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## Full Length Article

## Plasmonic Au NPs embedded Ytterbium-doped  $TiO<sub>2</sub>$  nanocomposites photoanodes for efficient indoor photovoltaic devices



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## ABSTRACT

Herein, we present an all-inclusive investigation on the effect of plasmonic gold nanoparticles (Au NPs) embedded Yb-doped TiO<sub>2</sub> nanostructured photoanode for efficient photovoltaics devices. Optimally doped Yb (0.5 mol%)-TiO2 nanoparticles (NPs) were synthesised by solid-state grinding. Their structural, morphological, optical, and surface properties were evaluated using XRD, Raman spectroscopy, SEM-EDX, UPS, and XPS. Results show that Yb-doped TiO2 NPs form, reducing the rutile phase and shifting the fermi level. After demonstrating the enhanced properties of Yb-doped TiO<sub>2</sub> NPs, we introduced single anatase phase nanowire structures for comparison. Dielectric measurements show Yb-doped TiO<sub>2</sub> NW's higher conductivity. Plasmon-induced enhancement of light absorption is achieved by implanting Au NPs into Yb-doped TiO<sub>2</sub> NW for additional light harvesting. As a proof of concept, optimised Yb-doped TiO2 photoanode DSSC were fabricated and tested under the AM1.5, 1 sun illumination exhibiting photoconversion efficiency (PCE) of 6 %. Devices employing Ybdoped TiO<sub>2</sub> NW: Au NPs photoanode exhibited Jsc to 18.8 % mA-cm<sup>-2</sup> and PCE% to 8 %. Under white LED (1000 LUX) exhibited PCE of 13.9 % for Au/Yb-TiO2 NW photoanode. The electrochemical impedance spectroscopy (EIS) measurements on these devices established the Yb-doped TiO<sub>2</sub> NW: Au NPs photoanode based device exhibited the lowest charge transport resistance (R<sub>2</sub>:86 Ω) and charge recombination resistance (R<sub>3</sub>:20 Ω) which are consistent with the *J-V* characteristics suggesting the potential use of these photoanode for indoor photovoltaics (IPV) and in general any devices for allied energy and environmental areas of research.

## **1. Introduction**

The advent of smart Internet of Things (IoT) devices in daily life have created a tremendous requirement to find alternative sustainable solutions to power these devices  $[1-4]$  $[1-4]$ . There is a great thrust to develop photovoltaic devices that work well in diffused light conditions, and the research area of indoor photovoltaics has received tremendous impetus recently[5–[7\].](#page-14-0) Organic molecule-based photovoltaic devices, such as dye-sensitized solar cells $[8,9]$ , bulk heterojunction solar cells $[10,11]$ , and perovskite solar cell[s\[12,13\]](#page-14-0) have been tested for their utility under artificial indoor light. Rare-earth ( $\mathbb{RE}^{3+}$  ) guests and semiconductor hosts are gaining attention for developing photoanodes with good optical and electrical properties. Notably, (RE) doped-TiO<sub>2</sub> is widely employed in photovoltaic and photocatalytic application[s\[14](#page-14-0)–17]. Optimal semiconductor materials are essential for photoconversion energy devices to maximize charge transfer efficiency, electrolyte diffusion, dye adsorp-tion, and exciton generation<sup>[\[18,19\]](#page-14-0)</sup>. TiO<sub>2</sub> semiconductor was widely recognized as an effective mediator between dye molecules and electrodes [\[20,21\]](#page-14-0). However, the absorption capabilities were limited to the UV region because of the larger bandgap and defect states in the  $TiO<sub>2</sub>$ lattice, which inhibits the charge transport abilities. Therefore,  $TiO<sub>2</sub>$  has been modified with various dopants, including rare-earth metals, noble metal ions, transition metals, semiconductors, etc., to improve the optoelectronic properties of TiO<sub>2</sub>  $[22-27]$ . Among them, rare-earth metal dopants showed the greatest potential to tune the  $TiO<sub>2</sub>$  owing to their 4f-4f intra-band energy transitions, which led to improving the lightharvesting by upconversion/down conversion effects [\[28,29\]](#page-14-0). For example,  $Yb^{3+}$ -TiO<sub>2</sub> (guest–host) has demonstrated an exceptional photoconversion energy efficiency (PCE%[\)\[30,31\].](#page-14-0) With the doping effect of  $Yb^{3+}$ , modifies the defect-sites in the TiO<sub>2</sub> lattice by substituting the  $Ti^{4+}$  with Yb<sup>3+</sup>. Thus, increases the number of oxygen vacancies, which facilitates into favorable band structure of  $TiO<sub>2</sub>$ , thereby improving the charge transportation properties and low recombination rate at the photoanode-dye sensitizer interfac[e\[32\].](#page-14-0) Here in this, We

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used Yb (0.5 mol%)-TiO<sub>2</sub> to study the effect of  $Yb^{3+}$  on the intrinsic defects of  $TiO<sub>2</sub>$  lattice, with the intent of enhancing photovoltaic and photocatalytic capabilities[33–[35\]](#page-14-0).

 $Yb^{3+}$  is less beneficial for UV and visible light energy despite possessing the simplest energy structure. In these cases, integrating noble metals such as Au and Ag NPs into the Yb-TiO<sub>2</sub>/N719 dye photoanode is a promising technique for enhancing the PCE% of DSSC[\[36\]](#page-15-0). It is known that the Au NPs induce a unique interaction between light and electrons on the surface of the metal nanoparticle. The phenomenon known as Local Surface Plasmonic Resonance (LSPR) occurs due to the oscillation of the electron cloud around the Au NPs in reaction to the applied electric field. Plasmonic nanoparticles exhibit substantial light absorption and scattering effects due to forming electric fields around them [\[37,38\].](#page-15-0) Indeed, the N719 dye molecules absorb light strongly in the visible spectrum, although their absorption deteriorates with increasing wavelength. Consequently, incorporating Plasmonic Au NPs is a significant step toward enhancing the overall light absorption efficiency, as gold nanoparticles have an extensive optical absorption effect in the visible region and strong optical interaction with the dye molecules[\[39\]](#page-15-0). Therefore, by utilizing the inclusive Plasmonic NPs, the resultant absorption coefficient of dye molecules can be improved  $[40]$ . Numerous groups have established the advancement of Plasmonic-induced DSSC [41–[43\]](#page-15-0). In recent years, much research has been done on DSSCs containing multiple Plasmonic ions and several structural designs to improve photovoltaic performance[\[38,44](#page-15-0)–46]. In addition, the nanowire-structured  $TiO<sub>2</sub>$  can be replaced in DSSC as the nanowire can exhibit a greater surface-to-volume ratio, facilitating more dye adsorption, charge transport via the direct electron channel, and substantial light-harvesting via scattering effects  $[47-49]$  $[47-49]$ . The synthesized TiO<sub>2</sub> NW exhibits with single anatase phase, which is known to be providing the

higher photocatalytic activity[\[50\].](#page-15-0) Numerous groups have initiated the development of various nanostructured photoanodes for DSSC. Pawel et al. [\[51\]](#page-15-0) demonstrated the comparative enhancement in the PCE% of DSSC with the various nanostructures of  $TiO<sub>2</sub>$ , including nanoparticles, nanowires, and nanotubes of TiO<sub>2</sub>. Furthermore, Huili et al. [\[23\]](#page-14-0) noticed a 25 % increase in the PCE% of DSSC when a photoanode composed of noble metal (Au and Ag) was embedded in  $TiO<sub>2</sub>$  nanowires. M Kumari et al.  $[52]$  presented the Ag nanofibers embedded in TiO<sub>2</sub>-based DSSC with 45 % enhancement over pristine TiO<sub>2</sub>. Therefore, it is highlighted that the solar device efficiency can be improved by adding features such as Plasmonic materials and rare earth fluorescent doping[53–[57\].](#page-15-0) For example, Peng Zhao et al. [\[58\]](#page-15-0) showed a PCE% of 8.23 % for the Plasmonic DSSC employed with Au NPs decorated core cell structured NaYF4: Yb, Er@SiO<sub>2</sub> photoanode. Whereas the NIR light is effectively trapped and converted to visible radiation by the up-conversion of rareearth materials, this up-conversion is enhanced with the addition of Au NPs by superimposing the LSPR peak with the up-conversion emissions. Similarly, Parthian et al. [\[59\]](#page-15-0) report an increase in PCE% of 21.3 % of DSSC using NaGdF4: Yb, Er, Fe upconversion NPs and the Plasmonic silver NPs. In this study, we successfully used the synergistic effect of the LSPR effect of Au NPs and the rare-earth-florescent of  $Yb^{3+}$  to improve the performance of DSSCs. The summary of relevant literature has tabulated in Table S1. Hence, this study established a Plasmonic DSSC with a novel photoanode consisting of Au NPs embedded  $Yb^{3+}$ -doped TiO2 NWs as presented in Scheme 1. Multiple pathways of charge carrier generation and transport can be observed in the model, which explicitly improve the photovoltaic properties. Therefore, in this work, Au embedded Yb-doped TiO<sub>2</sub> nanowires (NW) structures photoanode based DSSC was constructed and achieved a PCE of 8 %, which is nearly 50 % higher than the pristine  $TiO<sub>2</sub>$  photoanode-based DSSC. in fact, no study



