is strongly proximal in  $X$ , by Theorem 3.3, it follows that  $d(x_\alpha, J_X(f_1, \dots, f_i)) \rightarrow 0$ . Now let  $(z_\alpha)$  be a net in  $J_X(f_1, \dots, f_i)$  such that  $\|x_\alpha - z_\alpha\| \rightarrow 0$ . Then  $z_\alpha \rightarrow x_0^{**}$  in weak\*-sense. Since  $f_{i+1}(z_\alpha) \rightarrow x_0^{**}(f_{i+1}) = M_{i+1}^*$ , we get  $M_{i+1}^* = \lim_\alpha f_{i+1}(z_\alpha) \leq M_{i+1}$ . Now the result follows by induction.

(b). Since  $f_1$  is an SSD-point of  $X^*$ ,  $\overline{J_X(f_1)}^{w^*} = J_{X^{**}}(f_1)$ . Clearly,  $\overline{J_X(f_1, f_2)}^{w^*} \subseteq J_{X^{**}}(f_1, f_2)$ . Let  $\phi \in J_{X^{**}}(f_1, f_2)$  and choose a net  $(x_\alpha)$  in  $B_X$  such that  $x_\alpha \rightarrow \phi$  in weak\*-sense. Since  $f_1(x_\alpha) \rightarrow \phi(f_1)$ ,  $d(x_\alpha, J_X(f_1)) \rightarrow 0$ . Choose a net  $(y_\alpha)$  in  $J_X(f_1)$  such that  $\|x_\alpha - y_\alpha\| \rightarrow 0$ . Hence  $y_\alpha \rightarrow \phi$  in weak\*-sense. Since  $f_2(y_\alpha) \rightarrow \phi(f_2) = M_2$ ,  $d(y_\alpha, J_X(f_1, f_2)) \rightarrow 0$ . Hence there exists a net  $(z_\alpha) \subseteq J_X(f_1, f_2)$  such that  $\|y_\alpha - z_\alpha\| \rightarrow 0$ , which in turn implies that  $z_\alpha \rightarrow \phi$  in weak\*-sense. i.e.,  $\overline{J_X(f_1, f_2)}^{w^*} = J_{X^{**}}(f_1, f_2)$ . By a similar argument, we can prove (b) for  $i > 2$ .  $\square$

**Remark 3.5.** Proposition 3.4(b) is a generalization of [10, Remark 1.2(2)].

**Remark 3.6.** Our next result generalizes a known fact related to the strongly proximal hyperplanes in Banach spaces (see [10, Remark 1.2(1)]). Precisely, [10, Remark 1.2(1)] can be obtained by putting  $n = 1$  in Lemma 3.7. We follow the idea used in the proof of [11, Fact 2] to prove our next result.

**Lemma 3.7.** *Let  $Y$  be a finite co-dimensional strongly proximal subspace of a Banach space  $X$ . Let  $\{f_1, \dots, f_n\} \subset S_{Y^\perp}$  be a basis of  $Y^\perp$ . Then, for  $x \in B_X$  and  $1 \leq i \leq n$ ,  $d(x, J_X(f_1, \dots, f_i)) = d(x, J_{X^{**}}(f_1, \dots, f_i))$ .*

*Proof.* If  $n = 1$ , then the conclusion follows from [10, Remark 1.2(1)].

Since no new ideas are required for  $n > 2$ , we only prove the case  $n = 2$ . Hence we have to show that for  $x \in B_X$ ,  $d(x, J_X(f_1, f_2)) = d(x, J_{X^{**}}(f_1, f_2))$ .

Let  $d = d(x, J_{X^{**}}(f_1, f_2))$ . Since  $J_{X^{**}}(f_1, f_2)$  is weak\*-compact, it is proximal in  $X^{**}$ . Choose  $\phi \in J_{X^{**}}(f_1, f_2)$  such that  $\|x - \phi\| = d$ .

Since  $Y$  is strongly proximal in  $X$ , for every  $\varepsilon > 0$ , there exists a  $\delta_\varepsilon > 0$  such that  $d(x, J_X(f_1, f_2)) < \varepsilon$  whenever  $x \in J_X(f_1, f_2, \delta_\varepsilon)$ .

Now let  $\varepsilon > 0$  be arbitrary. Choose an  $\varepsilon' > 0$  such that  $0 < \varepsilon' < \min\{\delta_{\varepsilon/2^2}, \frac{\varepsilon}{2(d+1)}\}$ . Let  $E = \text{span}\{x, \phi\} \subseteq X^{**}$  and  $F = \text{span}\{f_1, f_2\} \subseteq X^*$ . Then, by principle of local reflexivity, there exists a bounded linear map  $T : E \rightarrow X$  such that  $T(x) = x$ ,  $(1 - \varepsilon') \leq \|T(z^{**})\| \leq (1 + \varepsilon')$  if  $z^{**} \in S_E$  and  $f_i(T(z^{**})) = z^{**}(f_i)$  for  $i = 1, 2$ .