Scheme 1. Schematic representation of the plasmonic Au NPs induced DSSC with Yb-doped TiO<sub>2</sub> nanowire cluster structure photoanode under simulated Indoor (LED) light and Outdoor (AM1.5G) light conditions. (a) FESEM images of Yb-doped TiO<sub>2</sub> nanowire clusters; (b) schematic representation of Localised Surface Plasmonic Resonance (LSPR) effect; (c) spectral response of White LED light and solar light (Am 1.5G) spectra; (d) schematic representation of Plasmonic induced DSSC working.

has yet been published on the collaborative effort. As a result of DSSC's superior performance in low-light conditions, rather than direct sunlight [\[9,60,61\]](#page-14-0), this new DSSC design paid attention to the indoor light photovoltaic technology. The research and development has been progressing on the indoor light based photovoltaic technology[\[30\]](#page-14-0). Indeed, the IPV system has been successfully used for various solar cell types, including organic photovoltaic (OPV) solar cell[s\[62,63\]](#page-15-0), Perovskite solar cells [\[12\]](#page-14-0), and DSSC [\[7\].](#page-14-0) This work successfully performed the Plasmonic-induced DSSC under indoor light (white LED, 1000LUX). The Yb-doped TiO<sub>2</sub>, Au embedded TiO<sub>2</sub>, and nanowire clustered structured TiO2 composite photoanode-based DSSC presents a higher PCE than the pristine TiO2. In particular, the combined impact of Au NPs implanted Yb-doped TiO<sub>2</sub> NW-DSSC demonstrated a higher PCE (η) of 13.9 %, which is 66 % higher than undoped TiO<sub>2</sub> (η:8.6 %). Thus, the enhanced photovoltaic characteristics of under indoor (ambient) and outdoor (AM 1.5G) light conditions suggest a new path for designing a material combination for collaborative efforts to improve light harvesting. This discovery could pave the way for future research on low-light energy harvesting devices, as recycling indoor light energy could render energyefficient electronics self-sufficient.

#### **2. Experimental section**

## *2.1. Chemicals*

Titanium(IV) dioxide P25 (TiO<sub>2</sub>. 99.96 %), Ytterbium (III) oxide (Yb2O3⋅5H2O, 99.99 %), 4-*tERT*-BUTYLPYRIDINE (C9H13N, 98 %), Lithium iodide (LiI, 99.9 %), Iodine (I2, 99.99 %), 1.2-Dimethylimidazolium iodide (C<sub>5</sub>H<sub>9</sub>IN<sub>2</sub>, 98 %), and Au NPs colloidal solution (20 nm, 100) %) were purchased from Sigma Aldrich (product no. 741965), N719 (Ruthenium complex, 95 %) dye molecules purchased from Great cell suppliers. Fluorine-doped Tin Oxide (8 Ω/square, LumTec, Taiwan) and Platinum (Pt) coated substrates were purchased from Solronix, Switzerland. Valeronitrile(CH<sub>3</sub>(CH<sub>2</sub>)<sub>3</sub>CN, 99 %), Acetonitrile (CH<sub>3</sub>CN, 99 %), acetylacetone (C<sub>5</sub>H<sub>8</sub>O<sub>2</sub>, 99 %), DI water (18.2 M $\Omega$  cm<sup>-1</sup>), Acetone ( $C_3H_6O$ , 99%), Isopropanol (IPA, 99%), and sodium hydroxide (NaOH, 98 %) pellets were purchased from the SRL supplier.

## *2.2. Yb-doped TiO2 NPs synthesis*

 $\mathrm{Yb_xTi_{1-x}O_2}$  synthesis and the photoanode preparation for DSSC were carried out as presented in the literature  $[64]$ . The optimal stoichiometry of  $Yb_{0.005}Ti_{0.995}O_2$  was prepared through solid-state grinding for eight hours by mortar and pistol. The composition is then transferred to the furnace and annealed for two hours at 500 °C to reduce the composition's stress and hydrocarbon- ions contents. The final product is used for photoanode fabrication.

## *2.3. Yb- TiO2 nanowire clusters via solvothermal method*

Nanowire clusters were synthesized using the Solvothermal route reported in the literature [\[60\]](#page-15-0) with few parameter changes. For Ybdoped TiO<sub>2</sub> NWS, solid-state hand-grinded Yb-doped TiO<sub>2</sub> is directly used as a precursor. In contrast, 4 gm of Yb-doped TiO<sub>2</sub> nanoparticles were added to a NaOH solution (10 M NaOH dissolved in 60 ml of DI water) at room temperature while stirring at 500 rpm. The reaction is arrested for more than one hour for homogenous mixing, and then the outcome precipitation is shifted into a 100-ml Teflon-lined autoclave. This autoclave was transferred to an oven and heated to 150 ◦C for a 24 hr in situ transformation into nanowires. The resultant Yb-doped  $TiO<sub>2</sub>$ nanowire clusters were taken out from the autoclave by fleshing the water and then washed with HCL (0.1 mol/L dispersing into 300 ml of DI water) for three hours. After that, the suspension is centrifuged to get a solid sample, and then it washes with DI water repeatedly till the pH value stabilizes to 7. The final composition is dried at 70 ◦C for 24 hr and then annealed at 500 ℃ for 30 min to remove the strain attained during

the synthesis process.

#### *2.4. Characterization technique*

X-ray diffraction spectroscopy (X'PertPRO with Cu K radiation of (=0.15406 nm)) was used to determine the structural characteristics. Additionally, lattice parameters and the cell size were estimated using the Rietveld refinement with FullPof software. Williamson-Hall (W-H) plots were used to estimate the grain size and strain values. Raman spectroscopy (Bruker Senterra dispersive Raman microscope spectrometer with 532 nm) was used to evaluate the molecular structure of the synthesized compositions. Surface analyses of the elemental composition were determined from XPS (Axis Model, Schimadzu) and FESEM-EDX (JEM 2100). Crystallite size and distribution were analyzed using TEM (JEOL F 200 COLD FEG-TEM), and further averaging the crystallite size by ImageJ software. The dielectric measurements were performed using the Wayne Kerr 6500B impedance analyzer over a frequency range of 1 Hz to 1 MHz on the pellet form compounds (pellet preparation  $S_3$ ). UV–Visible spectroscopy (UV3092, LAB INDIA) was used to determine the optical absorption properties and calculate the bandgap values using Tauc-plot. UPS (Axis Model, Schimadzu) analysis determines the molecular orbital energy in the valance band. PL (FLS 1000, Edinburgh Instruments), and the Time-resolved Photoluminescence (TrPL) were performed using the Time correlated single photon counting (TCSPC) technique. Using a class AAA science tech solar simulator, the current density (*J*) versus potential (*V*) under (AM 1.5G, 1000  $W/m<sup>2</sup>$ ) was measured for the constructed DSSC, and a white LED (1000 LUX) light source was used for the IPV technology.

#### *2.5. DSSC construction*

FTO substrates were cleaned by sonicating with a series of solvents such as soap solution, DI water, acetone, Isopropanol for 15 min each. The cleaned substrates were masked with Scotch tape before film fabrication. The synthesized Yb-doped TiO<sub>2</sub> nanoparticles are converted into a paste by mixing with Triton-X and an acetylacetone-DI water binder (85 % − 15 %). The mixture was ground for 30 min using a mortar and pestle for a homogeneous paste. The doctor-blade technique was used to fabricate thin films, which were then subjected to a 15-min heat treatment at 70 ◦C and annealed at 500 ◦C for 30 min. Similarly, a second layer is deposited on top of it and then heated to 70 ℃ for 30 min, followed by annealing at 500 ◦C for 30 min. The films were further treated with TiCl<sub>4</sub> for 30 min at 70  $°C$  to attain nonporous film, annealing at 500 ◦C for 30 min. Furthermore, 0.5 % M of gold nanoparticles were added to the  $Yb-TiO<sub>2</sub>$  paste during the pasting phase to evaluate the LSPR effect of gold nanoparticles on  $Yb-TiO<sub>2</sub>$  films. The films were then immersed in N719 dye for 12 hr, and the unadsorbed dye molecules were removed with acetonitrile devoid of water. The fabricated thin films served as photoanodes for the DSSC. TiO<sub>2</sub> deposited FTO substrate (working electrode) and platinum (counter electrode) are stacked using a surlyn polymer for DSSC construction. The electrolyte is composed of DMPII (1 M), I2 (0.03 M), TBP(0.5 M), and LiI(0.05 M) dissolved in acetonitrile, which was injected into a device through a hole created on the back side of the counter electrode and sealed with thin glass sheet via heat treatment after electrolyte injection.

#### **3. Results and discussion**

## *3.1. Structural, optical and morphological properties of TiO2 and Yb-TiO2 nanoparticles*

## *3.1.1. XRD*

The phase purity and structural features of  $TiO<sub>2</sub>$  and Yb-doped  $TiO<sub>2</sub>$ were investigated using X-ray diffraction. The diffracted peaks for the TiO2 are identified to be {101}, {103}, {004}, {112}, {200}, {105}, {211}, {204}, {116}, {220}, {215} at the position of 2θ: 25.33◦,

<span id="page-3-0"></span>37.1◦, 37.99 ◦, 38.63 ◦, 48.25 ◦, 54.16 ◦, 55.30 ◦, 62.86 ◦, 69.09 ◦, 70.49 ◦, and 75.33 ◦, respectively, indicating the anatase phase. Few low intense peaks are identified at 2θ: 27.57 ◦, 36.06 ◦, 41.36 ◦, 44.17 ◦, 56.79 ◦, and 62.88 ◦ that can be attributed to the lattice planes of {110}, {101}, {111}, {210}, {220}, and {002} respectively, which belongs to the rutile phase. Thus, the resultant XRD pattern of (P 25) reveals a dual phase of anatase and rutile phase, as depicted in Fig. 1(a). The resultant anatase and rutile phase were well matched with the standard data file (JCPDS 21-1272) and (JCPDS 21-1276), respectively. No additional peaks related to impurities or the second phase of Yb were detected in Yb-doped TiO<sub>2</sub>. Significant phase matching with the XRD pattern of TiO<sub>2</sub> suggests that the Yb<sup>3+</sup> dopant is effectively incorporated into the TiO<sub>2</sub> lattice<sup>[65]</sup>. Indeed, there is a negligible phase shift in the XRD pattern due to Yb-doping, as seen in Fig. 1(b), which may indicate the lattice deformation of TiO<sub>2</sub> caused by the substitution of  $Ti^{4+}$  with  $Yb^{3+}$ . Furthermore, Rietveld refinement is accompanied on the XRD of Yb-doped TiO<sub>2</sub> to evidence the site replacement of  $Ti^{4+}$ -ion with the  $Yb^{3+}$ -ion. Fig. 1(c-d) demonstrates that the calculated XRD profile and observed data for undoped  $TiO<sub>2</sub>$  and Yb-doped  $TiO<sub>2</sub>$  were in good agreement. The calculated structural parameters are tabulated in Table 1. These structural modifications may lead to a reduction in strain and lattice constant  $[66]$ . Under Yb-doping into TiO<sub>2</sub>, observed the reduction in the lattice parameter of "a" and "c", where the reduced "c" parameter indicates the compression strain existence in the  $TiO<sub>2</sub>$  lattice [\[67\]](#page-15-0). The volume of the lattice cell is reduced to 135.88  $(A^{\circ})^3$  from 136.05  $(A^{\circ})^3$  for Yb-doped TiO<sub>2</sub>. The reduced lattice cell of Yb-TiO<sub>2</sub> would signify the site substation of  $Ti^{4+}$  with the higher ionic radius of  $Yb^{3+}$ . When Yb-ions are incorporated into TiO<sub>2</sub>, the production of Yb-Ti-O and Yb-O bonds is possible. This formation of new bonds could

**Table 1** 

XRD profile structural parameters obtained from the Rietveld refinement on the XRD pattern of  $TiO<sub>2</sub>$  and Yb-doped TiO<sub>2</sub>. "lattice parameters (a,b,c), and unit cell volume (V)".

| TiO <sub>2</sub>            | $Yb$ -doped TiO <sub>2</sub> |
|-----------------------------|------------------------------|
| Anatase phase               | Anatase phase                |
| $a = b = 3.783 A^{\circ}$   | $a = b = 3.782 A^{\circ}$    |
| $c = 9.507 A^{\circ}$       | $c = 9.500 A^{\circ}$        |
| $V = 136.05 (A^{\circ})^3$  | $V = 135.88(A^{\circ})^3$    |
| Rutile phase                | Rutile phase                 |
| $a = b = 4.592 A^{\circ}$   | $a = b = 4.589 A^{\circ}$    |
| $c = 2.958 A^{\circ}$       | $c = 2.954 A^{\circ}$        |
| $V = 62.37(A^{\circ})^3$    | $V = 60.20(A^{\circ})^3$     |
| Anatase (wt.%) = $92.97%$ + | Anatase (wt.%) = $97.25%$ +  |
| Rutile (wt.%) = $7.03%$     | Rutile (wt.%) $= 2.75$ %     |
| $R_p = 7.17$                | $R_n = 12.5$                 |
| $R_{wn} = 9.92$             | $R_{wn} = 14.1$              |
| $v^2 = 3.6$                 | $y^2 = 2.85$                 |
| Grain size $=$ 39.9 nm      | Grain size $=$ 33.8 nm       |
| $Strain = 0.0034$           | $Strain = 0.0020$            |

stabilize the Ti-O bond, whereas the breakage of the Ti-O bond is required for grain growth/suppression. Hence, the phase transition and crystal growth are impeded  $\overline{68}$ . Furthermore, when Yb<sup>3+</sup> is substituted for  $\rm Ti^{4+},$  there will be a lattice distortion of  $\rm TiO_2$  since  $\rm Yb^{3+}$  has a larger ionic radius (1.0 Å) than  $Ti^{4+}$  (0.6 Å), and this may have contributed to the formation of interstitial titania, all these effects could lead to supress the phase transition from anatase to rutile $[69]$ . This suppression of phase transition under Yb-doping suggests grain inhibition since it is well known that the grain size of anatase phase  $TiO<sub>2</sub>$  is less than that of rutile phase TiO<sub>2</sub>. Rietveld refinement analysis demonstrates the rutile



**Fig. 1.** (a) XRD comparison between Yb<sup>3+</sup> doped TiO<sub>2</sub> and undoped TiO<sub>2</sub>, (b) XRD peak (A101) shift representation, (c-d) Rietveld refinement quantitative analysis of XRD pattern of pristine  $TiO<sub>2</sub>$  and Yb-doped TiO<sub>2</sub>.

phase decrease in the mixed-phase of  $TiO<sub>2</sub>$  under Yb-doping impact.

Williamson-Hall (W-H) plots distinguish the grain size and microstrain for  $TiO<sub>2</sub>$  and Yb-doped  $TiO<sub>2</sub>$  under the following equation of  $\beta$ *cos* $\theta$  = *Cesin* $\theta$  +(*K* $\lambda$ */D*) where *ε* is indicating the strain and *D* is the grain size (crystallites), the corresponding W-H plots presented in supporting information  $S_1$ . Yb-doped TiO<sub>2</sub> has smaller crystallites and less strain than  $TiO<sub>2</sub>$ , as shown in [Table1.](#page-3-0) In fact, small crystallites have an excellent surface-to-volume ratio, resulting in increased dye adsorption, which is essential for optoelectronic devices.