Now let  $x_1 = \frac{T\phi}{\|T\phi\|}$ . Then

$$\begin{aligned} \|x - x_1\| &\leq \|x - T\phi\| + \|T\phi - \frac{T\phi}{\|T\phi\|}\| \\ &= \|T(x - \phi)\| + |1 - \|T\phi\|| \\ &\leq (1 + \varepsilon')d + \varepsilon' \\ &= d + \varepsilon'(1 + d) < d + \frac{\varepsilon}{2} \end{aligned}$$

and for  $i = 1, 2$ ; by Proposition 3.4(a), we have

$$f_i(x_1) = f_i\left(\frac{T\phi}{\|T\phi\|}\right) \geq \frac{M_i^*}{1 + \varepsilon'} = \frac{M_i}{1 + \varepsilon'} = M_i - \frac{M_i\varepsilon'}{1 + \varepsilon'} > M_i - \varepsilon' > M_i - \delta_{\varepsilon/2^2}.$$

Thus  $x_1 \in J_X(f_1, f_2, \delta_{\varepsilon/2^2})$  and  $d(x_1, J_{X^{**}}(f_1, f_2)) \leq d(x_1, J_X(f_1, f_2)) < \varepsilon/2^2$ . Let  $\phi_1 \in J_{X^{**}}(f_1, f_2)$  be such that  $\|x_1 - \phi_1\| < \varepsilon/2^2$ . Then, again by principle of local reflexivity, there exists an element  $x_2 \in B_X$  such that  $\|x_1 - x_2\| < \varepsilon/2^2$  and  $f_i(x_2) > M_i - \delta_{\varepsilon/2^3}$ .

Proceeding inductively, we obtain a sequence  $(x_n)$  in  $B_X$  such that  $\|x_n - x_{n-1}\| < \varepsilon/2^n$  and  $f_i(x_n) > M_i - \delta_{\varepsilon/2^{n+1}}$  for all  $n \in \mathbb{N}$  and  $i = 1, 2$ . Without loss of generality, we assume that  $\delta_{\varepsilon/2^n} \rightarrow 0$ .

Clearly,  $(x_n)$  is a Cauchy sequence and hence there exists a  $z \in B_X$  such that  $z = \lim_{n \rightarrow \infty} x_n$ . Now  $f_i(z) = M_i$  for  $i = 1, 2$  and hence  $z \in J_X(f_1, f_2)$ . Also  $\|x - x_n\| \leq d + \varepsilon/2 + \dots + \varepsilon/2^n$  for all  $n \in \mathbb{N}$ . Now, letting  $n \rightarrow \infty$ , it follows that  $\|x - z\| \leq d + \varepsilon$ . Since  $\varepsilon > 0$  is arbitrary and  $z \in J_X(f_1, f_2)$ ,  $d(x, J_X(f_1, f_2)) \leq d = d(x, J_{X^{**}}(f_1, f_2))$  and hence the result follows.  $\square$

Combining [7, Theorem 1.2] and [10, Lemma 1.1], we get the following result.

**Proposition 3.8.** *Let  $X$  be a Banach space and  $f \in X^*$ . Then  $f$  is an SSD-point of  $X^*$  if and only if  $f$  is an SSD-point of  $X^{***}$ .*

**Remark 3.9.** If  $Y$  is a finite co-dimensional subspace of a Banach space  $X$ , then  $\dim(Y^\perp) = \dim(X^{**}/Y^{\perp\perp})$  and therefore dimension of  $Y^\perp$  in  $X^*$  equals the dimension of  $Y^{\perp\perp\perp}$  in  $X^{***}$ .

Now, by combining Theorem 1.3 and Proposition 3.8, it follows that a hyperplane  $Y$  in a Banach space  $X$  is strongly proximal in  $X$  if and only if  $Y^{\perp\perp}$  is strongly proximal in  $X^{**}$ . Our next result generalizes this to finite co-dimensional subspaces.

**Theorem 3.10.** *If  $Y$  is a finite co-dimensional proximal subspace of a Banach space  $X$ , then  $Y$  is strongly proximal in  $X$  if and only if  $Y^{\perp\perp}$  is strongly proximal in  $X^{**}$ .*

*Proof.* Suppose that  $Y$  is strongly proximal in  $X$ . Let  $\{f_1, \dots, f_n\} \subset S_{Y^{\perp\perp\perp}}$  be a basis of  $Y^{\perp\perp\perp}$ . As  $Y^{\perp}$  is finite dimensional,  $Y^{\perp\perp\perp} = Y^{\perp}$ . Thus  $\{f_1, \dots, f_n\}$  is also a basis of  $Y^{\perp}$ .

Now let  $i \in \{1, \dots, n\}$  and let  $\varepsilon > 0$ . Since  $Y$  is strongly proximal in  $X$ , there exists a  $\delta > 0$  such that  $d(x, J_X(f_1, \dots, f_i)) < \varepsilon$  whenever  $x \in J_X(f_1, \dots, f_i, \delta)$ . Then, for  $x^{**} \in J_{X^{**}}(f_1, \dots, f_i, \delta)$ ,  $x^{**}(f_j) > M_j - \delta$  for  $1 \leq j \leq i$ . Let  $(x_\alpha)$  be a net in  $B_X$  such that  $x_\alpha \rightarrow x^{**}$  in weak\*-sense. Now, without loss of generality, we assume that  $f_j(x_\alpha) > M_j - \delta$  for all  $\alpha$  and for  $1 \leq j \leq i$ . Hence there exists an element  $z_\alpha \in J_X(f_1, \dots, f_i)$  such that  $\|x_\alpha - z_\alpha\| < \varepsilon$ . Passing to a subnet of  $(z_\alpha)$ , if necessary, we may assume that  $z_\alpha \rightarrow \phi$  in weak\*-sense for some  $\phi \in J_{X^{**}}(f_1, \dots, f_i)$ . Thus  $(x_\alpha - z_\alpha) \rightarrow (x^{**} - \phi)$  in the weak\*-sense. Then  $\|x^{**} - \phi\| \leq \varliminf_\alpha \|x_\alpha - z_\alpha\| \leq \varepsilon$ . Therefore  $d(x^{**}, J_{X^{**}}(f_1, \dots, f_i)) \leq \|x^{**} - \phi\| < \varepsilon$ . Hence, by Theorem 3.3,  $Y^{\perp\perp}$  is strongly proximal in  $X^{**}$ .

Conversely, suppose that  $Y^{\perp\perp}$  is a strongly proximal subspace of  $X^{**}$ . Let  $\{f_1, \dots, f_n\} \subset S_{Y^{\perp}}$  be a basis of  $Y^{\perp}$  and let  $\varepsilon > 0$ . Since  $Y^{\perp\perp\perp} = Y^{\perp}$ ,  $\{f_1, \dots, f_n\}$  is also a basis of  $Y^{\perp\perp\perp}$ . Let  $i \in \{1, \dots, n\}$ . Clearly,  $J_X(f_1, \dots, f_i, \delta) \subseteq J_{X^{**}}(f_1, \dots, f_i, \delta)$ . Since  $Y^{\perp\perp}$  is strongly proximal in  $X^{**}$ , there exists a  $\delta > 0$  such that  $d(x^{**}, J_{X^{**}}(f_1, \dots, f_i)) < \varepsilon$  whenever  $x^{**} \in J_{X^{**}}(f_1, \dots, f_i, \delta)$ . Then, for  $x \in J_X(f_1, \dots, f_i, \delta)$ , by Lemma 3.7,  $d(x, J_X(f_1, \dots, f_i)) = d(x, J_{X^{**}}(f_1, \dots, f_i)) < \varepsilon$  and this completes the proof.  $\square$

We now give an example to show that the strong proximality need not be transitive. Before going to the proof, we now recall a characterization of SSD-points of  $\ell_\infty$ .

**Theorem 3.11** ([8, Theorem 5]). *An element  $x \in \ell_\infty$  is an SSD-point of  $\ell_\infty$  if and only if  $\sup\{|x(n)| : |x(n)| \neq \|x\|\} < \|x\|$ .*

**Example 3.12.** *There exist two subspaces  $Z$  and  $Y$  of finite co-dimension in  $\ell_1$  such that  $Z$  is strongly proximal in  $Y$  and  $Y$  is strongly proximal in  $\ell_1$ , but  $Z$  is not strongly proximal in  $\ell_1$ .*

*Proof.* Let  $f = (0, 1, 1, \dots)$  and  $g = (1, -\frac{1}{2}, -\frac{1}{3}, \dots)$ . Then, by Theorem 3.11,  $f$  and  $g$  are SSD-points of  $\ell_\infty$  and hence, by [6, Theorem 2.1],  $f$  and  $g$  are QP-points of  $\ell_\infty$ . Let  $Z = \ker(f) \cap \ker(g)$  and  $Y = \ker(f)$ . Since  $f$  is a QP-point of  $\ell_\infty$ ,  $Y$  is strongly proximal in  $\ell_1$ . Also, since  $g$  attains its norm on  $Y$  and  $g$  is a QP-point of  $\ell_\infty$ , by the proof of [6, Proposition 4.2],  $g|_Y$  is a QP-point of  $Y^*$ . Hence  $Z = \ker(g|_Y)$  is strongly proximal in  $Y$ . Since, by Theorem 3.11,  $f + g \in Z^\perp$  is not an SSD-point of  $\ell_\infty$ , it follows from Theorem 1.4 that  $Z$  is not strongly proximal in  $\ell_1$ .  $\square$

Our next theorem shows that for an  $M$ -ideal  $Y$  in a Banach space  $X$ , a strongly proximal subspace of  $Y$  having finite co-dimension in  $X$  remains to be strongly proximal in  $X$ .

**Theorem 3.13.** *Let  $X$  be a Banach space and  $Z$  be a finite co-dimensional proximal subspace of  $X$ . Let  $Y$  be an  $M$ -ideal in  $X$  and  $Z \subseteq Y \subseteq X$ . If  $Z$  is strongly proximal in  $Y$ , then  $Z$  is strongly proximal in  $X$ .*

*Proof.* Let  $Z$  be strongly proximal in  $Y$ . Then, by Theorem 3.10, it follows that  $Z^{\perp\perp}$  is strongly proximal in  $Y^{\perp\perp}$ . Since  $Y^{\perp\perp}$  is an  $M$ -summand in  $X^{**}$ , by Proposition 3.2,  $Z^{\perp\perp}$  is strongly proximal in  $X^{**}$ . Then, again by Theorem 3.10,  $Z$  is strongly proximal in  $X$ .  $\square$

We do not know whether we can replace the  $M$ -ideal assumption in Theorem 3.13 by the semi  $M$ -ideal assumption. The idea used in the proof of Theorem 3.13 will not be useful in the semi  $M$ -ideal case as the bidual of a semi  $M$ -ideal is again a semi  $M$ -ideal, which we will prove in Lemma 4.2.

**Remark 3.14.** We do not know whether the finite co-dimensionality assumption on  $Y$  in Theorem 3.13 is necessary. The answer is not known even if the strong proximality in Theorem 3.13 is replaced by proximality.