#### *3.1.2. Raman spectroscopy*

Raman spectroscopy was used to study the vibrational modes of Ybdoped TiO<sub>2</sub> and pristine TiO<sub>2</sub>, as shown in Fig. 2(a). The Raman spectra of TiO<sub>2</sub> display Raman peaks at 142, 392, 515, and 635  $\text{cm}^{-1}$ , which corresponds to the vibrational modes of symmetric stretching  $(E_g)$ , and symmetric bending  $(B_{1g})$ , and anti-symmetric bending  $(A_{1g})$ , respectively. The Raman spectra of Yb-doped TiO<sub>2</sub> were observed to be similar to undoped TiO2, indicating that the addition of doping elements does not influence the lattice structure of  $TiO<sub>2</sub>[34]$  $TiO<sub>2</sub>[34]$ . On the other hand, a slight phase shift was observed in the Yb-doped  $TiO<sub>2</sub>$  towards a longer wavelength, as shown in Fig. 2(b). there is quenching observed in the Raman peak intensity as a result of Yb doping, which is ascribed to the distorting of the Ti<sup>4+</sup> centre and the formation of Ti<sup>3+</sup> defects[\[70\]](#page-15-0). Thus, these results suggest that the Yb is effectively incorporated into the  $TiO<sub>2</sub>[71]$ . Indicating low crystallinity, which is consistent with the XRD analysis.

#### *3.1.3. X-ray photoelectron spectroscopy (XPS)*

The chemical analysis of the surface of  $Yb$ -doped  $TiO<sub>2</sub>$  and undoped TiO<sub>2</sub> samples was investigated using XPS. [Fig. 3](#page-5-0)(a) depicts the XPS widescan spectrum, which distinguishes the available Ti (2P), O (1s), and C (1s) elements in TiO<sub>2</sub>. In comparison, the Yb-doped TiO<sub>2</sub> displays a survey spectrum similar to the reported TiO<sub>2</sub>. The Yb<sup>3+</sup> elements enabled to detect over182.1 eV region in the wide-scan spectrum indicate that Yb's formation on the surface of TiO<sub>2</sub> is significantly low. Fig. 3 (b) depicts the high-resolution XPS spectrum of Ti 2P, representing spin–orbit doublets of Ti  $2P_{1/2}$  at 464.5 eV and Ti  $2P_{3/2}$  at 458.85 eV, which correspond to the  $Ti^{4+}$  oxidation state of TiO<sub>2</sub>. Likewise, Ybdoped TiO<sub>2</sub> exhibits similar Ti 2P spectra to those of undoped TiO<sub>2</sub> with a slight peak shift towards lower binding energy. The shifted spin–orbit doublets were identified at 457.5 eV (Ti2P<sub>1/2</sub>) and 463.5 eV (Ti2P<sub>3/2</sub>), which may attribute to the Ti<sup>3+</sup> oxidation state of TiO<sub>2</sub> [\[70\]](#page-15-0).

As depicted in Fig.  $3(c)$ , the O 1s of both undoped TiO<sub>2</sub> and Yb-doped  $TiO<sub>2</sub>$  exhibit an asymmetric and widening spectrum. The O 1s orbital spectrum represents a strong peak at 530.05 eV, which corresponds to the Ti-O bond, and the other peak is identified at 531.8 eV, representing

the hydroxyl oxygen groups. The peaks were highlighted with the Gaussian peak fitting. In contrast, Yb-doped TiO<sub>2</sub> exhibited a phase shift in the O 1s orbital spectrum towards lower binding energy. The study indicates that the binding energy of Ti 2P orbital and O 1s orbital are reduced for Yb-doped TiO<sub>2</sub>, indicating the formation of new chemical bonds between the Ti and Yb elements[\[72\]](#page-15-0).

#### *3.1.4. UV*–*Visible absorption spectroscopy*

UV–vis absorption spectra were carried out to evaluate the optical absorption properties of undoped and Yb-doped TiO<sub>2</sub> compositions in Diffused Reflection Spectroscopy (DRS) mode. As illustrated in [Fig. 4](#page-5-0)(a, inset), the optical edge of Yb-doped TiO<sub>2</sub> is redshifted, suggesting the extended absorption ability. Tauc plots with Kubelka Munk (K-M) function were employed to determine the band gap of the samples, as shown in [Fig. 4](#page-5-0)(b). It was observed that the bandgap of Yb-doped TiO<sub>2</sub> is narrower than that of undoped  $TiO<sub>2</sub>$ , probably due to trap-site alteration in  $TiO<sub>2</sub>$  by incorporating the Yb-intra-band states. Moreover, oxygen vacancies and  $Ti^{3+}$ - oxidation states may develop when Yb<sup>3+</sup> replaces  $Ti<sup>4+</sup>$ . Creating these additional states below the conduction band would cause the conduction band's edge to slip down. This engineered  $TiO<sub>2</sub>$ with a narrower bandgap improves absorption and charge injection via enhancing Ohmic contact. Formation of these additional states could improve photo-excited electron charge hopping. Thus, the charge recombination is expected to be low. UPS analysis was used to consolidate the Yb-doped TiO<sub>2</sub> band structure results.

#### *3.1.5. Ultraviolet photoelectron spectroscopy (UPS)*

UPS is carried out to investigate the influence of Yb dopant on the changes in the band structure of Yb-TiO<sub>2</sub>. Fig.  $5(a)$  illustrates the UPS of TiO<sub>2</sub> and Yb-doped TiO<sub>2</sub>. Work function ( $\phi$ ) can be determined by deducing the secondary electron cut-off (*Ecut*<sup>−</sup> *off*) from the excitation energy (h $\theta$ ) of He I (21.22 eV). Accordingly, the  $\phi$  can be expressed as  $\phi = h\theta - (E_{cut-off})$  [\[73\].](#page-15-0) Whereas,  $E_{cut-off}$  is obtained by extrapolating the higher binding energy edge intersection with UPS background as presented in Fig.  $5(b)$ . The work function of Yb-TiO<sub>2</sub> is calculated to be 4.11 eV, which is 0.2 eV larger than  $TiO<sub>2</sub> (3.91 eV)$ , as shown in [Table 2](#page-6-0). This improved work function may be attributable to the substitutional replacement of  $Ti^{4+}$  with Yb<sup>3+</sup>. Over the doping effect of Yb, there is a chance of forming the defect sites below the conduction band minima (CBM), which may belong to the impurity or second phase elements of  $Yb^{3+}$  energy states, thereby, fermi level shift occurred towards the valance band. Furthermore, ionization  $(E_I)$  energy and electron affinity  $(E_a)$  were estimated by following the equation of  $E_I = \phi + E_{VBM}$ , and  $E_a =$  $E_I - E_g$ , whereas the valence band maxima (E<sub>VBM</sub>)was obtained by extrapolating the low binding energy edge intersecting with the X-axis



**Fig. 2.** (a) Raman spectra of Yb-doped TiO<sub>2</sub>, and undoped TiO<sub>2</sub>, (b) Raman peak (142 cm<sup>-1</sup>) shift identification.

<span id="page-5-0"></span>

**Fig. 3.** XPS surface analysis. a) Wide-scan spectrum, (b-c) high-resolution XPS spectrum of Ti 2P and O 1s.



**Fig. 4.** (a) UV–visible absorption spectra in DRS mode (inset: absorption edge & Yb- related absorption peak at 980 nm) (b) Kubelka Munk plot for bandgap calculations of undoped  $TiO<sub>2</sub>$  and Yb-doped  $TiO<sub>2</sub>$ .

as depicted in [Fig. 5\(](#page-6-0)c)  $[74]$  and  $E_g$  representing the bandgap of the sample as estimated by Tauc plots. [Fig. 5\(](#page-6-0)d) depicts the band-diagram for pristine  $TiO<sub>2</sub>$  and Yb-doped  $TiO<sub>2</sub>$  using all the calculated energy states. The band diagram reveals that the energy difference between the CBM and  $E_f$  is enlarged in the Yb-doped TiO<sub>2</sub>, and the difference (CBM- $E_f$ ) is about 0.42 eV. This results can be relating to the formation of shallow/deep trap-states at below the conduction band. These trapstates are fundamentally crucial for charge transport and recombination effects. If the trap-site distribution range is near the CBM, the trap states are considered shallow traps. These trap states facilitate charge transport by enhancing the charge hopping mechanism[\[75\].](#page-15-0) Therefore, Yb-doped TiO<sub>2</sub> serves as an effective Electron Transport Layer (ETL) for the DSSC due to its enhanced charge transport capabilities. Indeed, the downshifted VBM implemented a built-in potential that enhanced charge migration at the interface. Then, Yb-doped TiO<sub>2</sub> is expected to have better charge transport and injection capabilities. The PL investigations add to evidence of improved charge transport and injection capabilities.