### 3.2. SSD-points and Hahn-Banach extensions

For a subspace  $Y$  of a Banach space  $X$ , one can ask about the strong subdifferentiability of Hahn-Banach extensions of an SSD-point of  $Y^*$ . To begin with, we give an example to show that all the Hahn-Banach extensions of an SSD-point of  $Y^*$  need not be SSD-points of  $X^*$ .

**Example 3.15.** *There exist a subspace  $Y$  of  $\ell_1$  and an SSD-point of  $Y^*$  such that one of its Hahn-Banach extensions is not an SSD-point of  $\ell_\infty$ .*

*Proof.* Let  $f, g, Z$  and  $Y$  be as in Example 3.12. Since  $g|_Y$  is an SSD-point of  $Y^*$  and  $f + g$  is a Hahn-Banach extension of  $g|_Y$ , the conclusion follows from Example 3.12.  $\square$

We now prove that for an  $M$ -ideal  $Y$  in a Banach space  $X$ , the Hahn-Banach extension of an SSD-point of  $Y^*$  to  $X$  is an SSD-point of  $X^*$ .

Our next result is a particular case of [7, Proposition 2.1], but for the sake of completeness, we outline the proof below.

**Proposition 3.16.** *Let  $Y$  be a semi  $L$ -summand in a Banach space  $X$  and let  $y \in Y$  be an SSD-point of  $Y$ . Then  $y$  is also an SSD-point of  $X$ .*

*Proof.* Let  $P : X \rightarrow X$  be a semi  $L$ -projection with range  $Y$ . Then

$$d^+(y)(x) = d^+(y)(Px) + \|x - Px\|.$$

Now the conclusion follows from the following equation.

$$\frac{\|y + tx\| - 1}{t} - d^+(y)(x) = \|Px\| \left( \frac{\|y + t\|Px\|\frac{Px}{\|Px\|}\| - 1}{\|Px\|t} - d^+(y)\left(\frac{Px}{\|Px\|}\right) \right).$$

$\square$

Since, by [12, Chapter I, Remark 1.13], for an  $M$ -ideal  $Y$  in  $X$ ,  $X^* = Y^* \oplus_1 Y^\perp$ , the following corollary is immediate from Proposition 3.16.

**Corollary 3.17.** *If  $Y$  is an  $M$ -ideal in a Banach space  $X$  and  $f \in Y^*$  is an SSD-point of  $Y^*$ , then the unique Hahn-Banach extension of  $f$  to  $X$  is also an SSD-point of  $X^*$ .*

### 3.3. Strong proximality in $L_1$ -predual spaces

Since a QP-point is an SSD-point and also since the converse need not be true, it is natural to ask about the class of Banach spaces where the notions of an SSD-point and a QP-point coincide. We now show that for a positive measure  $\mu$ , these two notions coincide in  $L_1(\mu)$ .

**Proposition 3.18.** *For a positive measure  $\mu$ , an SSD-point of  $L_1(\mu)$  is also a QP-point of  $L_1(\mu)$ .*

*Proof.* Let  $f \in L_1(\mu)$  be an SSD-point. Since  $L_1(\mu)$  is an  $L$ -summand in its bidual, by Proposition 3.16,  $f$  is an SSD-point of  $L_1(\mu)^{**} = C(K)^*$  (up to an isometry) for some compact Hausdorff space  $K$ . Then, by [5, Theorem 2.1],  $f$  is a QP-point of  $L_1(\mu)^{**}$  and hence  $f$  is a QP-point of  $L_1(\mu)$ .  $\square$

Now it follows from the proof of Example 3.12 that the sum of two SSD-points in a Banach space need not be an SSD-point. But in our next result, we prove that the sum of two SSD-points of  $L_1(\mu)$  is an SSD-point of  $L_1(\mu)$ .

**Corollary 3.19.** *For a positive measure  $\mu$ , sum of two SSD-points of  $L_1(\mu)$  is an SSD-point of  $L_1(\mu)$ .*

*Proof.* Let  $f$  and  $g$  be two SSD-points of  $L_1(\mu)$ . Since  $L_1(\mu)$  is an  $L$ -summand in its bidual, by Proposition 3.16,  $f$  and  $g$  are SSD-points of  $L_1(\mu)^{**} = C(K)^*$  (up to an isometry) for some compact Hausdorff space  $K$ . Since, by [5, Theorem 2.1], SSD-points of  $C(K)^*$  are precisely the finitely supported measures,  $f + g$  is an SSD-point of  $C(K)^* = L_1(\mu)^{**}$ . Hence  $f + g$  is an SSD-point of  $L_1(\mu)$ .  $\square$

Our next result characterizes finite co-dimensional strongly proximal subspaces of  $L_1$ -predual spaces. The following result also shows that the converse of Theorem 1.4 and Theorem 1.6 are true in  $L_1$ -predual spaces.

**Proposition 3.20.** *Let  $X$  be an  $L_1$ -predual space and  $Y$  be a finite co-dimensional proximal subspace of  $X$ . Then the following are equivalent:*

- (i)  $Y$  is strongly proximal in  $X$ .
- (ii)  $Y^\perp \subseteq \{x^* \in X^* : x^* \text{ is an SSD-point of } X^*\}$ .
- (iii)  $Y^\perp \subseteq \{x^* \in X^* : x^* \text{ is a QP-point of } X^*\}$ .