#### *3.1.6. SEM and TEM images*

Scanning Electron Microscopy (SEM) is performed on pristine TiO2 and Yb-doped  $TiO<sub>2</sub>$  powder samples to investigate the morphological properties. TiO<sub>2</sub> exhibits a variety of particle sizes and shapes, as seen in [Fig. 6](#page-7-0)(a-b). Spherical-shaped particles range in size from 10 nm to 60 nm, while nanorod-shaped particles range in length from 50 nm to 150 nm. Histogram plots display the particle size distribution, and the average particle size was 41 nm. The SEM image of Yb-doped  $TiO<sub>2</sub>$ shows smaller particles with an average size of 33.5 nm. It is usually

believed that bigger particles impose a high degree of crystallinity. Thus, Yb-doped TiO<sub>2</sub> has low crystallinity, and these values were consistent with the XRD plots. Furthermore, Transmission Electron Microscopy (TEM) was performed for better insight into the morphological properties, as shown in Fig.  $6(c-d)$ . As seen in the SEM images, TiO<sub>2</sub> crystallites came in various sizes and shapes. Crystallites range from 10 nm to 100 nm, averaging 37.4 nm. For Yb-doped TiO<sub>2</sub>, the average crystallite size is 33.4 nm, and most of the crystallites were monitored in the range of 20 to 30 nm. Crystallite sizes calculated from TEM images agreed with those observed by XRD. In addition, they are measuring the selective area electron diffraction (SAED) pattern, as shown in [Fig. 6\(](#page-7-0)e-f). The displayed diffracted fringes of the Yb-doped TiO<sub>2</sub> and undoped TiO<sub>2</sub> samples illustrate the existence of poly-crystallinity. The phase purity and the structural existence can be distinguished using the diffracted fringes obtained from the SAED pattern. TiO<sub>2</sub> is identified with the lattice plans of{101}, {112}, {200}, and {211} based on the d-spacing values that have been determined from SAED pattern. The existing lattice plans indicate the presence of the anatase phase in the sample, and the additional {002} referring the rutile phase. Thus, the lattice fringes suggest that the  $TiO<sub>2</sub>$  contains anatase and rutile phases. The intensity of spots from Bragg reflection is reduced in the SAED pattern of Yb-doped TiO2, suggesting the low crystallinity due to the doping effect. In summary, the obtained diffracted rings of  $TiO<sub>2</sub>$  and Yb-doped  $TiO<sub>2</sub>$  are wellmatched with the XRD pattern.

## *3.2. Yb-doped TiO2 nanowires*

[Fig. 7\(](#page-8-0)a-b) presents the SEM images of Yb-doped TiO<sub>2</sub> NW at

<span id="page-6-0"></span>

**Fig. 5.** (a) Ultraviolet photoelectron spectroscopy (UPS) analysis, (b) magnified view of secondary electron cut-off region (SECO), (c) valance band edge of undoped  $TiO<sub>2</sub>$ , and Yb-doped  $TiO<sub>2</sub>$ , and (d) band-diagram for pristine  $TiO<sub>2</sub>$  and Yb-doped  $TiO<sub>2</sub>$ .

**Table 2**  Calculated energy values of pristine TiO<sub>2</sub> and Yb-doped TiO<sub>2</sub> from UPS analysis.

| sample              | $E_{cut-off}$ | $E_{VBM}$ | Ф    | $E_I$   | $E_a$ | E,   | $E_f - CBM$ |
|---------------------|---------------|-----------|------|---------|-------|------|-------------|
| TiO <sub>2</sub>    | 17.31         | 3.08      | 3.91 | 44 nm   | 3.84  | 3.15 | 0.07        |
| Yb-TiO <sub>2</sub> | 17.11         | 2.66      | 4.11 | $41$ nm | 3.69  | 3.08 | 0.42        |

different magnifications. Here, a straightforward solvothermal approach converted the solid-state synthesized Yb-doped TiO<sub>2</sub> NPs into nanowires. [Fig. 7\(](#page-8-0)a) represents the SEM image, which resembles nano flowers or flakes. However, clusters of nanorods and nanowires can be observed in [Fig. 7\(](#page-8-0)b) when the image is zoomed in even more. The following equation depicts the formation of  $TiO<sub>2</sub>$  NWs during solvothermal treatment[.\[76\].](#page-15-0)

$$
3TiO_2 + 2NaOH = Na_2Ti_3O_7 + H_2O
$$

$$
Na2Ti3O7 + 2HCl = H2Ti3O7 + 2NaCl
$$

After annealing the  $H_2Ti_3O_7$  at 500 °C, all the hydrogen and additional oxygen ions are exempted, and then the  $TiO<sub>2</sub>$  anatase phase can be observed, as illustrated below.

$$
H_2Ti_3O_7=3TiO_2+H_2O.
$$

The structural properties of undoped  $TiO<sub>2</sub>$  NWs and Yb-doped  $TiO<sub>2</sub>$ 

NWs were evaluated using the X-ray diffraction pattern shown in [Fig. 7](#page-8-0)  (c). The XRD pattern of undoped  $TiO<sub>2</sub>$  NW exhibited a single anatase phase, which was well matched with the standard data file (JCPDS 21- 1272). The XRD pattern of Yb-doped TiO<sub>2</sub> was identical to that of undoped  $TiO<sub>2</sub>$ , showing that the solvothermal technique successfully introduced Yb into the TiO<sub>2</sub> lattice. In contrast to the TiO<sub>2</sub> NPs, the rutile phase has completely nucleated in the  $TiO<sub>2</sub> NW$  (supporting information  $S<sub>2</sub>$ ). These findings could be ascribed to the few atoms in the anatase lattice plane, which may produce nucleation sites for the rutile phase. [\[77\]](#page-15-0). In addition, the acid treatment that takes place during the solvothermal synthesis has the potential to nucleate the rutile phase of TiO2 NW[\[78\].](#page-15-0) Furthermore, the elemental compositions of the materials were determined using the EDX spectrum, which is shown in [Fig. 7\(](#page-8-0)e-f). The EDX spectrum of  $TiO<sub>2</sub>$  reveals the elemental compositions of Ti and O, with no other impurity-related peaks found. Yb-doped TiO<sub>2</sub> yields a strong Ti peak and O peaks with few  $Yb^{3+}$ -peaks. According to the quantitate analysis, Yb(III) has a stoichiometric doping percentage of (0.5 % mol), demonstrating the presence of Yb-peaks under ideal doping conditions.

#### *3.3. Dielectric properties*

The dielectric measurements were carried out for the undoped  $TiO<sub>2</sub>$ ,  $Yb$ -doped TiO<sub>2</sub> nanoparticles, and Yb-doped TiO<sub>2</sub> nanowire compositions over a frequency range from (1000 Hz to 10 MHz) at ambient

<span id="page-7-0"></span>

Fig. 6. (a-b) SEM images, (c-d) TEM images of pristine TiO<sub>2</sub> and Yb (0.5 M)-TiO<sub>2</sub> compounds (inset: histogram of the particle's diameter distribution), and (e-d) selective area electron diffraction (SAED) patterns of  $TiO<sub>2</sub>$  and Yb-doped TiO<sub>2</sub>.