*Proof.* The implication (i)  $\Rightarrow$  (ii) follows from Theorem 1.3 and the implication (ii)  $\Rightarrow$  (i) follows from Proposition 3.18 and Theorem 1.6. Finally, (ii)  $\iff$  (iii) follows from Proposition 3.18.  $\square$

If  $Y$  is a finite co-dimensional strongly proximal subspace of a Banach space  $X$ , then, by Theorem 1.4,  $Y$  is the intersection of finitely many strongly proximal hyperplanes. Our next result shows that the converse of this is true in  $L_1$ -predual spaces.

**Corollary 3.21.** *Let  $X$  be an  $L_1$ -predual space and let  $Y_1, \dots, Y_n$  be strongly proximal subspaces of finite co-dimension in  $X$ . Then  $\bigcap_{i=1}^n Y_i$  is strongly proximal in  $X$ .*

*Proof.* Let  $Y = \bigcap_{i=1}^m Y_i$ . For  $1 \leq i \leq m$ , let  $f_{i,1}, \dots, f_{i,n_i}$  be SSD-points of  $X^*$  such that  $Y_i = \bigcap_{k=1}^{n_i} \ker(f_{i,k})$ . Thus  $Y = \bigcap_{i,k} \ker(f_{i,k})$  and hence, by Corollary 3.19,  $Y^\perp = \text{span}\{f_{i,k} : 1 \leq i \leq m, 1 \leq k \leq n_i\} \subseteq \{f \in X^* : f \text{ is an SSD-point of } X^*\}$ . Hence, by Proposition 3.20,  $Y$  is strongly proximal in  $X$ .  $\square$

#### 4. Intersection Properties of Balls in Banach spaces

In this section, we consider Problem 2.1 with property (P) being  $1\frac{1}{2}$ -ball property or 2-ball property. We also disprove Corollary 2.5 of [16] which states that  $M$ -ideals are ball proximal. Moreover, we prove that in an  $L_1$ -predual space,  $M$ -ideals are ball proximal.

##### 4.1. A variation of transitivity problem for $n$ -ball property with $n = 1\frac{1}{2}, 2$

We now prove a variation of transitivity problem for  $n$ -ball property with  $n \in \mathbb{N}$ .

**Lemma 4.1.** *Let  $Y$  be an  $M$ -summand in a Banach space  $X$  and  $Z$  be a subspace of  $Y$ . Let  $n \in \mathbb{N}$ .*

- (a) *If  $Z$  has the (strong)  $n$ -ball property in  $Y$ , then  $Z$  has the (strong)  $n$ -ball property in  $X$ .*
- (b) *If  $Z$  has the (strong)  $1\frac{1}{2}$ -ball property in  $Y$ , then  $Z$  has the (strong)  $1\frac{1}{2}$ -ball property in  $X$ .*

*Proof.* (a) Let  $Z$  has the  $n$ -ball property in  $Y$ . Let  $\varepsilon > 0$  and  $\{B[x_i, r_i]\}_{1 \leq i \leq n}$  be a family of  $n$  balls in  $X$  such that

$$B[x_i, r_i] \cap Z \neq \emptyset \text{ for all } i = 1, \dots, n \text{ and } \bigcap_{i=1}^n B[x_i, r_i] \neq \emptyset.$$

Let  $x \in \bigcap_{i=1}^n B[x_i, r_i]$  and  $P : X \rightarrow X$  be an  $M$ -projection with range  $Y$ . Then  $Px \in \bigcap_{i=1}^n B[Px_i, r_i]$  and  $B[Px_i, r_i] \cap Z \neq \emptyset$ . Then, by the  $n$ -ball property of  $Z$  in  $Y$ , there exists an element  $z \in Z \cap (\bigcap_{i=1}^n B[Px_i, r_i + \varepsilon])$ . Hence  $\|z - x_i\| \leq \max\{\|z - Px_i\|, \|x_i - Px_i\|\} \leq r_i + \varepsilon$  for  $1 \leq i \leq n$ . Thus  $Z$  has the  $n$ -ball property in  $X$ .

If  $Z$  has the strong  $n$ -ball property in  $Y$ , then the strong  $n$ -ball property of  $Z$  in  $X$  follows by taking  $\varepsilon = 0$  in the above proof.

A similar proof also works for (b). □

Our next result is an analogue of Theorem 3.10 in the context of  $n$ -ball property with  $n = 1\frac{1}{2}, 2$ .

**Lemma 4.2.** *Let  $Y$  be a subspace of a Banach space  $X$  and let  $n = 1\frac{1}{2}, 2$ . Then  $Y$  has the  $n$ -ball property in  $X$  if and only if  $Y^{\perp\perp}$  has the  $n$ -ball property in  $X^{**}$ .*

*Proof.* Suppose that  $Y$  has the 2-ball property in  $X$ . Then  $Y$  is a semi  $M$ -ideal in  $X$  and hence  $Y^\perp$  is a semi  $L$ -summand in  $X^*$ . Then, by [17, Theorem 6.14],  $Y^{\perp\perp}$  is a semi  $M$ -ideal in  $X^{**}$  and hence  $Y^{\perp\perp}$  has 2-ball property in  $X^{**}$ .

Conversely, suppose that  $Y^{\perp\perp}$  has the 2-ball property in  $X^{**}$ . Let  $\varepsilon > 0$  and let  $\{B[x_i, r_i]\}_{i=1,2}$  be two balls in  $X$  such that  $B[x_i, r_i] \cap Y \neq \emptyset$  for  $i = 1, 2$  and  $B[x_1, r_1] \cap B[x_2, r_2] \neq \emptyset$ .

Since  $Y^{\perp\perp}$  is a weak\*-closed subspace of  $X^{**}$ ,  $Y^{\perp\perp}$  has the strong 2-ball property in  $X^{**}$ . Hence there exists an element  $x^{**} \in Y^{\perp\perp}$  such that  $\|x^{**} - x_i\| \leq r_i$  for  $i = 1, 2$ .

Let  $E = \text{span}\{x_1, x_2, x^{**}\}$  and  $r = \max\{r_1, r_2\}$ . Then, by an extended version of principle of local reflexivity (see [4, Theorem 3.2]), there exists a bounded linear map  $T_\varepsilon: E \rightarrow X$  such that  $T_\varepsilon(z) = z$  for  $z \in E \cap X$ ,  $T_\varepsilon(E \cap Y^{\perp\perp}) \subset Y$  and  $\|T_\varepsilon\| \leq 1 + \frac{\varepsilon}{r}$ . Now take  $z = T_\varepsilon(x^{**})$ . Then  $z \in Y$  and  $\|z - x_i\| \leq r_i + \varepsilon$  for  $i = 1, 2$ . Hence  $Y$  has the 2-ball property in  $X$ .

The case  $n = 1\frac{1}{2}$  is the (ii)  $\Leftrightarrow$  (iv) of [24, Theorem 3]. □

**Corollary 4.3.** *Let  $Y$  be a semi  $M$ -ideal in a Banach space  $X$ . Then  $Y$  is a semi  $M$ -ideal in  $X^{**}$  if and only if  $Y$  is an  $M$ -ideal in  $Y^{**}$ .*

*Proof.* Suppose  $Y$  is a semi  $M$ -ideal in  $X^{**}$ . Then  $Y$  is a semi  $M$ -ideal in  $Y^{\perp\perp} = Y^{**}$  and hence, by [18, Corollary 3.4],  $Y$  is an  $M$ -ideal in  $Y^{**}$ .

Conversely, suppose that  $Y$  is an  $M$ -ideal in  $Y^{**}$ . Since  $Y$  is a semi  $M$ -ideal in  $X$ , by Lemma 4.2,  $Y^{\perp\perp}$  is a semi  $M$ -ideal in  $X^{**}$ . Then, by [21, Theorem 5],  $Y$  is a semi  $M$ -ideal in  $X^{**}$ . □

Our next theorem is a particular case of [21, Theorem 5] but our arguments are completely different and should be of interest.



**Theorem 4.4.** *Let  $Z$  and  $Y$  be subspaces of a Banach space  $X$  such that  $Z \subseteq Y \subseteq X$  and  $Y$  is an  $M$ -ideal in  $X$ . Let  $n = 1\frac{1}{2}, 2$ . If  $Z$  has the  $n$ -ball property in  $Y$ , then  $Z$  has the  $n$ -ball property in  $X$ .*

*Proof.* **Case 1:**  $n = 1\frac{1}{2}$ .

Since  $Z \subset Y \subset X$ ,  $Z^{\perp\perp} \subset Y^{\perp\perp} \subset X^{**}$ . Then, by Lemma 4.2,  $Z^{\perp\perp}$  has the  $1\frac{1}{2}$ -ball property in  $Y^{\perp\perp}$  and by Lemma 4.1,  $Z^{\perp\perp}$  has the  $1\frac{1}{2}$ -ball property in  $X^{**}$ . Then, by Lemma 4.2,  $Z$  has the  $1\frac{1}{2}$ -ball property in  $X$ .

**Case 2:**  $n = 2$ .

Since  $Z \subset Y \subset X$ ,  $Z^{\perp\perp} \subset Y^{\perp\perp} \subset X^{**}$ . Then, by Lemma 4.2,  $Z^{\perp\perp}$  is a semi  $M$ -ideal in  $Y^{\perp\perp}$  and by Lemma 4.1,  $Z^{\perp\perp}$  is a semi  $M$ -ideal in  $X^{**}$ . Then, by Lemma 4.2,  $Z$  is a semi  $M$ -ideal in  $X$ .  $\square$

**Remark 4.5.** We do not know the analogue of Theorem 4.4 in the context of the strong  $1\frac{1}{2}$ -ball property and the strong 2-ball property.

#### 4.2. $M$ -ideals and Ball Proximality

In [16], it is proved that a subspace has the strong  $1\frac{1}{2}$ -ball property if and only if it is ball proximal and has  $1\frac{1}{2}$ -ball property. In Corollary 2.5 of [16], it is incorrectly assumed that  $M$ -ideals have the strong  $1\frac{1}{2}$ -ball property, which is not the case, as shown by Example 13 of [24]. Hence Corollary 2.5 of [16] which states that the  $M$ -ideals are ball proximal is incorrect. However, it is well-known that  $M$ -ideals have the  $1\frac{1}{2}$ -ball property and therefore it follows from the results of [16] that an  $M$ -ideal is ball proximal if and only if it has the strong  $1\frac{1}{2}$ -ball property.

We now give a class of Banach spaces where  $M$ -ideals are ball proximal.

**Definition 4.6** ([19]). Let  $X$  be a Banach space and  $n \in \mathbb{N}$ . Then  $X$  has the  $n.2.I.P.$  if any pairwise intersecting family of  $n$  balls in  $X$  actually intersect.

It is well-known that a Banach space is an  $L_1$ -predual space if and only if it has the  $4.2.I.P.$  (see [19] for details).