<span id="page-8-0"></span>

Fig. 7. (a-b) FESEM images of Yb-doped TiO<sub>2</sub> nanowire clusters (NWs) at different magnifications, Fig.(c) XRD pattern for pristine TiO<sub>2</sub> NWs and Yb-doped TiO<sub>2</sub> NWs synthesized via solvothermal route, and (e-f) Elemental compositions of TiO<sub>2</sub>, and Yb-doped TiO<sub>2</sub> NW.

conditions. The polarization of the dielectric material can be determined by the dielectric constant ( $\varepsilon$ '), estimated using the relation of  $\varepsilon$ ' = Cd/  $\varepsilon_0$ A. Where  $\varepsilon_0$  indicates the permittivity of free space, C denotes the capacitance, d represents the thickness, and A denotes the pellet area. [Fig. 8\(](#page-9-0)a) displays the variation in the dielectric constant( $\varepsilon$ ) under a frequency range from 1000 Hz to 10 MHz. It has a higher dielectric constant at lower frequencies and gradually decreases as the frequency increases. The dielectric behavior of the material is determined based on the Maxwell–Wagner interfacial type of polarization. According to this model, the decrease in dielectric constant as frequency increases is attributed to the formation of insulating grain boundaries around the grains. This phenomenon indicates that polarizability's effect is decreasing within the grain. Consequently, the dielectric properties diminish as frequency increases. As a result, it is clear that grain boundaries actively influence the (ε '), notably at low-frequency ranges. This higher  $\varepsilon'$  at lower frequency ranges can be attributed to space charge polarisation. On the other hand, grains can actively influence the ε' at midrange frequency, which is attributable to the dipole polarization relaxation. Since the grain size of the Yb-doped  $TiO<sub>2</sub>$  is smaller than undoped  $TiO<sub>2</sub>$  (evaluated from XRD), which introduces more grain

<span id="page-9-0"></span>

Fig. 8. (a) dielectric permittivity, (b) dielectric tangent loss, (c) electric conductivity, and (d) Nyquist plot of undoped TiO<sub>2</sub>, Yb-doped TiO<sub>2</sub>, and Yb-doped TiO<sub>2</sub> NW compositions under a frequency range of (1000 Hz to 10 MHz) at room temperature.

boundaries in the samples, thereby exhibiting the higher dielectric constant at a lower frequency regime. This study showed a sixfold enhancement in the dielectric constant for  $Yb$ -doped  $TiO<sub>2</sub>$  than pristine TiO2 NPs at 1000 Hz. This enhanced dielectric constant is also attributed to the grain boundary defects and oxygen vacancie[s\[79\].](#page-15-0) As mentioned earlier, oxygen vacancies are expected to form when  $Ti^{4+}$  replaces the  $Yb^{3+}$  oxidation state in Yb-doped TiO<sub>2</sub>. Indeed, the dielectric constant can be attributable to the ability of electron hopping in the material[\[80\]](#page-15-0). The greater the number of electrons, the longer the hopping distance. Therefore, as a result of the oxygen vacancies present in Yb-doped TiO<sub>2</sub>, the dielectric constant is substantially enhanced. Among all the samples, Yb-doped TiO2 NW exhibits dielectric decay throughout the frequency range from 1KHz to 1 MHz, indicating the high polarise sensitivity.

Furthermore, the dielectric tangent loss was estimated using the equation of  $ε' = ε'$  tan $θ$ . As seen in Fig. 8(b), the dielectric loss (tan $θ$ ) exhibits a similar trend as ε' has demonstrated. Yb-doping enhanced dielectric loss at  $10^4$ , which can be attributed to the enhancement in the electric conductivity as the pace of charge conduction is responsible for the dielectric loss. Additionally, charge carrier mobility was evaluated using conductivity (σ) measurements, which account for electron transport abilities between grains and grain boundaries. Fig. 8(c) depicts the variations in the electric conductivity under the applied frequency. As frequency increases, conductivity increases, showing that the charges were mobilized in the presence of an electric field. Yb-doped  $TiO<sub>2</sub> NW$ exhibited a threefold increase in conductivity when compared to pristine TiO<sub>2</sub>. Furthermore, the Nyquist plot  $(Z''$  vs  $Z'$ ), was shown with the relevant frequency at ambient temperature. Fig. 8(d) illustrates the Nyquist plot with two semicircular arcs. The smaller semicircular arc at low frequencies is associated with grain boundary resistance effects, whereas the large semicircular arc at high frequencies is associated with

grain resistance. The smallest semicircular arcs were observed for Ybdoped TiO2 NW, indicating increased charge conductivity at the grain boundaries.

In conclusion, the dielectric characteristics were comparable with the effective charge conductance of Yb-doped TiO<sub>2</sub> NPs/NWs compared to pure TiO<sub>2</sub>. Solar cell applications benefit from materials with a high dielectric constant and electric conductivity.

#### *3.4. Localized surface plasmonic resonance (LSPR) effect*

The LSPR effect occurs when light strikes the metallic nanostructures. LSPR is a term that refers to the oscillation of an electron cloud surrounding metallic nanostructures. In detail, metallic NPs exposed to an electric field become polarised and behave as dipoles. The dipoles generate the restoring force within the nucleus and act opposite to the applied electric field. When the frequency of the applied electric field is resonant with the size of metallic nanoparticles, the dipoles exhibit the action of restoring force and oscillate with the applied electric field. [Fig. 9](#page-10-0)(a) illustrates the metallic nanoparticles' LSPR effect. To establish the LSPR effect of Au NPs in  $Yb-TiO<sub>2</sub>$  composites, performed the UV–vis absorption measurements on Yb-doped  $TiO<sub>2</sub>$  thin-films after adding the Au NPs (0.5 mol %). The Au NPs coated thin film showing the surface Plasmon absorption band at 520 nm, as shown in [Fig. 9\(](#page-10-0)b). A comparable Plasmonic band can be observed for the Au NPs embedded Yb-TiO<sub>2</sub> thin-film compared to bare Yb-TiO<sub>2</sub>. Therefore, it is emphasized that with the combined effect of dye absorption and the LSPR absorption band, more photons can be trapped, thereby improving the charge collection. Consequently, it was expected that the photovoltaic properties of Plasmon-induced DSSC would improve.

<span id="page-10-0"></span>

Fig. 9. (a) Schematic representation of Localised Surface Plasmonic Resonance (LSPR) effect, (b) UV–vis absorption spectrum of TiO<sub>2</sub>, and Yb-doped TiO<sub>2</sub> thin-film with LSPR absorption band.

#### *3.5. Photoluminescence (PL) emission studies*

Photoluminescence (PL) emission analyses were conducted to examine the charge carrier recombination/injection properties of ruthenium dye-loaded TiO<sub>2</sub> films. The ruthenium(N719) dye-loaded  $TiO<sub>2</sub>$  shows the PL emission at 720 nm under the excitation of 520 nm, as depicted in Fig. 10(a), which characterizes the recombination of excited  $e^-/h^+$  pair in the dye molecules. The PL intensity of Yb-doped  $TiO<sub>2</sub>/dye$  is observed to be reduced as compared with  $TiO<sub>2</sub>/dye$ , this quenching effect indicating the lower recombination of excitons which improves the charge separation. As observed from the UPS analysis, the modified band structure of Yb-doped  $TiO<sub>2</sub>$  may improve Ohmic contact with dye molecules. This advantageous interface enhances the charge separation at the interface of dye molecules with Yb-doped  $TiO<sub>2</sub>[23]$  $TiO<sub>2</sub>[23]$ . Furthermore, with the addition of Au NPs into Yb-doped TiO<sub>2</sub>, the PL intensity reduced further, indicating that the charge recombination process has suppressed more under the influence of the Au NPs Plasmonic effect. Notably, the Schottky barrier at the interface between TiO<sub>2</sub> and Au NPs establishes the charge transfer path among them, thereby offering additional quenching in the PL emission. As estimated, the dyesensitized  $TiO<sub>2</sub> NW$  showed lower intense PL emission than  $TiO<sub>2</sub>$ . Among them, Au-added Yb-doped TiO<sub>2</sub> NW reports a higher quench in the PL

emission. It is hypothesized that the combination of Yb-dopant, plasmonic Au NPs, and the nanostructured clusters effectively reduced the recombination process. To better understand, we have performed a Time-resolved Photoluminescence Lifetime (TrPL) upon the excitation of a 505 nm laser diode, as shown in Fig. 10(b). The ruthenium(N719)  $\frac{d}{dt}$  dye-loaded TiO<sub>2</sub> films present longer lifetime decays. The decay lifetime parameters were estimated using the Fluoracle software and tabulated in Table 3. The TrPL decay values are observed to become shorter for the engineered TiO2, suggesting the improved charge injection from dye molecules. Particularly, Yb-TiO<sub>2</sub> NW: Au photoanode reported effective charge injection abilities. Subsequently, Yb-doped TiO<sub>2</sub> NW: Au –based







Fig. 10. (a-b) PL emissions and their electron decay lifetimes of N719 dye loaded TiO<sub>2</sub>, Yb-doped TiO<sub>2</sub>, and Au NPs added Yb-doped TiO<sub>2</sub> NWS thin-films.