It follows from [17, Proposition 6.5] that an  $M$ -ideal in an  $L_1$ -predual space has the strong 3-ball property. Our next result generalizes this to any Banach space having the  $3.2.I.P.$

**Theorem 4.7.** *If  $X$  has the  $3.2.I.P.$ , then every  $M$ -ideal in  $X$  satisfies the strong 3-ball property. In particular, an  $M$ -ideal in an  $L_1$ -predual space has the strong 3-ball property.*

*Proof.* Let  $Y$  be an  $M$ -ideal in  $X$  and let  $\{B[x_i, r_i]\}_{i=1}^3$  be a family of 3 closed balls satisfying  $B[x_i, r_i] \cap Y \neq \emptyset$  for  $1 \leq i \leq 3$  and  $\bigcap_{i=1}^3 B[x_i, r_i] \neq \emptyset$ .

Also, let  $\varepsilon > 0$ . Since  $Y$  is an  $M$ -ideal in  $X$ , there exists an element  $y_0 \in Y$  such that  $y_0 \in \bigcap_{i=1}^3 B[x_i, r_i + \varepsilon]$ . Now fix an  $i \in \{1, 2, 3\}$ . Then  $\{B[x_j, r_j] : 1 \leq j \leq 3, j \neq i\} \cup \{B[y_0, \varepsilon]\}$  is a pairwise intersecting family of 3 closed balls in  $X$ . Since  $X$  has the 3.2.I.P., the intersection of these three balls is non-empty. Since  $Y$  is an  $M$ -ideal in  $X$ , there exists an element  $y_i \in Y$  such that

$$\begin{aligned} \|y_i - x_j\| &\leq r_j + \frac{\varepsilon}{6} \text{ for } 1 \leq j \leq 3 \text{ and } j \neq i \text{ and} \\ \|y_i - y_0\| &\leq \varepsilon + \frac{\varepsilon}{6}. \end{aligned}$$

We now follow the technique used in [19, Lemma 4.2] for the rest of the proof.

Let  $y = \frac{1}{3} \sum_{i=1}^3 y_i$ . Then, for  $1 \leq j \leq 3$ , we get

$$\begin{aligned} \|y - y_0\| &\leq 2\varepsilon \text{ and} \\ \|y - x_j\| &\leq \frac{1}{3} \left( \sum_{\substack{1 \leq i \leq 3 \\ i \neq j}} \|y_i - x_j\| + \|y_j - x_j\| \right) \\ &\leq \frac{1}{3} \left( 2\left(r_j + \frac{\varepsilon}{6}\right) + \|y_j - y_0\| + \|y_0 - x_j\| \right) \\ &\leq r_j + \frac{5}{6}\varepsilon. \end{aligned}$$

Now let  $z_0 = y_0$  and  $z_1 = y$ . Suppose we have constructed  $z_1, \dots, z_m$  such that

$$\begin{aligned} \|z_k - z_{k-1}\| &\leq 2 \left(\frac{5}{6}\right)^{k-1} \varepsilon \text{ for } 1 \leq k \leq m \text{ and} \\ \|z_k - x_j\| &\leq r_j + \left(\frac{5}{6}\right)^k \varepsilon \text{ for } 1 \leq k \leq m \text{ and } 1 \leq j \leq 3. \end{aligned}$$

Now fix an  $i \in \{1, 2, 3\}$ . Then  $\{B[x_j, r_j] : 1 \leq j \leq 3, j \neq i\} \cup \{B[z_m, (\frac{5}{6})^m \varepsilon]\}$  is a pairwise intersecting family of 3 closed balls in  $X$ . Then, by arguing as

above, there exists a  $z_{m,i} \in Y$  such that

$$\begin{aligned} \|z_{m,i} - x_j\| &\leq r_j + \frac{1}{6} \left(\frac{5}{6}\right)^m \varepsilon \text{ for } 1 \leq j \leq 3 \text{ and } j \neq i \text{ and} \\ \|z_{m,i} - z_m\| &\leq \left(\frac{5}{6}\right)^m \varepsilon + \frac{1}{6} \left(\frac{5}{6}\right)^m \varepsilon. \end{aligned}$$

Now let  $z_m = \frac{1}{3} \sum_{i=1}^3 z_{m,i}$ . Then, for  $1 \leq j \leq 3$ , we get

$$\|z_{m+1} - z_m\| \leq 2 \left(\frac{5}{6}\right)^m \varepsilon \text{ and } \|z_{m+1} - x_j\| \leq r_j + \left(\frac{5}{6}\right)^{m+1} \varepsilon.$$

Thus, by induction, there exists a Cauchy sequence  $(z_m)$  in  $Y$  such that

$$\|z_m - x_j\| \leq r_j + \left(\frac{5}{6}\right)^m \varepsilon \text{ for } 1 \leq j \leq 3.$$

Now let  $z = \lim_{m \rightarrow \infty} z_m$ . Then  $z \in \bigcap_{j=1}^3 B[x_j, r_j] \cap Y$  and hence the theorem follows.  $\square$

Combining Theorem 4.7 and [16, Theorem 2.4], we get the following corollary.

**Corollary 4.8.** *If  $X$  has the 3.2.I.P., then every  $M$ -ideal in  $X$  is ball proximal. In particular,  $M$ -ideals in  $L_1$ -predual spaces are ball proximal.*

## 5. Some Examples

Our first example shows that the strong proximality assumption on a subspace is not sufficient to guarantee that any proximal subspace of it is also proximal in the bigger space.

**Example 5.1.** *There exist two subspaces  $Z$  and  $Y$  of finite co-dimension in  $C[0, 1]$  such that  $Z$  is proximal in  $Y$  and  $Y$  is strongly proximal in  $C[0, 1]$ , but  $Z$  is not proximal in  $C[0, 1]$ .*

*Proof.* Let  $k \in [0, 1] \setminus \{0, 1, \frac{1}{2}, \frac{1}{3}, \dots\}$ . Let  $\mu, \nu \in C[0, 1]^*$  be defined as  $\mu = \sum_{n=1}^{\infty} \frac{1}{2^n} \delta_{\frac{1}{n}}$  and  $\nu = \frac{1}{2}(\delta_0 - \delta_k)$ . Then  $\|\mu\| = \|\nu\| = 1$ . Now take  $Z = \ker(\mu) \cap \ker(\nu)$  and  $Y = \ker(\nu)$ . Since  $\text{supp}(\nu)$  is finite, by [5, Theorem 2.1],  $\ker(\nu)$  is strongly proximal in  $C[0, 1]$ . Since  $1 \in \ker(\nu)$  and  $\mu(1) = 1$ ,  $\mu|_{\ker(\nu)}$  is a norm-attaining functional on  $\ker(\nu)$ . Hence  $\ker(\mu) \cap \ker(\nu) = \ker(\mu|_{\ker(\nu)})$  is a proximal subspace of  $\ker(\nu)$ . Since  $\nu$  is not absolutely continuous with respect to  $\mu$  on  $\text{supp}(\mu)$ , by [9],  $\ker(\mu) \cap \ker(\nu)$  is not proximal in  $C[0, 1]$ .  $\square$

Our next example is a variant of Example 5.1. In fact, it shows that the notion of strong proximality need not pass through ideals.

**Example 5.2.** *There exist two subspaces  $Z$  and  $Y$  of finite co-dimension in  $C[0, 1]$  such that  $Z$  is strongly proximal in  $Y$  and  $Y$  is an ideal in  $C[0, 1]$ , but  $Z$  is not proximal in  $C[0, 1]$ .*

*Proof.* Let  $\mu, \nu$  and  $k$  be as in the proof of Example 5.1. Take  $Z = \ker(\mu) \cap \ker(\nu)$  and  $Y = \ker(\mu)$ . Choose a continuous function  $g: [0, 1] \rightarrow [-1, 1]$  such that  $g(\frac{1}{n}) = g(0) = 1$  for  $n \geq 2$  and  $g(1) = g(k) = -1$ . Then  $g \in \ker(\mu)$  and  $\nu(g) = 1$ . Since  $\nu|_{\ker(\mu)}$  attains its norm over  $\ker(\mu)$ ,  $\ker(\mu) \cap \ker(\nu) = \ker(\nu|_{\ker(\mu)})$  is proximal in  $\ker(\mu)$ . Let  $\lambda = -\sum_{n=2}^{\infty} \frac{1}{2^n} \delta_{\frac{1}{n}}$ . Then  $\ker(\mu) = \ker(\lambda - \delta_1)$  and  $\|\lambda\| \leq 1$  and hence, by [3],  $\ker(\mu)$  is an  $L_1$ -predual space. Then, by [23, Proposition 1],  $\ker(\mu)$  is an ideal in  $C[0, 1]$ . Since  $\nu$  is not absolutely continuous with respect to  $\mu$  on  $\text{supp}(\mu)$ , by [9],  $\ker(\mu) \cap \ker(\nu)$  is not proximal in  $C[0, 1]$ .  $\square$

Our next example shows that the semi  $M$ -ideals may not pass through  $L$ -summands.

**Example 5.3.** *There exist a Banach space  $X$  which is an  $L$ -summand in  $X^{**}$  and a semi  $M$ -ideal  $Y$  in  $X$  such that  $Y$  is not a semi  $M$ -ideal in  $X^{**}$ .*

*Proof.* Take  $X = \ell_1$ . Then  $X$  is an  $L$ -summand in its bidual. For the constant sequence  $1 \in \ell_{\infty}$ ,  $Y = \ker(1)$  is a semi  $M$ -ideal in  $\ell_1$  (see [12, Chapter I, Remark 2.3]). But  $\ker(1)$  is not a semi  $M$ -ideal in  $(\ell_{\infty})^*$ . For, if  $\ker(1)$  is a semi  $M$ -ideal in  $(\ell_{\infty})^*$ , then  $\ker(1)$  is a semi  $M$ -ideal in  $\ker(1)^{\perp\perp}$ . Then, by [18, Corollary 3.4],  $\ker(1)$  is an  $M$ -ideal in  $\ker(1)^{\perp\perp}$ . Since, by [12, Chapter III, Corollary 3.3.C and Theorem 3.4], a non-reflexive subspace which is an  $M$ -ideal in its bidual contains a subspace isomorphic to  $c_0$ ,  $\ker(1)$  is reflexive. But this is a contradiction as  $\ell_1$  cannot have an infinite dimensional reflexive space. Hence  $\ker(1)$  is not a semi  $M$ -ideal in  $(\ell_{\infty})^*$ .  $\square$

**Remark 5.4.** Since each Banach space is an ideal in its bidual, Example 5.3 also shows that semi  $M$ -ideals may not pass through ideals.

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