<span id="page-11-0"></span>DSSC exhibits exceptional enhancement in photovoltaic properties.

## *3.6. Photovoltaic characteristics of DSSC under AM1.5G, 1 sun illuminatioan*

Fig. 11(a) demonstrated the current density(*J*) vs voltage *(V)* parameters of DSSC. The associative photovoltaic parameters tabulated with the error analysis over four device sets are in Table. 4**.** Yb-doped  $TiO<sub>2</sub>$ -based DSSC exhibit higher PCE% than undoped  $TiO<sub>2</sub>$ . The enhanced PCE% can be attributed to the low recombination effect at the interface between dye molecules/TiO<sub>2</sub>. As depicted in UPS, the engineered TiO<sub>2</sub> may have better Ohmic contact, and the formation of shallow traps improves the charge hopping. Moreover, incorporating Au NPs into the photoanode further increases PCE% with high current density(*J)*. The improved (*J)* of Plasmon-induced DSSC can be attributed to the LSPR absorption band in conjunction with dye excitation. The DSSC with Au NPs added to Yb-doped  $TiO<sub>2</sub>$  exhibits a significantly higher PCE (η: 6.5 %), which is about 20 % greater than the test device's PCE (η: 5.3%), and the nanowire clusters  $TiO<sub>2</sub>$  photoanode-based DSSC increases the PCE% up to 5.9 % with (Jsc) of 14.3 mA-cm<sup>-2</sup>. The DSSC with Plasmonic Au NPs embedded Yb-doped TiO<sub>2</sub> NWs-DSSC exhibits a higher current density of 18.8 mA-cm<sup>-2</sup> with a PCE% of 8 %. Specifically, the DSSC with a nanowire cluster structure yielded greater Jsc values due to the nanowires' greater surface-to-volume ratio, which facilitated enhanced dye molecule adsorption. Fig. 11(b) depicts the IPCE spectral response of the undoped and Yb-doped TiO<sub>2</sub> photoanodebased DSSC. The IPCE spectrum of the Yb-doped  $TiO<sub>2</sub>/N719$ photoanode-based DSSC is improved due to a favorable trapping system in the Yb-doped  $TiO<sub>2</sub>$  lattice, allowing for less recombination. Au NPs **Table 4** 

photovoltaic parameters of the Au NPs induced Plasmonic DSSC with nanowire cluster structured photoanode, tested under one sun (AM 1.5G) light illumination.

| Photoanode                      | $V_{\alpha c}$ (V) | $J_{\rm sc}$ (mA-cm <sup>-2</sup> ) | FF         | $\eta$ (%)      |
|---------------------------------|--------------------|-------------------------------------|------------|-----------------|
| TiO <sub>2</sub>                | $0.7 \pm 0.03$     | $12.16 + 0.1$                       | $62 + 1.2$ | $5.3 + 0.2$     |
| TiO <sub>2</sub> : Au NPs       | $0.71 + 0.05$      | $14.3 + 1.1$                        | $55 + 2.3$ | $5.6 + 0.03$    |
| $Yb-TiO2$                       | $0.71 \pm 0.1$     | $13.7 + 0.05$                       | $61 + 0.6$ | $6.1 + 0.1$     |
| Yb-TiO <sub>2</sub> : Au NPs    | $0.7 + 0.04$       | $14.4 + 01.24$                      | $63 + 3.9$ | $6.55 \pm 0.02$ |
| TiO <sub>2</sub> NW             | $0.71 + 0.06$      | $14 + 0.9$                          | $59 + 2.5$ | $5.9 + 0.05$    |
| TiO <sub>2</sub> NW: Au NPs     | $0.7 + 0.05$       | $15.7 + 0.06$                       | $59 + 3.2$ | $6.38 + 0.12$   |
| Yb-TiO <sub>2</sub> NW          | $0.7 + 0$          | $17 \pm 0.2$                        | $63 + 1.3$ | $7.5 \pm 0.09$  |
| Yb-TiO <sub>2</sub> : NW Au NPs | $0.72 + 0.07$      | $18.8 + 0.04$                       | $59 + 4$   | $8 + 0.21$      |

Plasmon-induced DSSC had a more significant IPCE percentage, which refers to an increase in the optical density surrounding the metal surfaces in SPR-induced DSSC. As a result, more photons have been harvested[\[58\].](#page-15-0) The relative IPCE spectra ((ΔIPCE/IPCE) \*100 %) are calculated to estimate the improvement in photo spectral response with the respective test device (TiO<sub>2</sub>-based DSSC) under the influence of Ybdoping and Au NPs. Fig. 11(c) illustrates that the relative IPCE is distributed over 300nnm to 800 nm range. Particularly, the intensity of the IPCE spectrum from 400 nm to 600 nm is increased for Au NPs embedded TiO<sub>2</sub> and Au-embedded Yb-doped TiO<sub>2</sub>. The improvement in the IPCE spectrum is attributable to the LSPR effect of Au NPs at 520 nm ([Fig. 7](#page-8-0)[\)\[81,82\]](#page-15-0). With the doping effect of  $Yb^{3+}$ , the extended relative IPCE spectra in the IR region demonstrate enhanced charge collection. Table S1 (in Supplmentary information) presents a comparative performance of Au NPs Plasmon induced Yb-doped TiO<sub>2</sub>@ Au NPs/N719



Fig. 11. (a). J.vs *V* plot, (b) IPCE spectral response, and (c) Relative changes in the IPCE spectral response of DSSC with nanostructured TiO<sub>2</sub>, Yb-doped TiO<sub>2</sub>, and Au NPs embedded Yb-doped TiO<sub>2</sub> photoanodes.

dye photoanode based DSSC vis-à-vis to various similar system from **literature** 

## *3.7. Indoor light photovoltaic (IPV) applications*

The DSSC was then tested under indoor lighting conditions, while its optimal photovoltaic characteristics were monitored under (outdoor) AM 1.5G (1sun, 100 mW/cm $^2$ ) illumination. The light intensity is set for indoor light conditions to 1000LUX(327  $\mu$ W/cm<sup>2</sup>) using a white LED light source[\[7\].](#page-14-0) The ruthenium dye-sensitized solar cells have presented better results than thin-film and Si-based solar cells in indoor light conditions  $[83,84]$ . Fig. 12(a) presents the ruthenium(N719) dye absorption spectrum that overlaps with the spectral response of AM 1.5G and the white LED source. It was observed that the spectral response of LED was completely merged with the absorption spectrum of N719 dye molecules. Therefore, the DSSC could effectively generate more no excitations under LED illumination, thereby improving the photovoltaic properties.

Fig. 12(b) depicts the J-V characteristics of DSSC under White LED illumination with different nanostructured  $TiO<sub>2</sub>$  and Yb-TiO<sub>2</sub> photoanodes. Whereas Yb-doped  $TiO<sub>2</sub>$  employed DSSC reported with higher efficiency than pristine  $TiO<sub>2</sub>$  photoanodes, the corresponding parameters are tabulated in Table 5. With the addition of Plasmonic Au NPs, the DSSC was extended further into a higher PCE% of 11.2 %. Consequently, the Au/Yb-TiO<sub>2</sub> NW/dye photoanode increased the PCE% by more than 65 percent, and greatly enhancing photocurrent density compared to the test device.

#### *3.8. EIS-Nyquist plots of DSSC*

Electrochemical impedance spectroscopy (EIS) studies were used to characterize the interfacial charge transport resistance of the device. The EIS-Nyquist plot was performed under a frequency range of 1 MHz to 0.1 Hz, and an open-circuit voltage of 0.7 V under illumination by a White LED light source. [Fig. 13](#page-13-0) shows the Nyquist plot of DSSC, representing three depressed semicircles. The pertinent equivalent circuit was used to fit the Nyquist plot and extract the EIS parameters, as shown in [Fig. 11\(](#page-11-0)inset). In contrast, the first semicircle at high frequency is attributed to charge transfer resistance $(R_2)$  at the counter electrode/ electrolyte interface. The mid-range frequency semicircle represents the charge recombination resistance  $(R_3)$  at the TiO<sub>2</sub> photoanode/electrolyte interface. The third semicircle at a lower frequency is related to the Warburg diffusion resistance of electrolytes [\[85,86\]](#page-15-0). The distance between the origin and the Nyquist plot starting point on the left side indicates the series resistance  $(R_1)$ . [Table 6](#page-13-0) lists the EIS parameters that were computed from the Nyquist plot. In contrast, the series resistance

**Table 5** 

photovoltaic parameters of the constructed DSSC under white LED (1000 LUX, 327  $\mu$ W/cm<sup>2</sup>) illumination.

| Photoanode  | $V_{oc}$ (V)  | $J_{sc}$ (µA-cm <sup>-2</sup> )  | FF   | $\eta(\%)$   |
|---|---|--|--|--|
| TiO <sub>2</sub><br>$Yb-TiO2$<br>Yb-TiO <sub>2</sub> : Au NPs<br>TiO <sub>2</sub> NW<br>Yb-TiO <sub>2</sub> : NW<br>Yb-TiO <sub>2</sub> : NW Au NPs | $0.56 \pm 0.01$<br>$0.58 + 0.002$<br>$0.59 + 0.01$<br>$0.565 + 0.03$<br>$0.59 + 0.01$<br>$0.59 + 0$ | $135 + 2.1$<br>$129 + 3.4$<br>$155 + 1.6$<br>$144 + 5.9$<br>$148 + 4.0$<br>$153 \pm 3.2$ | $37 + 0.2$<br>$48 + 0.4$<br>$47 + 3.7$<br>$40 + 3.3$<br>$42 + 2.1$<br>$50 + 0.1$ | $8.6 + 0.1$<br>$11.15 + 0.3$<br>$13.3 + 0.12$<br>$9.82 + 0.5$<br>$11.4 + 0.5$<br>$13.95 + 0.3$ |
|   |   |  |  |  |

of  $(R_1)$  is identical across all devices, indicating that the components used to assemble the devices were optimized.  $R_2$  and  $R_3$  were exceptionally high in pristine TiO<sub>2</sub> (R<sub>2</sub>:425 Ω and R<sub>3</sub>:701 Ω, respectively). Ybdopant and Au NPs influenced DSSC showed the lower interfacial resistances. Consequently, the charge transport is improved, which is crucial for enhanced photovoltaic characteristics. Specifically, Au NPs embedded Yb-doped TiO2 NW exhibited the lowest charge transport resistance (R<sub>2</sub>:86 Ω) and charge recombination resistance (R<sub>3</sub>:20 Ω). These results were consistent with the J-V characteristics.

#### *3.9. LSPR-induced DSSC*

[Fig. 14](#page-13-0) displays the schematic representation of Plasmon-induced Yb-doped TiO<sub>2</sub> photoanode-based DSSC and the photoelectron generation/transport prospects. In this device, the dye molecules generate the electron/hole (e $\hbar$ ) pairs by absorbing the photons. Simultaneously, the Au NPs embedded in  $\rm TiO_2$  generate the (e  $/\rm h^+ )$  by creating a strong electric field around the Au NPs. This electric field is attributable to near-field effects with a penetration depth of up to 10 nm [\[87\]](#page-15-0). In contrast, due to the interaction with the Au NPs, the fermi level of TiO<sub>2</sub> is lowered to match the fermi energy level of Au NPs. And this downshifted fermi level is able to enhance the charge injection efficiency from the dye molecules to the TiO<sub>2</sub> conduction band. Similarly, Under the influence of the Yb-dopant, the fermi level shifted down due to the formation of trap sites, as demonstrated in the UPS analysis, and these modified energy levels and formation of trap states are beneficial for charge transportation and injection at the interface with dye molecules. Thus, the high PCE% of Plasmon-induced DSSC is attributable to the combined LSPR absorption/scattering effect and defect-tuned energy band of Yb-doped TiO2.

#### **4. Conclusion**

In conclusion, we have demonstrated the ensuing way to improve the PCE% by tuning the band structure of  $TiO<sub>2</sub>$  under the influence of Yb-



**Fig. 12.** (a) Spectral overlapping of the absorption spectrum of N719 dye molecules with the irradiance and emission spectrum of solar light (Am 1.5G), and White LED light, (b) *J* - *V* characteristic plots under Indoor light (White LED, 327 µW/cm<sup>2</sup>) at ambient condition.

<span id="page-13-0"></span>

Fig. 13. Nyquist plots of DSSC based on various nanostructured TiO<sub>2</sub>, Yb-doped TiO<sub>2</sub>, and Au NPs embedded Yb-doped TiO<sub>2</sub> photoanodes.





dopant, plasmonic Au NPs. Plasmonic-induced DSSC with Au NPs added  $Yb$ -doped TiO<sub>2</sub> NW have constructed and executed with tremendous PCE%. Here, we have synthesized Yb-doped TiO<sub>2</sub> nanowire clusters and utilized them for photoanode fabrication. With the XRD, Raman, XPS, FESEM-EDX, and TEM analysis, we have confirmed the successful doping effect of Yb into TiO<sub>2</sub> lattice with improved properties. The Rietveld refinement analysis has shown the reduced lattice parameters of 'a' and 'c' for Yb-doped TiO2, which evidence the site substitution of  $Ti^{4+}$  with Yb<sup>3+</sup>. Crystallite size & its distribution, variation in the phase transformations, and elemental composition & the formation of defect sites in the TiO<sub>2</sub> lattice were demonstrated in this work. Furthermore, dielectric characteristics illustrate the improved polarizability and electrical conductivity with the effect of Yb-doping. Likewise, the absorption peaks were found to be redshifted and identified with the reduced bandgap for Yb-doped TiO<sub>2</sub>. By integrating the UV-vis and UPS analysis, the shallow trap states have been observed below the conduction band of  $TiO<sub>2</sub>$  by doping with Yb-intermediate energy states, which effectively improved the charge hopping mechanism and enhanced the photovoltaic properties of DSSC. PL quenching effects and short lifetime decay values ascribe efficient charge transportation/injection properties. Au NPs added to Yb-doped TiO<sub>2</sub> showed enhanced charge injection capabilities with dye molecules. Upon exposure to light, the electrons get excited and jump at the semiconductor/metallic nanoparticle interface, bringing the Fermi levels of  $TiO<sub>2</sub>$  and Au NPs into alignment. The downshifted fermi level of the Au-embedded Yb-doped  $TiO<sub>2</sub>$  enables the successful injection of charge from the dye molecules, as indicated by the PL quenching effect. As a result, the photovoltaic



**Fig. 14.** Schematic representation of Plasmonic induced DSSC working.

<span id="page-14-0"></span>properties were essentially enhanced by the influence of Yb-dopant and Au NPs. In contrast, Au NPs embedded Yb-doped TiO<sub>2</sub> photoanodebased DSSC exhibits a 20 % higher PCE% than the reference device (5.3 %). Additionally, when nanowire cluster structures are used in place of nanoparticles in the photoanode design, the PCE increases by more than 40 % compared to the test device. The Au-added Yb-TiO<sub>2</sub> NW performed well for indoor light conditions, with a PCE enhancement of roughly 52 % above undoped TiO<sub>2</sub> (η: 8.6 %). The enhancement in the light-harvesting abilities of DSSC was consistent with the IPCE spectral response. The EIS-Nyquist showed the decreased interfacial charge transport resistance for Plasmonic DSSC employed with Au-embedded  $Yb-TiO<sub>2</sub>$  nanowire clusters. As a result, the findings demonstrate a unique approach for enhancing the absorption capacity of  $TiO<sub>2</sub>$  by including rare-earth  $+$  3 oxidized Yb(III) and Plasmonic Au NPs to harvest more solar energy.

#### **CRediT authorship contribution statement**

**Venkata Seshaiah Katta:** Data curation, Formal analysis, Investigation, Validation, Writing – review  $\&$  editing, Writing – original draft. **V.R. Chappidi:** Formal analysis, Investigation, Validation, Methodology, Writing – original draft, Writing – review & editing. **Sai Santhosh Kumar Raavi:** Conceptualization, Resources, Funding acquisition, Supervision, Formal analysis, Investigation, Validation, Methodology, Writing – original draft, Writing – review  $\&$  editing.

#### **Declaration of Competing Interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

#### **Data availability**

Data will be made available on request.

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#### **Appendix A. Supplementary material**

Supplementary data to this article can be found online at [https://doi.](https://doi.org/10.1016/j.apsusc.2022.155728)  [org/10.1016/j.apsusc.2022.155728](https://doi.org/10.1016/j.apsusc.2022.155728).

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